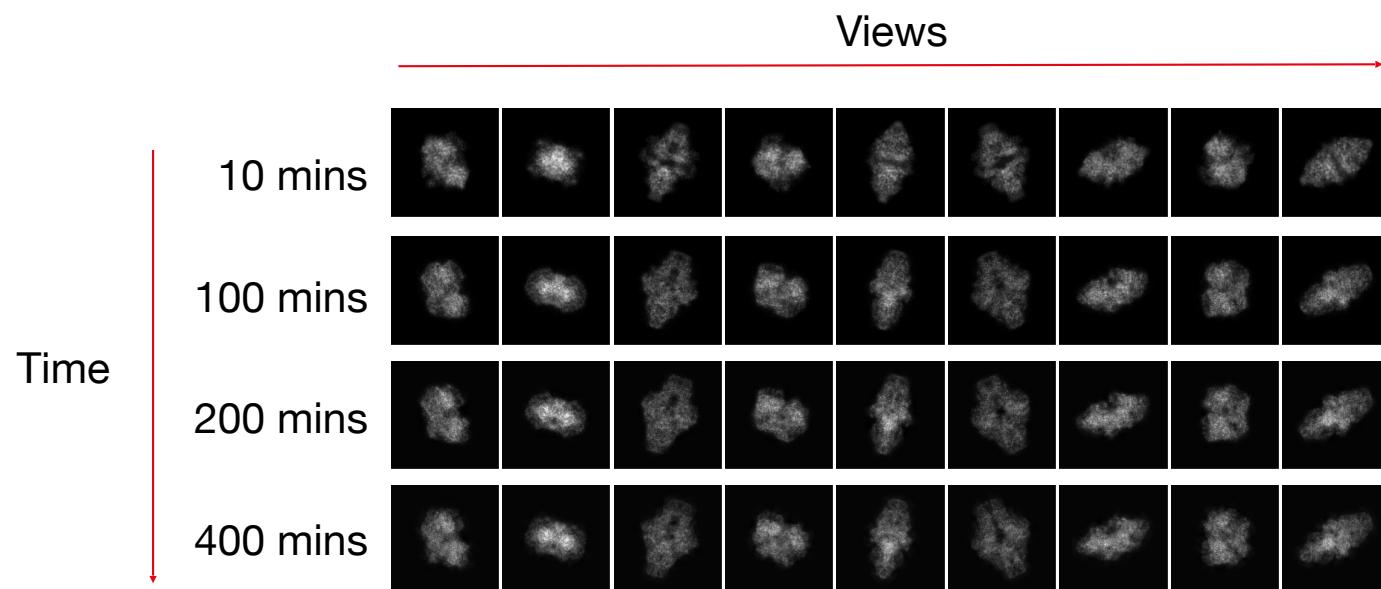
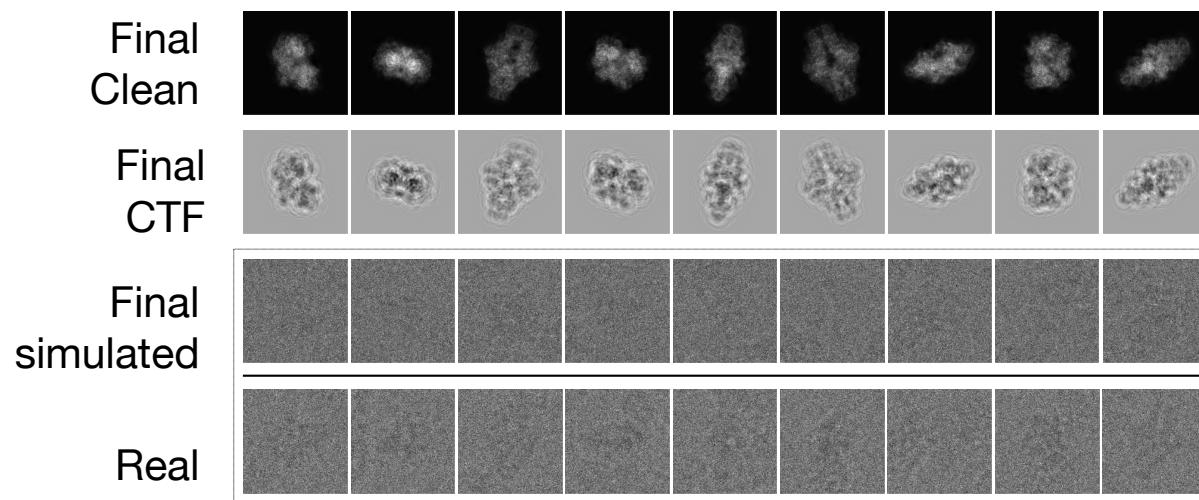


## Results (Clean Projections)

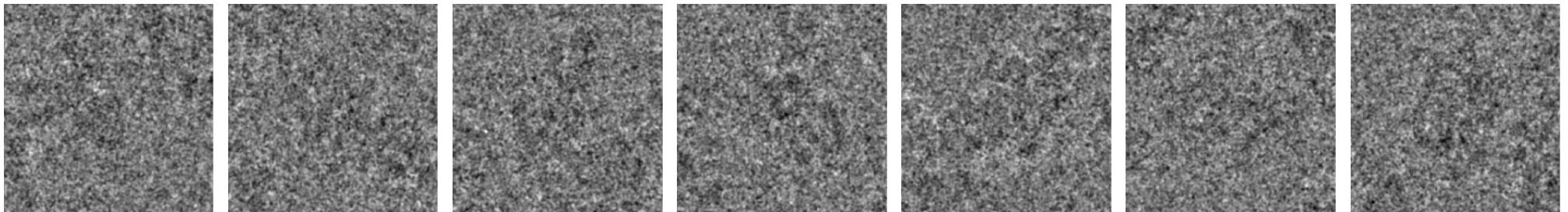


## Results (Final projections)

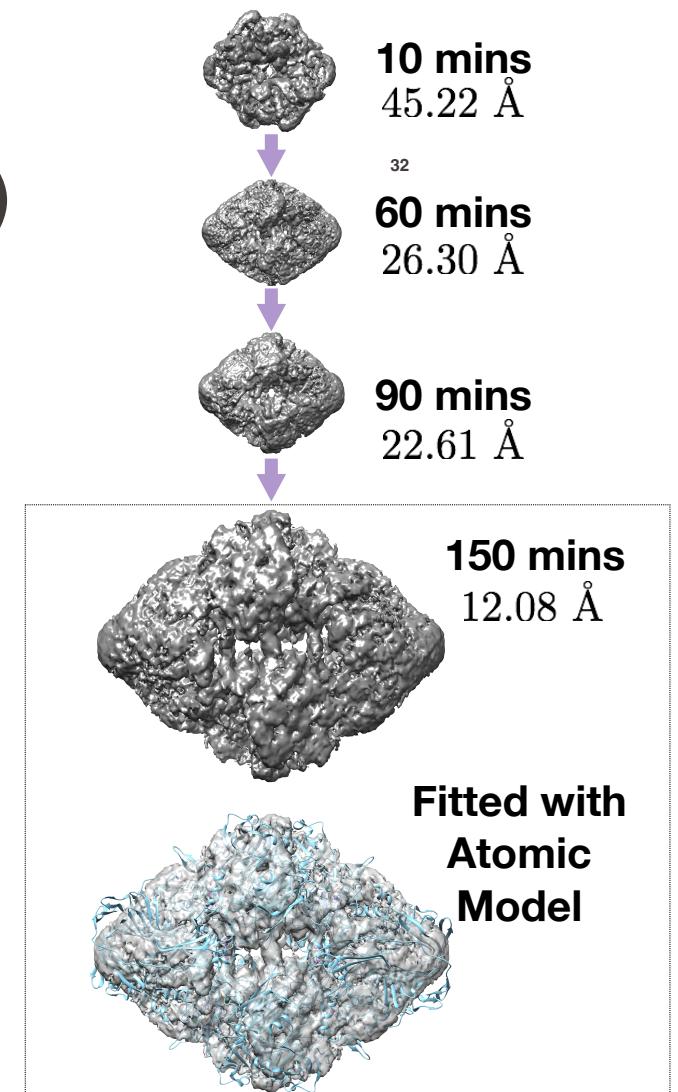


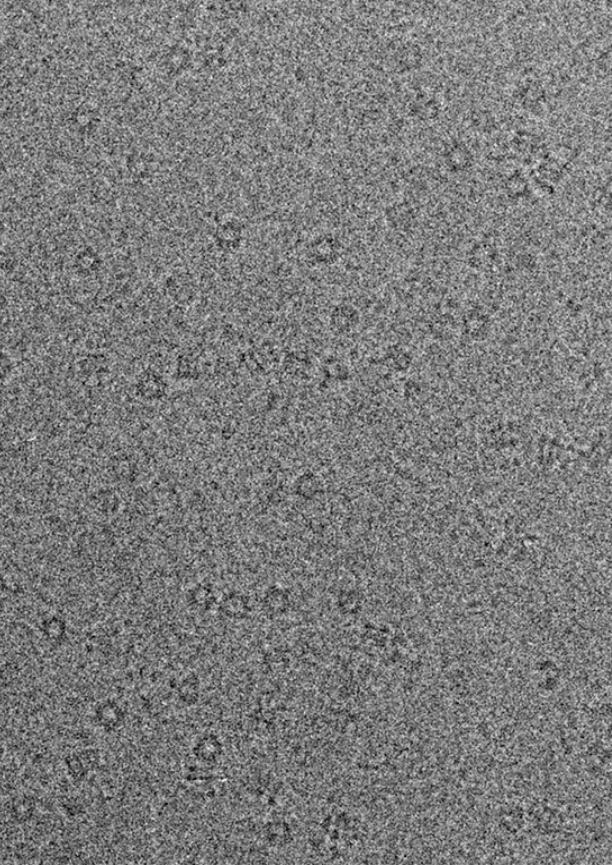
## ▪ Experimental data (EMPIAR-10061)

- CTF estimated
- Uniform distribution assumed
- Noise extracted from micrograph background



- **Reconstruction (Structure)**

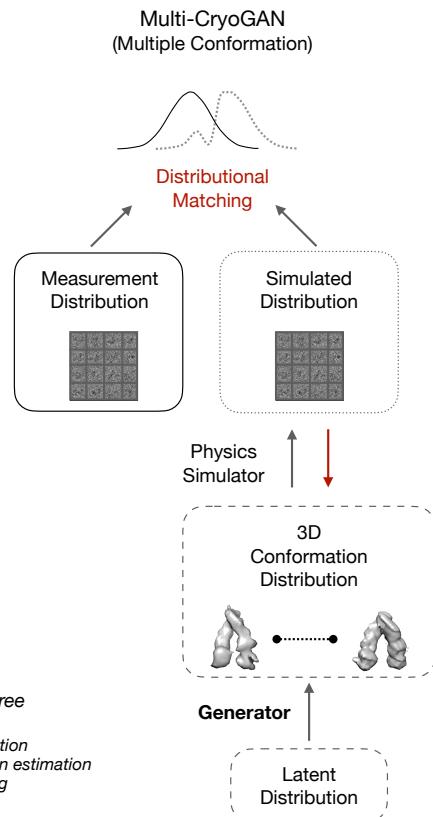




# Multi CryoGAN for continuous conformations

Based on: **Gupta**, Phan, Yoo, Unser, ``*Multi-CryoGAN: Reconstruction of continuous conformations in Cryo-EM using Generative Adversarial Networks*,'' ECCV Workshop on Biolimage Computing (BIC), August 2020.

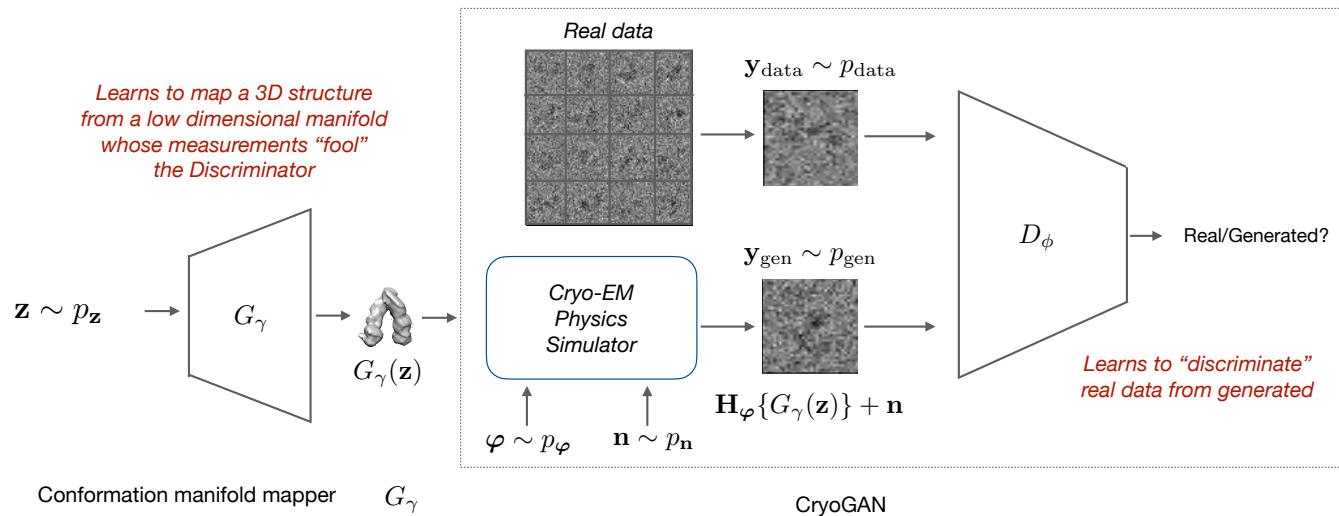
- Multi-CryoGAN:  
General idea



- No pose/conformation estimation
- Continuous/discrete conformation manifold reconstruction
- Guarantee of recovery of true conformations

[Theorem 1, Gupta et al. 2020]

# ■ Multi-CryoGAN: details

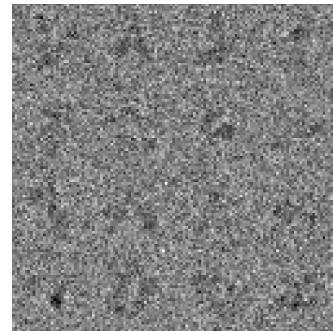


- Could be viewed as standard WGAN structure with a physics simulator in the generator
- Generator transforms latent distribution into conformation distribution

# Datasets

Use part of the framework from (Seitz, Acosta-Reyes, Schwander, Frank, 2019):

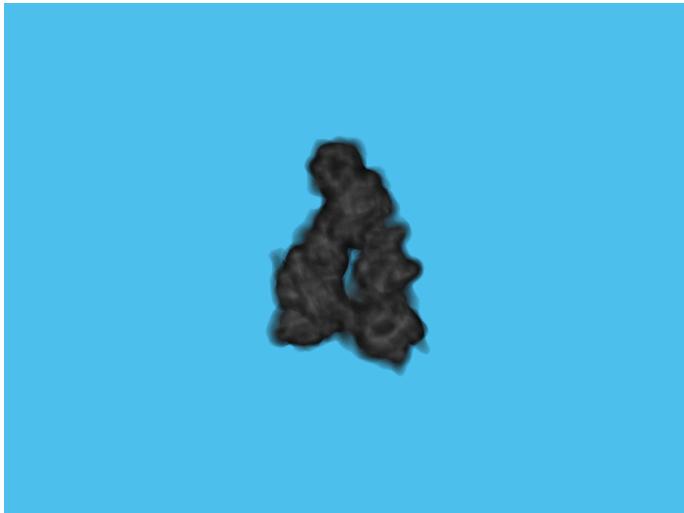
- Heat shock protein Hsp90



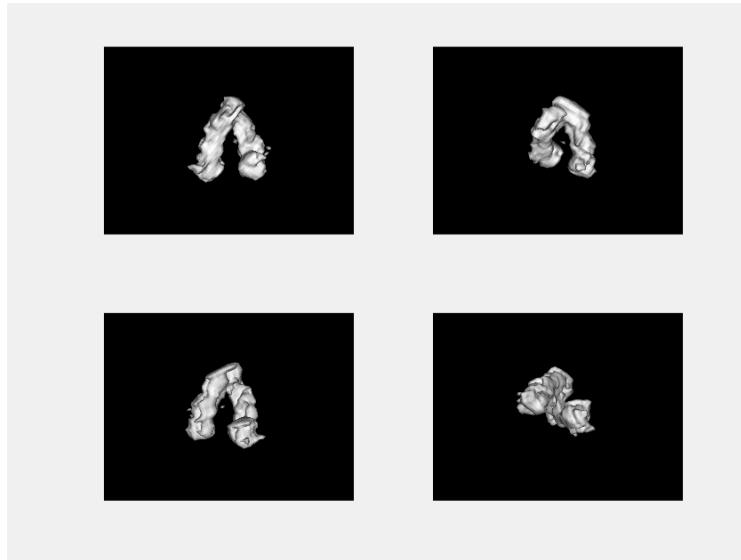
2 datasets:

- Continuous conformation
- Discrete conformation:
  - 1e5 projections (32 x 32) in each dataset
  - CTF modulated
  - Gaussian noise -10 dB
- Latent distribution is uniform on a 1D line

# Reconstruction

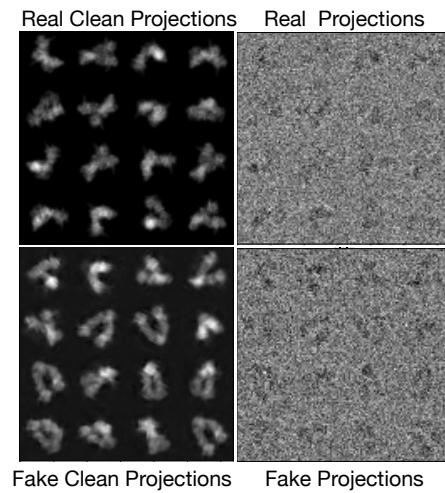
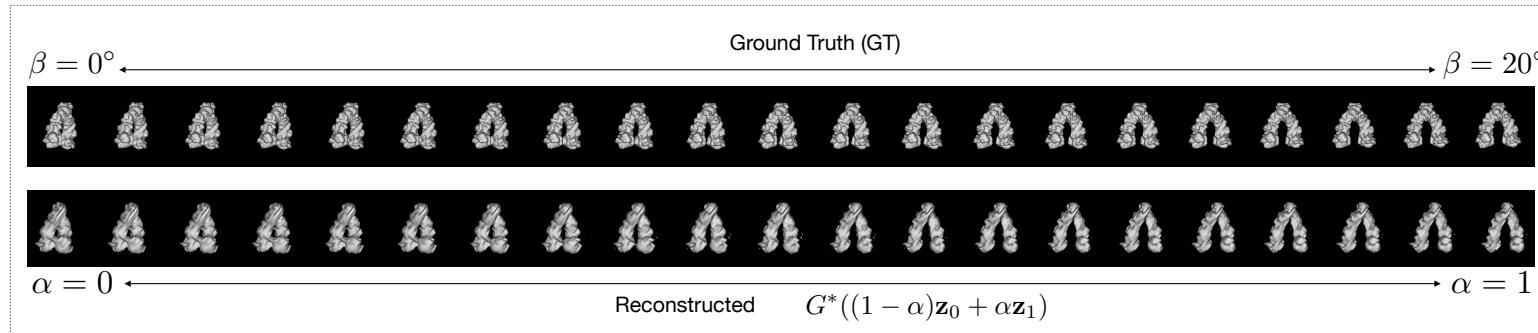


Ground truth

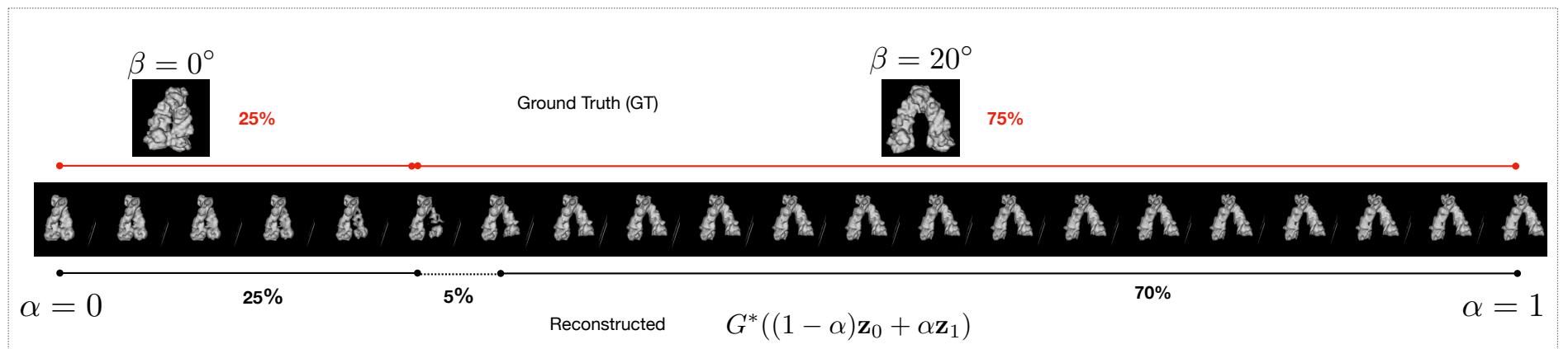


Reconstruction  
(at different  
views)

# Reconstruction



# Reconstruction



# CryoGAN vs Likelihood

## ▪ CryoGAN vs Likelihood methods

### ■ Max-likelihood

$$\mathbf{x}_{\text{rec}} = \arg \max_{\mathbf{x}} \sum_{n=1}^N \log p(\mathbf{y}_{\text{data}}^n | \mathbf{x})$$

### ■ KL-Divergence

$$\mathbf{x}_{\text{rec}} = \arg \min_{\mathbf{x}} KL(p_{\text{data}}(\mathbf{y}) || p(\mathbf{y}|\mathbf{x}))$$

$$\mathbf{x}_{\text{rec}} = \arg \min_{\mathbf{x}} \mathbb{E}_{\mathbf{y} \sim p_{\text{data}}} \left[ \log \frac{p_{\text{data}}(\mathbf{y})}{p(\mathbf{y}|\mathbf{x})} \right]$$

Need to calculate  $p(\mathbf{y}|\mathbf{x})$ .

### Wasserstein Distance

$$\mathbf{x}_{\text{rec}} = \operatorname{argmin}_{\mathbf{x}} \inf_{\gamma \in \Pi(p_{\mathbf{x}}, p_{\text{data}})} \mathbb{E}_{(\mathbf{y}_1, \mathbf{y}_2) \sim \gamma} [\|\mathbf{y}_1 - \mathbf{y}_2\|]$$

$$\mathbf{x}_{\text{rec}} = \operatorname{argmin}_{\mathbf{x}} \max_{\mathbf{D}_{\phi}: \|\mathbf{D}_{\phi}\|_L < 1} \left( \mathbb{E}_{\mathbf{y} \sim p_{\text{data}}} [\mathbf{D}_{\phi}(\mathbf{y})] - \mathbb{E}_{\mathbf{y} \sim p_{\mathbf{x}}} [\mathbf{D}_{\phi}(\mathbf{y})] \right)$$

**Just require a sampler from the distributions**

Theoretically both are the same (distributional matching) but one is explicit and one is implicit!!

- ## Conclusion

- CryoGAN/MultiCryoGAN is a deep adversarial learning scheme.
- Does not need likelihood computation or pose and conformation estimation/marginalization.
- Theoretically can recover the ground truth.
- Similar to GANs but with a physics-based generator instead of neural-network-based generator.
- Best of both learning-based and model-based approaches.
- For single conformations works reasonable on synthetic experiments (7-8 Å).
- For multiple conformation needs to be deployed on real data
- Multi-resolution approach would improve results



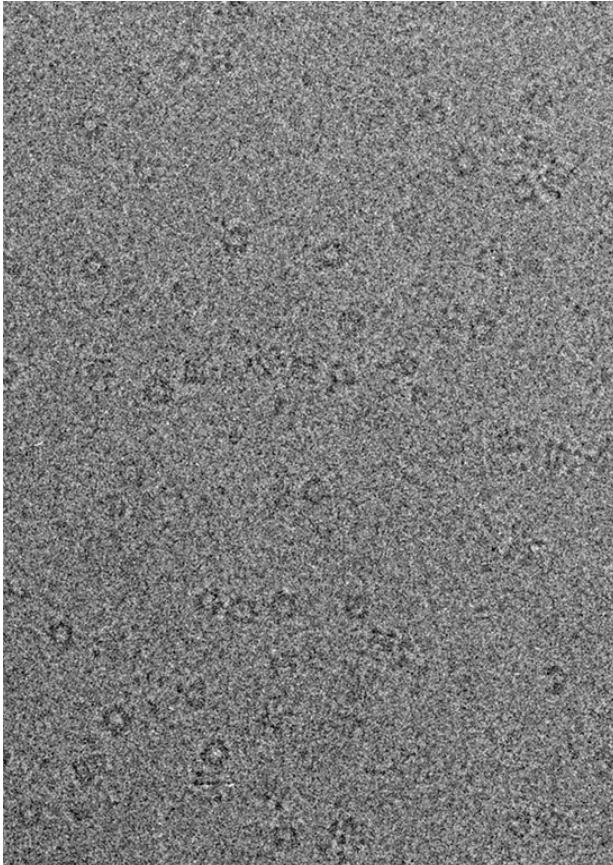
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**Thank you!  
Questions?**

# Appendix

# CryoGAN vs Likelihood methods

## ■ Max-likelihood

$$\mathbf{x}_{\text{rec}} = \arg \max_{\mathbf{x}} \sum_{n=1}^N \log p(\mathbf{y}_{\text{data}}^n | \mathbf{x})$$

## ■ KL-Divergence

$$\mathbf{x}_{\text{rec}} = \arg \min_{\mathbf{x}} KL(p_{\text{data}}(\mathbf{y}) || p(\mathbf{y}|\mathbf{x}))$$

$$\mathbf{x}_{\text{rec}} = \arg \min_{\mathbf{x}} \mathbb{E}_{\mathbf{y} \sim p_{\text{data}}} \left[ \log \frac{p_{\text{data}}(\mathbf{y})}{p(\mathbf{y}|\mathbf{x})} \right]$$

Need to calculate  $p(\mathbf{y}|\mathbf{x})$ .

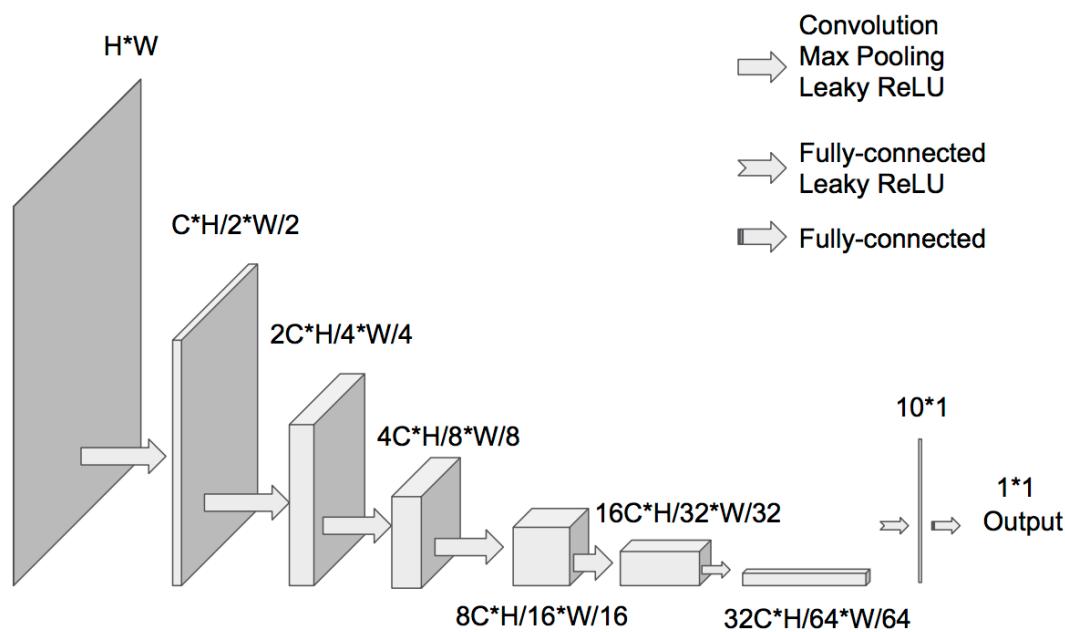
## Wasserstein Distance

$$\mathbf{x}_{\text{rec}} = \operatorname{argmin}_{\mathbf{x}} \inf_{\gamma \in \Pi(p_{\mathbf{x}}, p_{\text{data}})} \mathbb{E}_{(\mathbf{y}_1, \mathbf{y}_2) \sim \gamma} [\|\mathbf{y}_1 - \mathbf{y}_2\|]$$

$$\mathbf{x}_{\text{rec}} = \operatorname{argmin}_{\mathbf{x}} \max_{\mathbf{D}_{\phi}: \|\mathbf{D}_{\phi}\|_L < 1} \left( \mathbb{E}_{\mathbf{y} \sim p_{\text{data}}} [\mathbf{D}_{\phi}(\mathbf{y})] - \mathbb{E}_{\mathbf{y} \sim p_{\mathbf{x}}} [\mathbf{D}_{\phi}(\mathbf{y})] \right)$$

Just require a sampler from the distributions

Theoretically both are the same (distributional matching) but one is explicit and one is implicit!!



## Fourier Shell Correlation

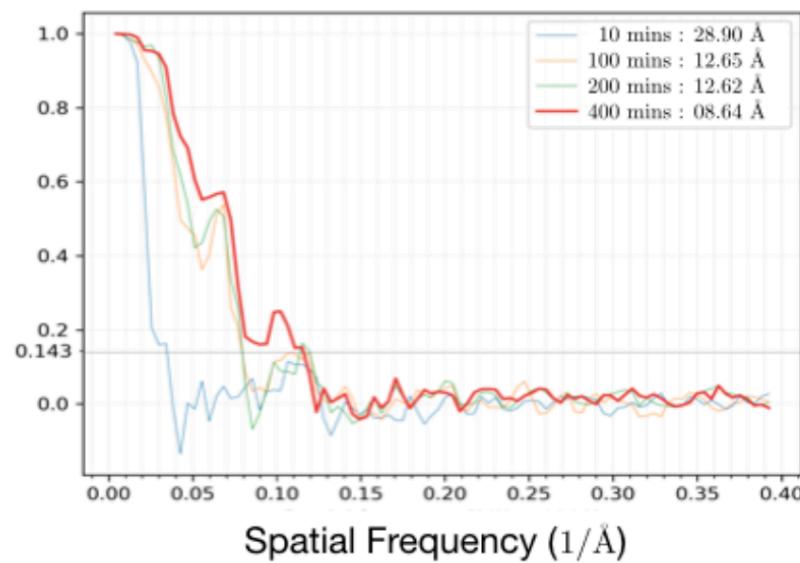


TABLE I  
RECONSTRUCTION RESOLUTION ( $\text{\AA}$ ) FOR SYNTHETIC  $\beta$ -GALACTOSIDASE

metric	SNR (dB), translation (%)			
	-20, 0	-5.2, 0	-20, 3	-20, 20
half-map FSC = 0.143	8.6	7.5	10.8	14.3
truth FSC = 0.5	15.3	8.3	14.7	23.2
truth FSC = 0.143	11.7	6.5	11.5	19.8

# Pose Distribution Mismatch

TABLE II  
RECONSTRUCTION RESOLUTION ( $\text{\AA}$ ) FOR  $\beta$ -GALACTOSIDASE

true distribution	reconstruction distribution		
	uniform	$\sigma = 2$	$\sigma = 3$
half-map FSC = 0.143			
uniform	8.6	13.2	17.2
$\sigma = 2$	9.2	9.4	14.7
$\sigma = 3$	8.6	10.6	12.7
ground truth FSC = 0.5			
uniform	15.3	16.1	18.6
$\sigma = 2$	16.4	15.0	15.5
$\sigma = 3$	16.4	16.9	16.1
ground truth FSC = 0.143			
uniform	11.7	12.6	14.5
$\sigma = 2$	11.6	10.4	10.7
$\sigma = 3$	11.5	14.0	12.3

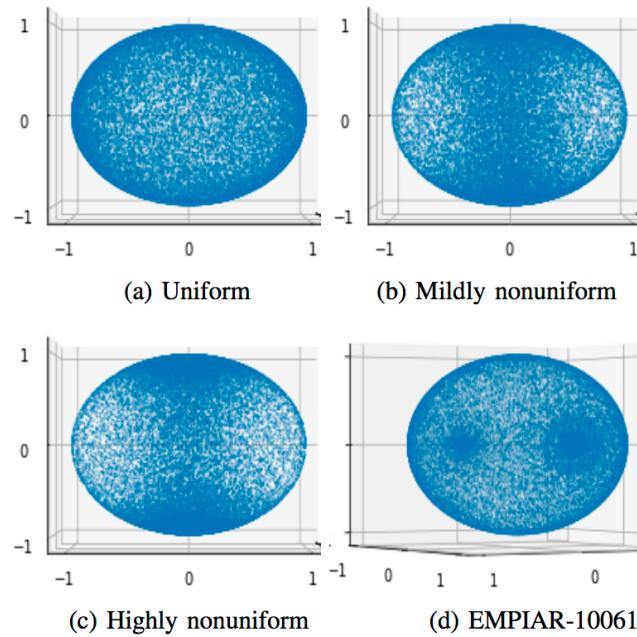
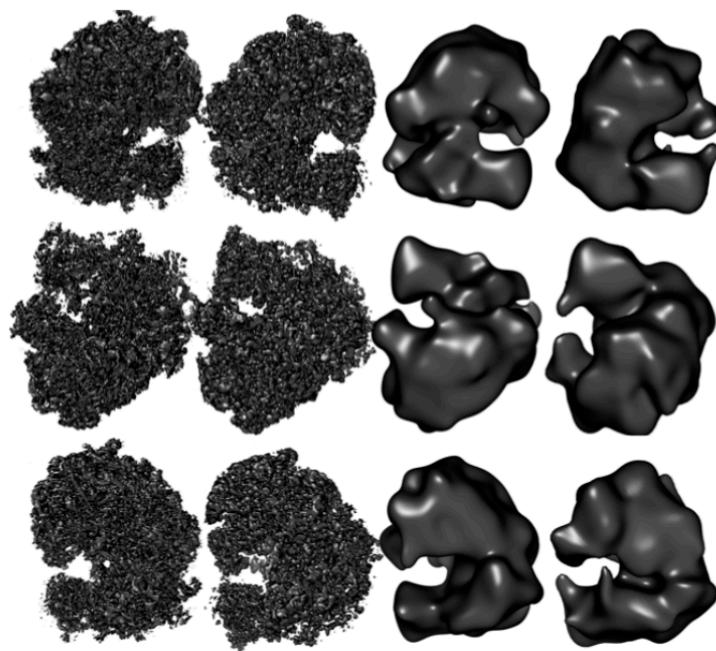


Fig. 7. Pose samples (40,000) from the pose distributions on a unit sphere used in the experiments. (a-c) are used to generate synthetic projection datasets from  $\beta$ -galactosidase. These are then again used in the simulator to reconstruct from each of these datasets. (d) is obtained from estimating poses for 108,209 particles picked from 1539 micrographs from EMPIAR-10061. The pose were estimated using the refinement step in RELION [9].

Ground Truth	Synthetic data Reconstruction	Ground Truth (Filtered)	EMPIAR-10028 Reconstruction (Filtered)
-----------------	----------------------------------	-------------------------------	----------------------------------------------



## Neural Network Architecture

LAYER ID	LAYER	RESAMPLE	OUTPUT SHAPE (CxHxW)
1	Conv2d	MaxPool	96 × 16 × 16
2	Conv2d	MaxPool	192 × 8 × 8
3	Conv2d	MaxPool	384 × 4 × 4
4	Conv2d	MaxPool	768 × 2 × 2
5	Flatten	-	3072 × 1 × 1
6	FC	-	50 × 1 × 1
7	FC	-	1 × 1 × 1

LAYER ID	LAYER	RESAMPLE	NORM	OUTPUT SHAPE (C, D, H, W)
1	Conv3d	-	BN	16 × 32 × 32 × 32
2	Conv3d	MaxPool	BN	16 × 16 × 16 × 16
3	Conv3d	-	BN	32 × 16 × 16 × 16
4	Conv3d	MaxPool	BN	32 × 8 × 8 × 8
5	Conv3d	-	BN	64 × 8 × 8 × 8
6	Conv3d	MaxPool	BN	64 × 4 × 4 × 4
7	Conv3d	-	BN	128 × 4 × 4 × 4
8	Conv3d	MaxPool	BN	128 × 2 × 2 × 2
9	Conv3d	-	BN	256 × 2 × 2 × 2
10	Conv3d	-	BN	256 × 2 × 2 × 2
11	Conv3d	Upsample	BN	128 × 4 × 4 × 4
12	Concat(layer 8)	-	-	256 × 4 × 4 × 4
13	Conv3d	-	BN	128 × 4 × 4 × 4
14	Conv3d	-	BN	128 × 4 × 4 × 4
15	Conv3d	Upsample	BN	64 × 8 × 8 × 8
16	Concat(layer 6)	-	-	128 × 8 × 8 × 8
17	Conv3d	-	BN	64 × 8 × 8 × 8
18	Conv3d	-	BN	64 × 8 × 8 × 8
19	Conv3d	Upsample	BN	32 × 16 × 16 × 16
20	Concat(layer 4)	-	-	64 × 16 × 16 × 16
21	Conv3d	-	BN	32 × 16 × 16 × 16
22	Conv3d	-	BN	32 × 16 × 16 × 16
23	Conv3d	Upsample	BN	16 × 32 × 32 × 32
24	Concat(layer 2)	-	-	32 × 32 × 32 × 32
25	Conv3d	-	BN	16 × 32 × 32 × 32
26	Conv3d	-	BN	16 × 32 × 32 × 32
27	Conv3d	-	BN	1 × 32 × 32 × 32

