

# New approaches to substrates and specimen preparation

Workshop on Advanced Topics in EM Structure  
Determination: Challenges and Opportunities.  
October 29 - November 3, 2017

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Cambridge, UK

- Are there treatments that can be applied to thin carbon that are advantageous?

*yes*

- Should we be using carbon at all?

*<no|yes\*>*

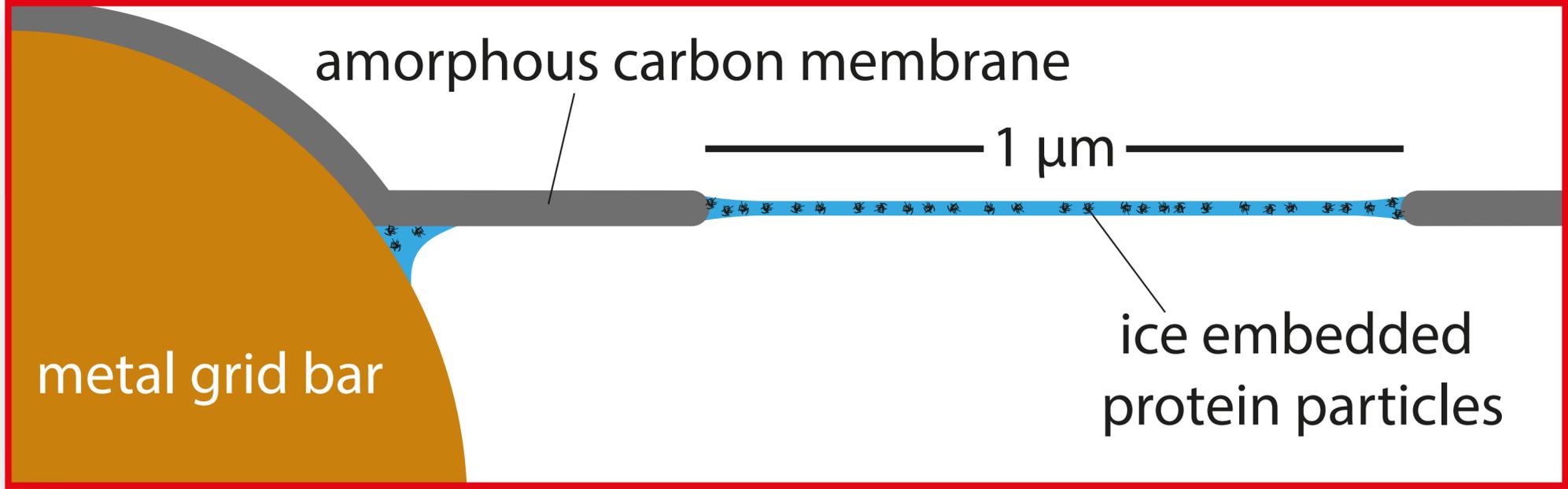
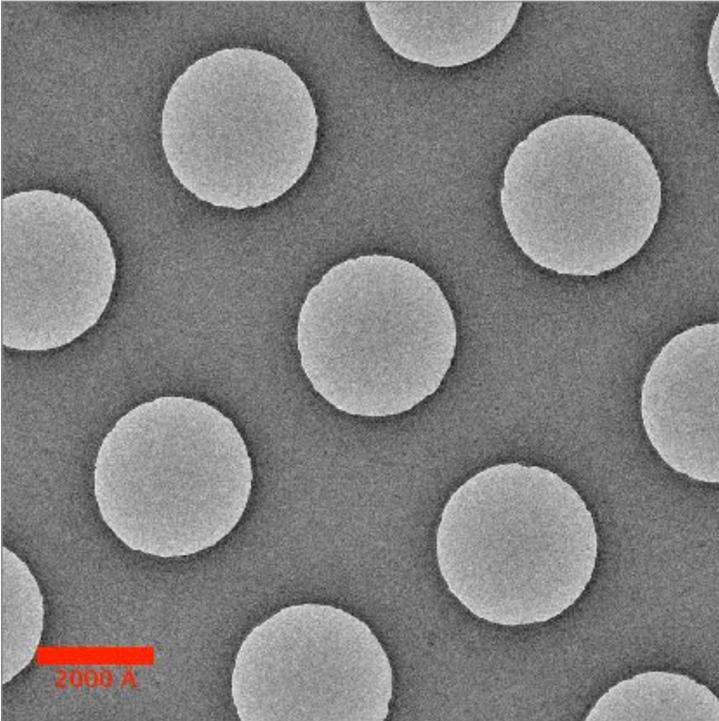
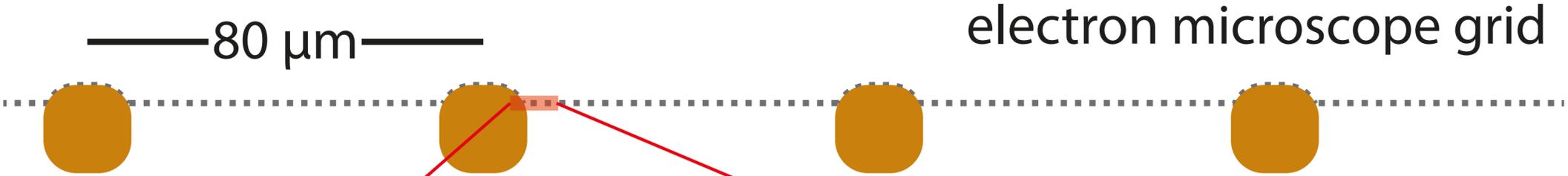
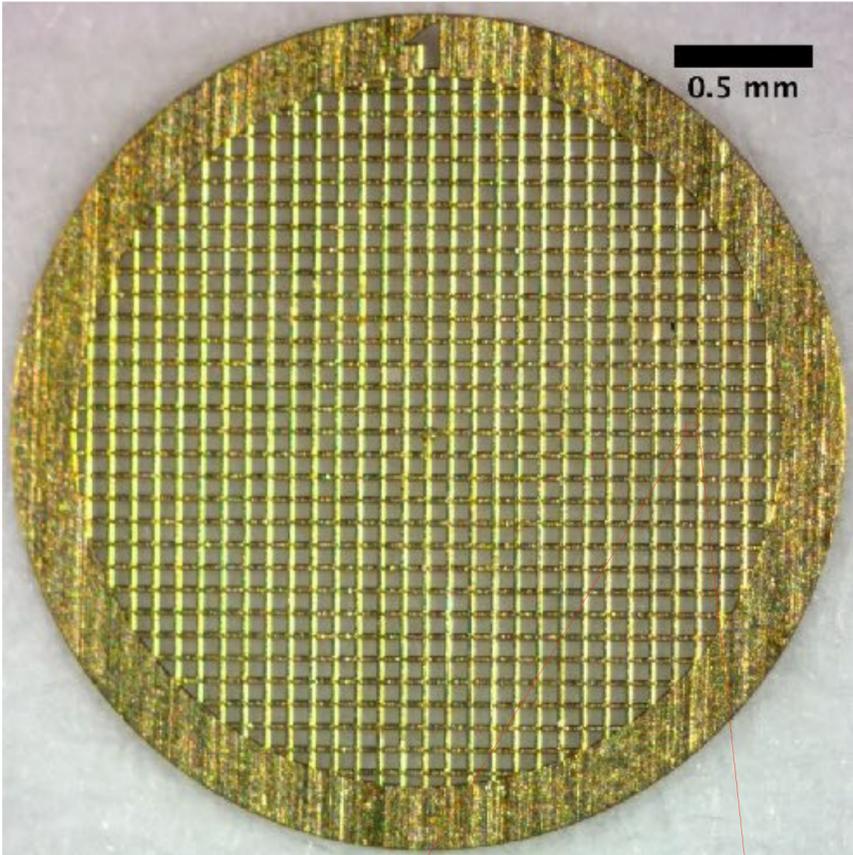
- Are there new substrates that offer advantages over the traditional thin carbon?

*yes*

- Can we envision improving on these further using surface treatments?

*yes*

# Standard cryo-EM specimen



# First Biological EM Drosera intermedia on copper "net"

L. Marton  
1934

For liquid hydrogen iodide we find a Raman line of a very diffuse character. As in the case<sup>2</sup> of hydrogen chloride and bromide, it has a complex structure. The wave numbers in the liquid and solid state as compared with the gaseous state are given in the accompanying table. The structure of the line in the liquid may be seen from Fig. 1. The points marked on the curve have the following wave numbers: *a*, 2178 cm.<sup>-1</sup>; *b*, 2167 cm.<sup>-1</sup>; *c*, 2165 cm.<sup>-1</sup>; *d*, 2162.5 cm.<sup>-1</sup>; *e*, 2151 cm.<sup>-1</sup>. It may be emphasised that the structure and the wave numbers are not very accurately known.

From these results, it will be seen that although the low temperature apparatus already used is quite adequate for our purpose, a spectrograph of higher dispersion will be necessary if we are to carry this investigation further. We have therefore begun to construct a new type of spectrograph with a liquid prism.

H. EPSTEIN.  
W. STEINER.

Physikal. Chem. Institut d. Universität,  
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Laboratory of Physical Chemistry,  
Cambridge.  
May 2.

<sup>1</sup> Grassmann, *Z. Phys.*, **82**, 767; 1933.

<sup>2</sup> E. O. Salant and A. Sandow, *Phys. Rev.*, **37**, 373; 1931. E. O. Salant and D. Callihan, *Phys. Rev.*, **49**, 590; 1933.

## Magnetic Moment of the Deuteron

IN a previous note<sup>1</sup> we reported, together with Mr. Frisch, on experiments concerning the deflection of a beam of 'ordinary' hydrogen molecules in an inhomogeneous magnetic field. From these experiments, we were able to derive the magnetic moment of the proton. The value obtained was 2.5 nuclear magnetons (not 1, as expected theoretically).

by contact with an extremely thin metal foil which is cooled by conduction).

(2) Impregnating the object with a substance which makes the object less destructible.

(3) Impregnating the object in such a way that a framework of the object is preserved although the object itself is destroyed.

(4) Combining methods (1) and (2), or (1) and (3).

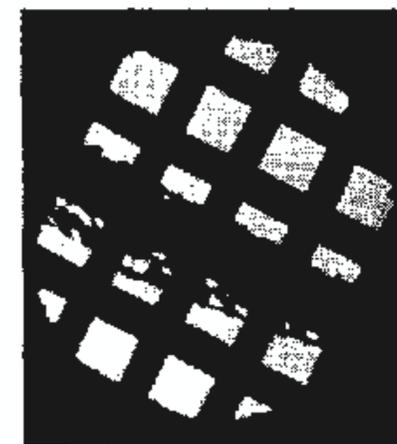
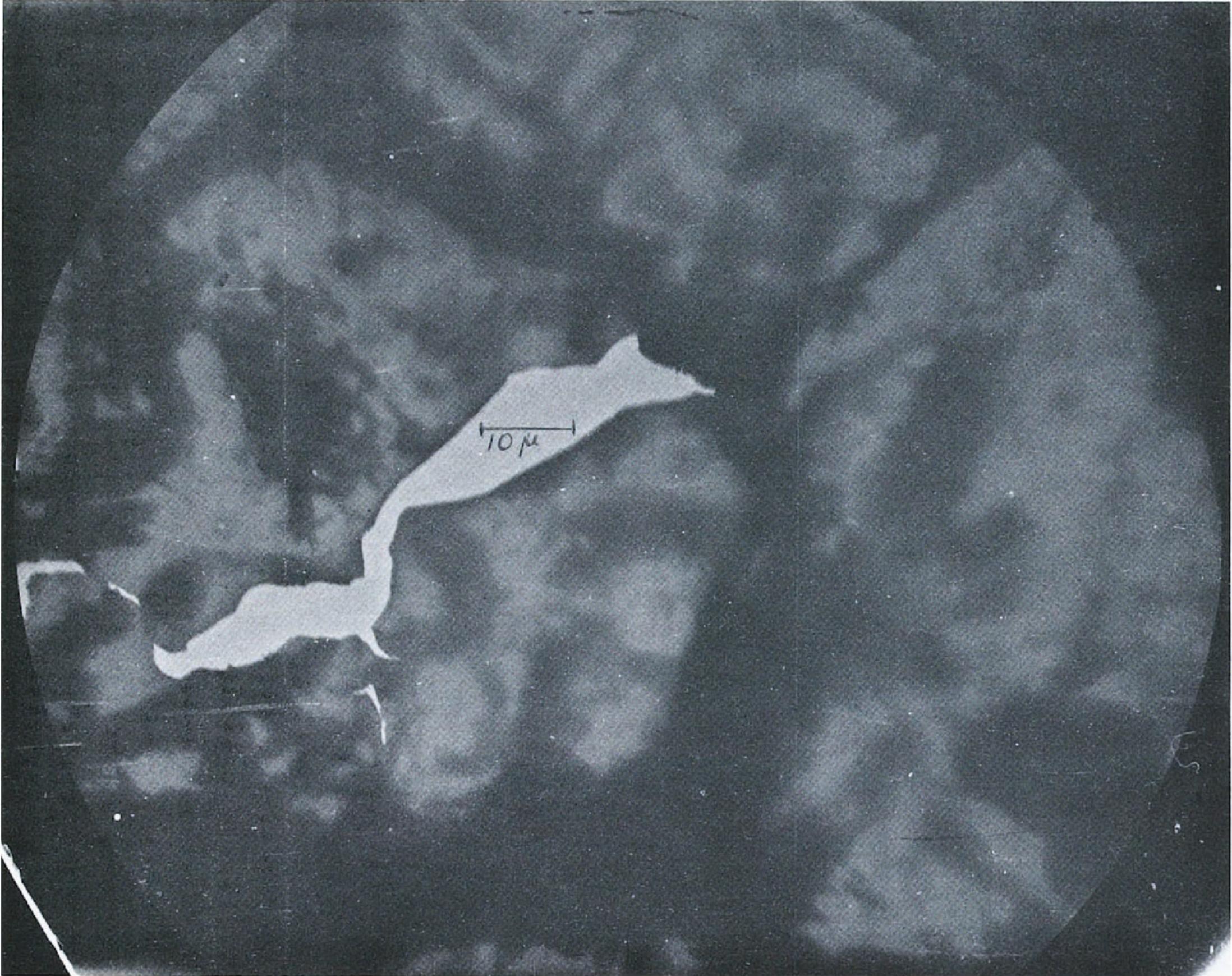


FIG. 1.  $\times 65$ .

We obtained the best results by using the third method. To arrive at good results by this method the following conditions must be satisfied by the metallic or other framework: It must be (*a*) geometrically similar to the object; (*b*) of high melting point and good thermal conductivity; and (*c*) of high atomic weight.

Root of *Neottia nidus avis*  
on torn collodion foil.

L. Marton  
22 Jaunary 1936



# First amorphous carbon films for EM

D. E. Bradley  
1953

## Evaporated carbon films for use in electron microscopy

By D. E. BRADLEY, Research Laboratory, Associated Electrical Industries Ltd., Aldermaston, Berks.

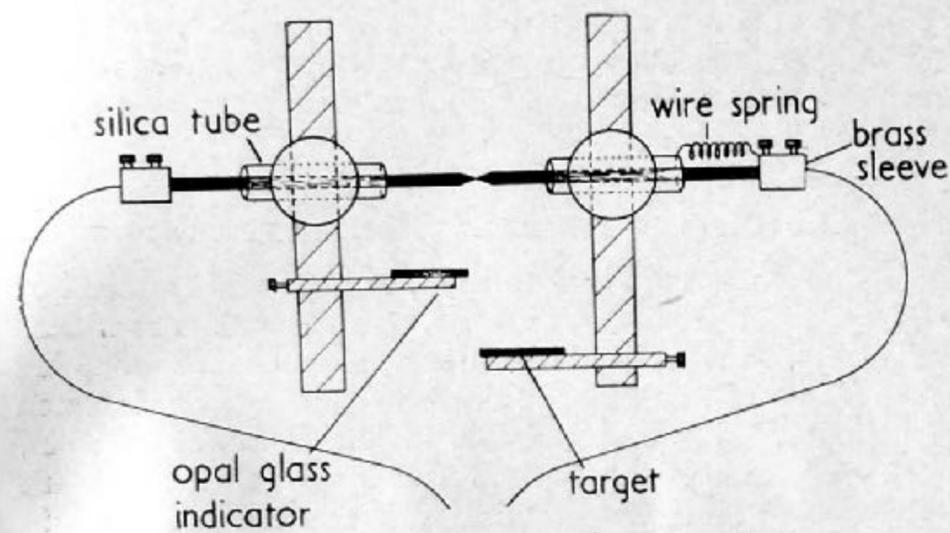
[Paper received 5 August, 1953]

A method has been developed for producing carbon films suitable for electron microscope specimen supports. Carbon is evaporated on to an extremely soluble substrate, which is dissolved away leaving very thin films.

It has been found possible to evaporate carbon to form uniform amorphous films suitable for electron microscope specimen supports. These films are exceptionally strong and can therefore be made extremely thin, so that, since they have a low atomic number, they are highly transparent to electrons.

The method of evaporation is to pass an alternating current of between 20 and 50 A through two pointed carbon rods in a vacuum chamber, with the points held lightly together so that they are not parted during the process. Intense local heating occurs in the region of contact.

The apparatus used is illustrated in the figure. The 0.5 cm diameter carbon arc rods are supported in 0.6 cm internal diameter silica tubing held in bosses screwed to two upright rods. To maintain a slight pressure between the pointed



Apparatus for the evaporation of carbon

ends, one electrode is fixed, and a small spring made from 0.01 in. tantalum or tungsten wire is fitted between the terminal on the other rod and a small hole blown in the silica

found to be Bedacryl 122 X, supplied in a 40% solution in Xylene or in solid form by Imperial Chemical Industries Ltd. Boron oxide or glycerol can also be used and the film mounted from a water surface, but the thinner films break with these substrates.

To coat the target slide, the Bedacryl is first diluted with redistilled benzene to a strength of 6-8% weight/volume. A quantity is then poured over a clean microscope slide and allowed to drain off. The film of resin dries in a few seconds under a lamp.

After evaporation, the film is scored into small squares and floated on to a water surface. Stripping is made easier by breathing heavily on to the film, as with formvar, and some teasing at the edges may be necessary, otherwise Bedacryl strips very easily.

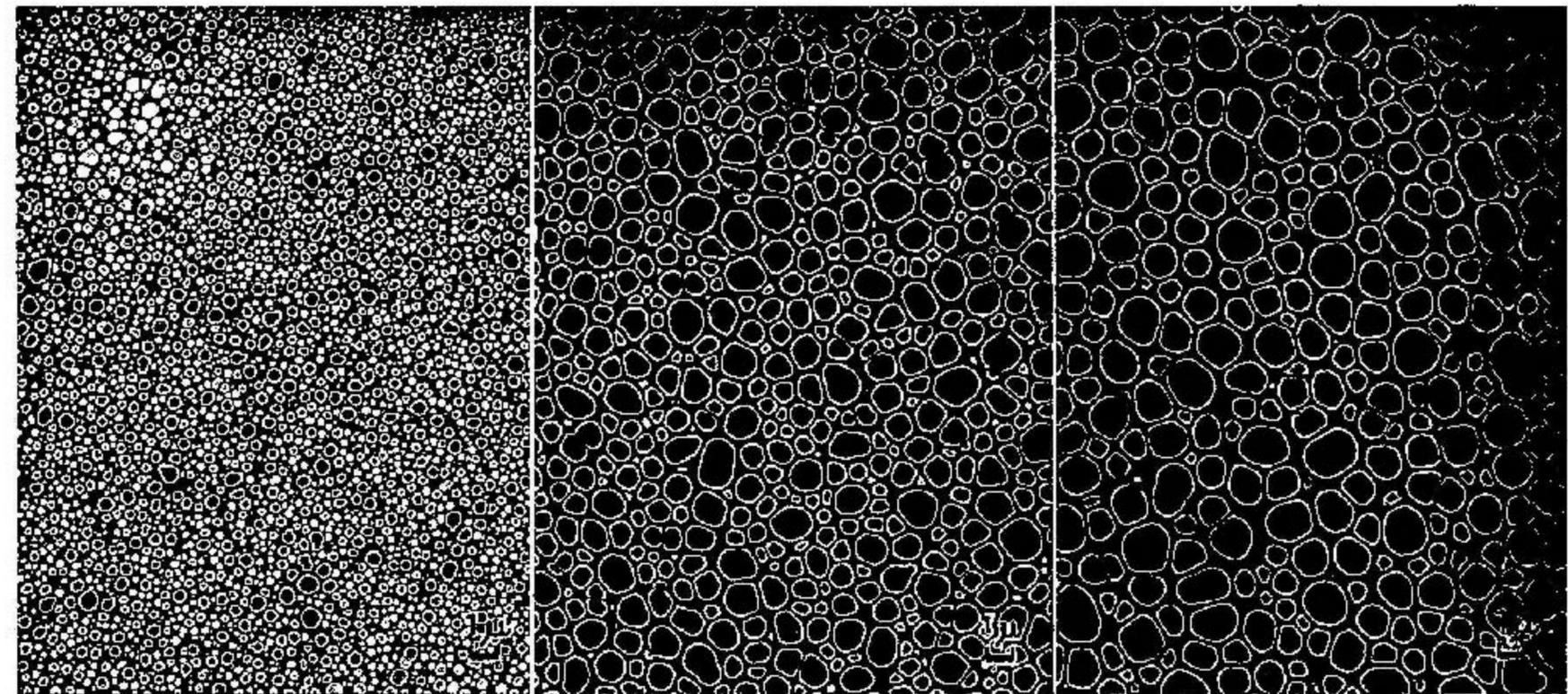
A specimen grid is now slightly bent in such a way that it lies on a flat topped peg supported at the edges only. Alternatively, a peg with a convex top can be used and the bending can be omitted. The bending of the grid or the use of a round-topped peg prevents contact of the film with the surface of the peg over a large area where the film would otherwise subsequently break.

The floating squares are picked up with a lifter consisting of a bent metal strip with a  $\frac{3}{16}$  in. diameter hole in it. They are then inverted over the grids mounted on the pegs in the order: grid, carbon, Bedacryl. If the hole in the lifter is too small, the resin film may break.

At this stage, it has been found necessary to bring the carbon into intimate contact with the grid surface, otherwise the thin film will float off in subsequent washing. This is brought about by rendering the Bedacryl tacky, and then drying it. Thus, four or five drops of methylated spirits are allowed to fall on the specimen and surplus liquid is removed

Patterning plastic with holes  
for EM

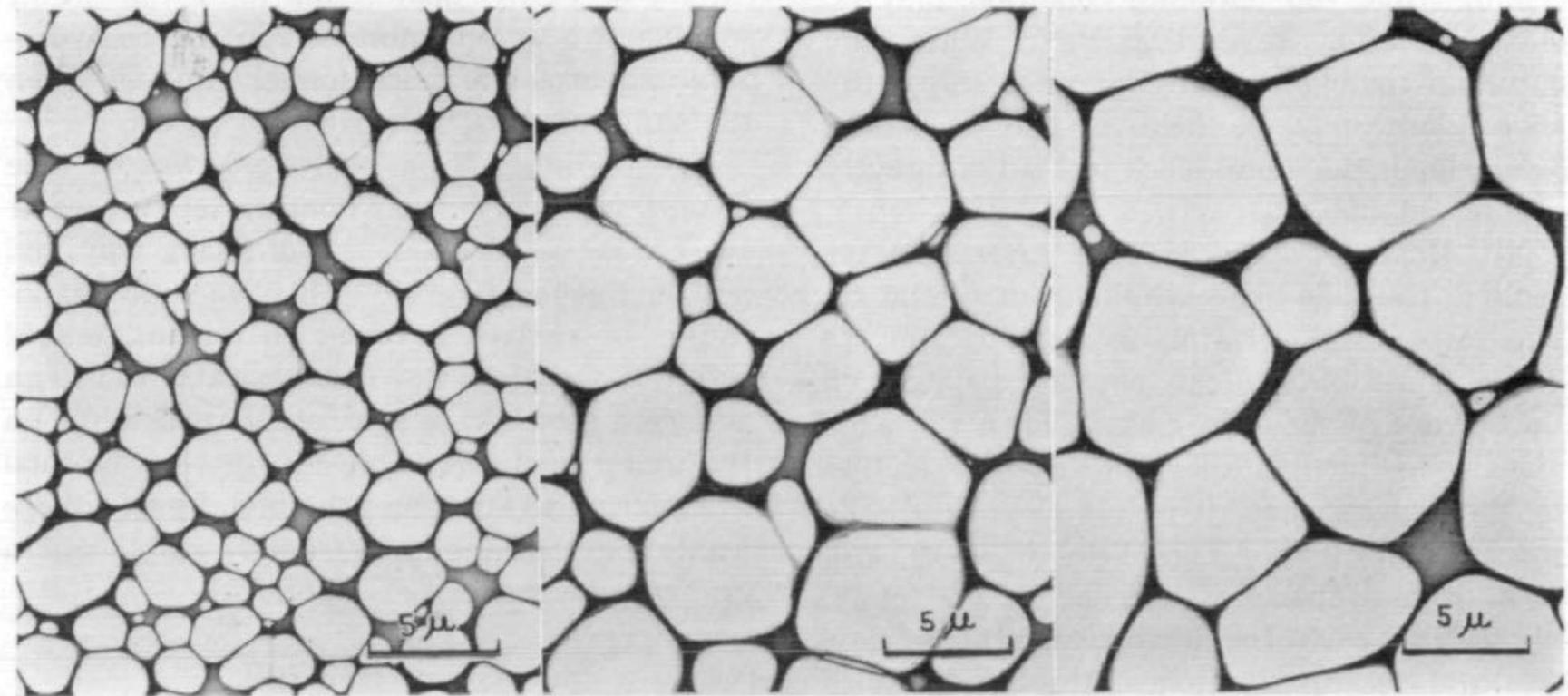
Fukami and Adachi  
1965



0.1~0.5  $\mu$

0.5~1  $\mu$

1~2  $\mu$



2~3  $\mu$

3~6  $\mu$

6~10  $\mu$

Fig. 2. Self-perforated micro grids with various hole sizes.

# 'Graphene' for EM

Beer  
1962

## A SIMPLE PREPARATION OF GRAPHITE-COATED GRIDS FOR HIGH RESOLUTION ELECTRON MICROSCOPY

MICHAEL BEER and PETER J. HIGHTON. From the Department of Biophysics, Johns Hopkins University, Baltimore, Maryland

High resolution electron microscopy requires that the specimen supports be smooth. With this in mind a procedure was developed for cleaving single graphite crystals into thin flakes suitable as specimen supports. Fernández-Morán (1) was the first to use graphite and mica crystals for specimen supports. He as well as Sprague (2) obtained the thin sheets of mica and graphite required through successive cleavages of single crystals by attaching adhesives or plastics to each side of the crystal and then pulling these apart. We have found the complete removal of the adhesive virtually impossible. In this note we report a method in which no adhesives are used, and smooth, clean, thin graphite films are readily obtained.

Single crystals of graphite are obtained from marble embedded with graphite (2). This is mined in Essex County, New York, and can be purchased through Ward's Natural Science Establishment, Inc., Rochester, New York. Concentrated nitric acid dissolves the marble, leaving the graphite and a small amount of quartz. This residue is washed in tap water. A drop of clean ethanol is put on a clean, glass microscope slide. A good, unfragmented, smooth, graphite crystal is placed on the drop of ethanol. Another slide is placed over this, and the sandwich is lowered into liquid nitrogen and left there until the rapid boiling ceases. The glass-graphite sandwich is then removed and the glass slides are forced apart. It is found that the frozen ethanol

acts as an adhesive and the graphite is cleaved, leaving part of the crystal attached to each glass slide. The glass slides are allowed to warm up, the condensed water removed, another drop of ethanol placed on each crystal, and the procedure repeated. Successive splittings are continued until at least a portion of the crystal is sufficiently thin to be light grey. Such flakes are then floated off on water after much of the ethanol has evaporated, but before the condensed water has completely dried off. The graphite flakes then can be picked up on grids coated with polybutene (3). During one afternoon perhaps two dozen graphite-coated grids can be prepared with a little practice. Light grey flakes are thin enough for high resolution microscopy. The adsorption properties appear to be similar to those of evaporated carbon and the graphite grids can be used for the recovery of long DNA molecules in a manner similar to that described previously (4). Quantitative aspects of the improvement in background are now under investigation.

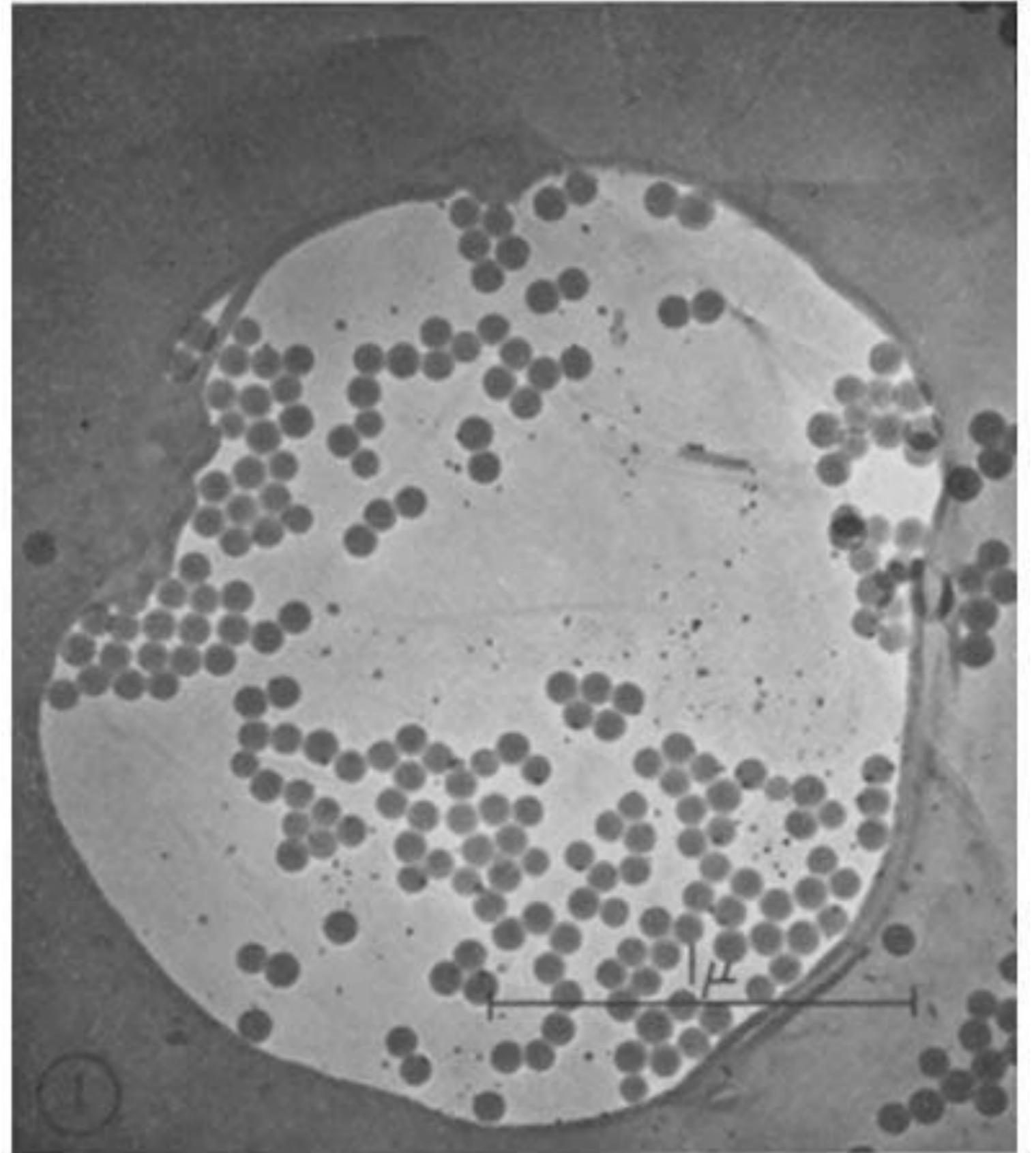
*Received for publication, December 5, 1961.*

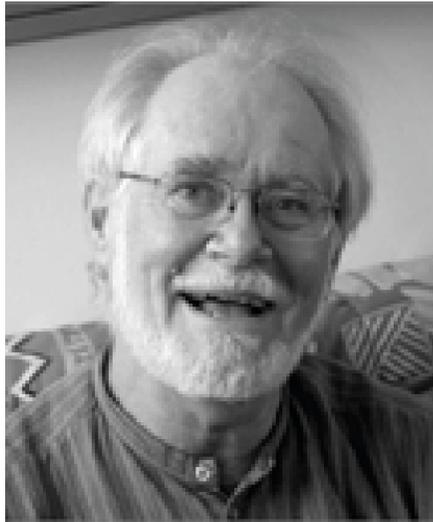
### REFERENCES

1. FERNANDEZ-MORAN, H., *J. Appl. Physics*, 1960, **31**, 1840.
2. SPRAGUE, R., 1960, personal communication.
3. BARTON, A. A., *Stain Technol.*, 1960 **35**, 287.
4. BEER, M., and ZOBEL, C. R., *J. Mol. Biol.*, 1961, **3**, 717.

Reduced 'graphene oxide'  
on lacey carbon

Dobelle & Beer  
1968





Jacques Dubochet

## Cryo-electron microscopy of viruses

Marc Adrian, Jacques Dubochet, Jean Lepault & Alasdair W. McDowall

European Molecular Biology Laboratory, Postfach 10.2209, D-6900 Heidelberg, FRG

*Thin vitrified layers of unfixed, unstained and unsupported virus suspensions can be prepared for observation by cryo-electron microscopy in easily controlled conditions. The viral particles appear free from the kind of damage caused by dehydration, freezing or adsorption to a support that is encountered in preparing biological samples for conventional electron microscopy. Cryo-electron microscopy of vitrified specimens offers possibilities for high resolution observations that compare favourably with any other electron microscopical method.*

*Quarterly Review of Biophysics* **21**, 2 (1988), pp. 129–228

129

*Printed in Great Britain*

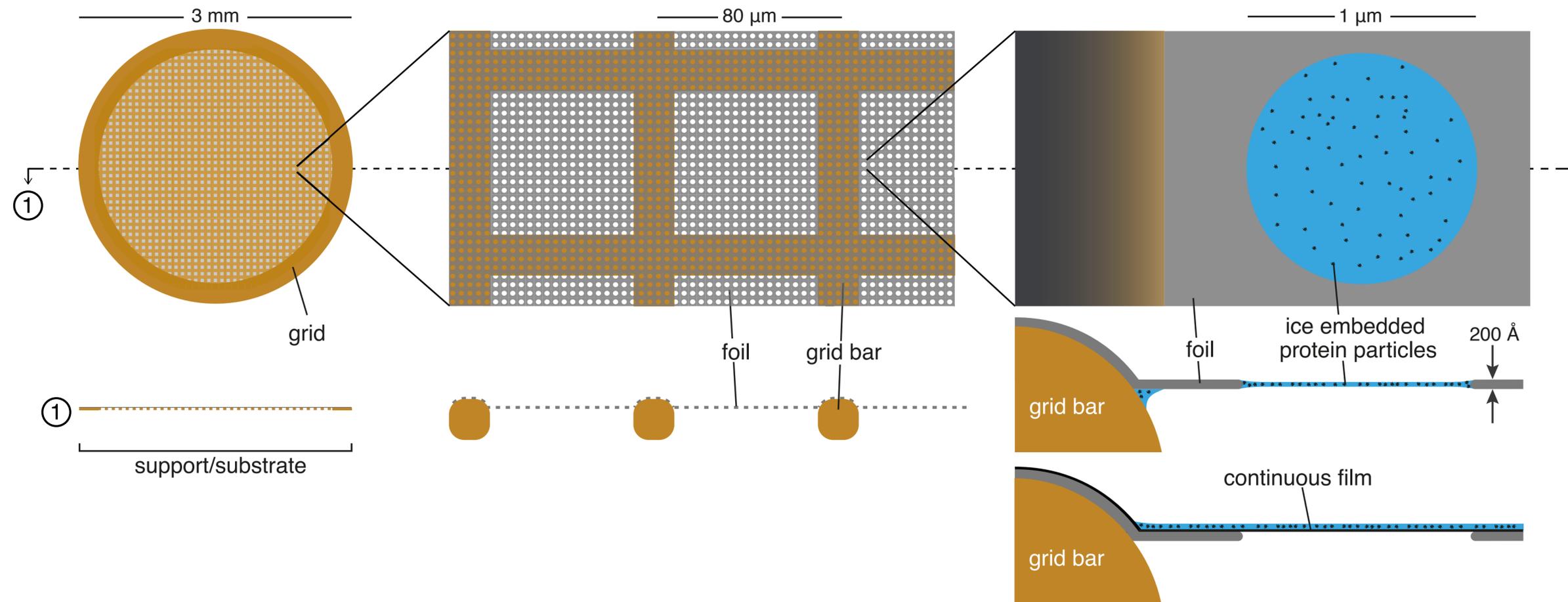
## Cryo-electron microscopy of vitrified specimens

Vitreous = glass, amorphous  
NOT crystalline

JACQUES DUBOCHET<sup>1</sup>, MARC ADRIAN<sup>2</sup>, JIIN-JU CHANG<sup>3</sup>,  
JEAN-CLAUDE HOMO<sup>4</sup>, JEAN LEPAULT<sup>5</sup>,  
ALASDAIR W. MCDOWALL<sup>6</sup> AND PATRICK SCHULTZ<sup>4</sup>

*European Molecular Biology Laboratory (EMBL), Postfach 10. 2209, D-6900 Heidelberg, FRG*

# Types of specimen supports



## Grid materials

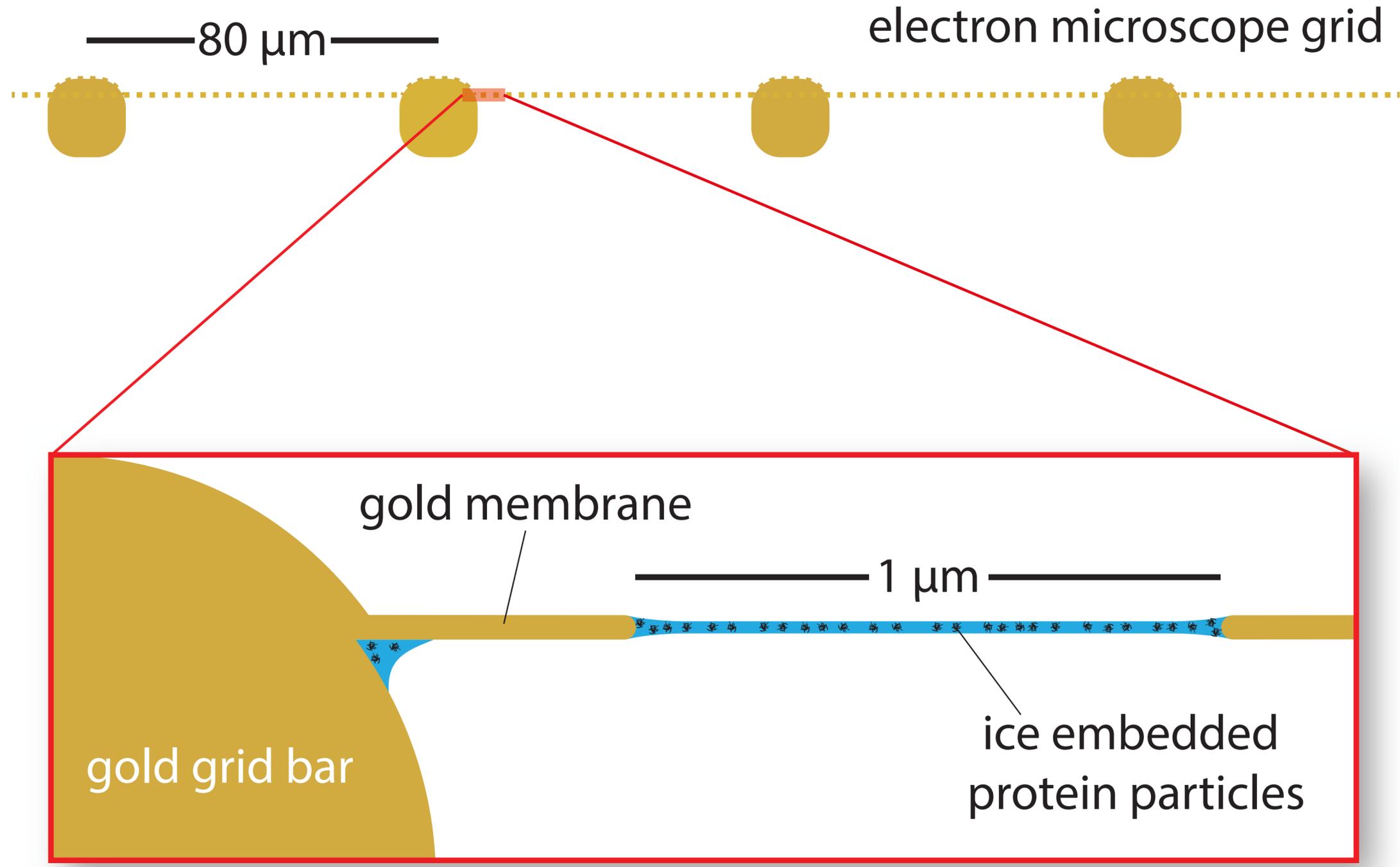
<b>Copper</b>	<b>Gold</b>
Nickel	CuRh
Titanium	Molybdenum
Silicon	Aluminum
	Tungsten

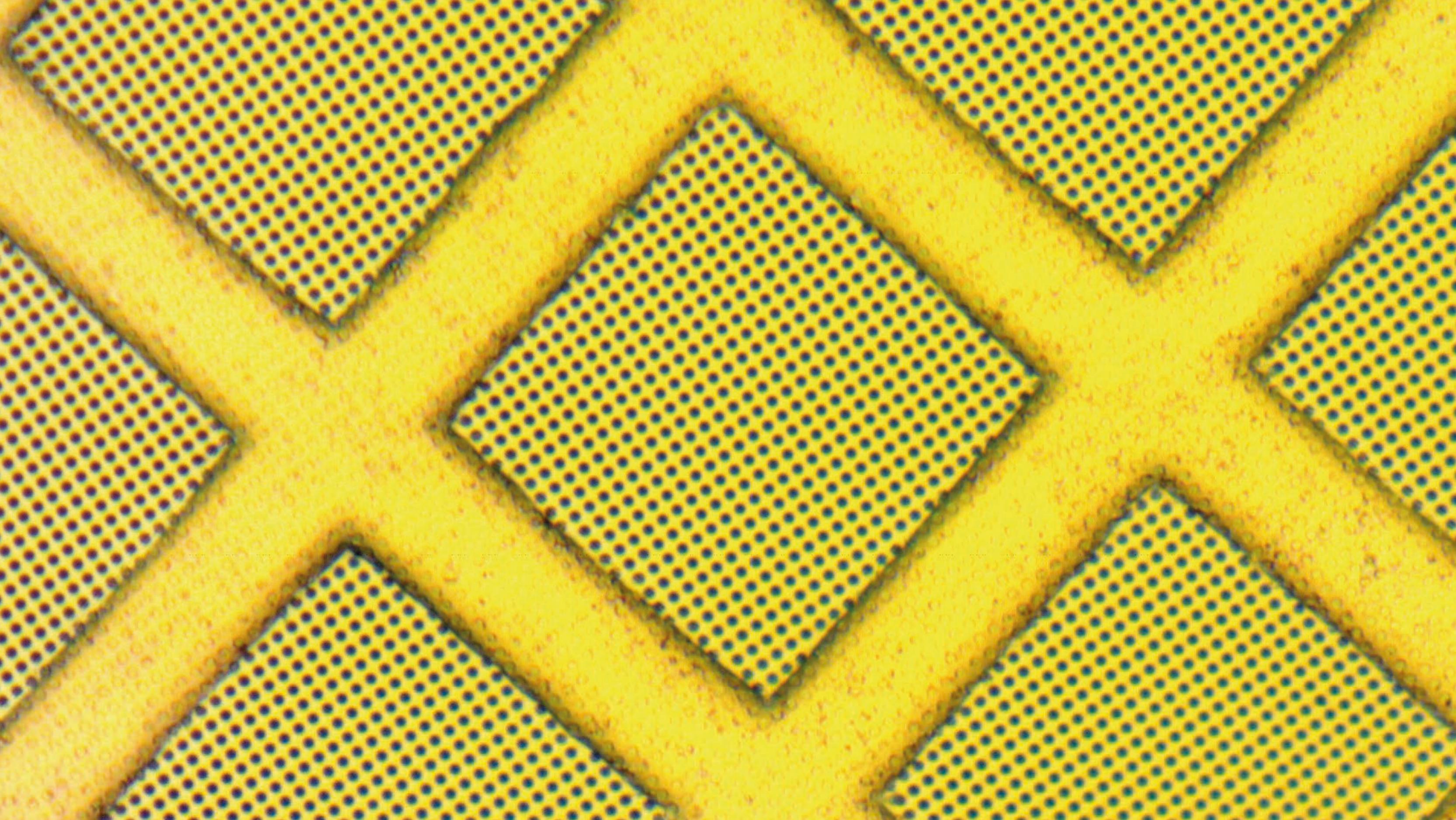
## Foil materials

<b>Amorphous carbon</b>	
<b>Gold</b>	
TiSi	SiN
SiO <sub>2</sub>	SiC

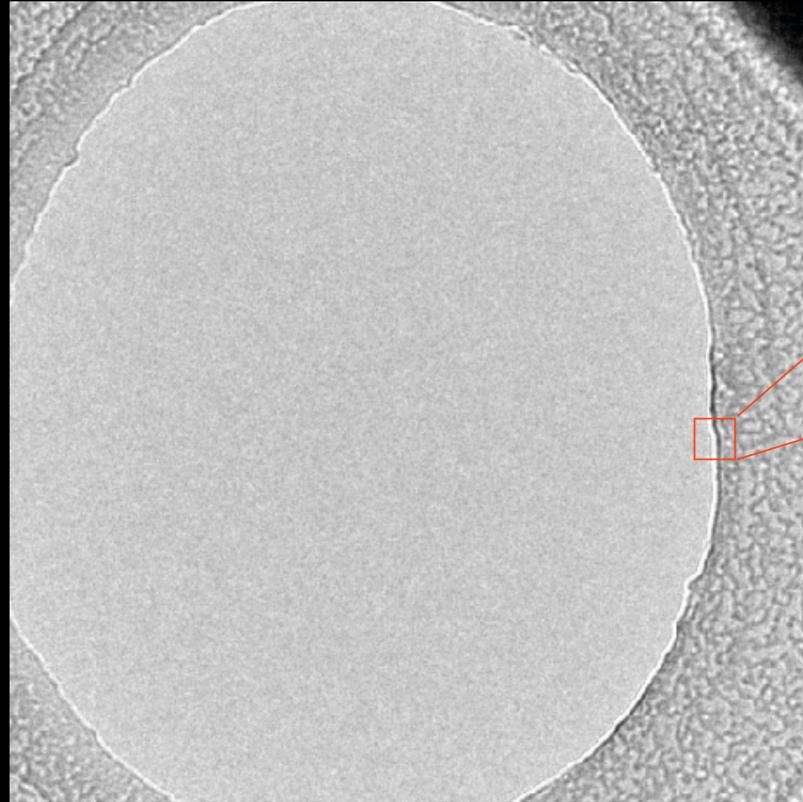
## Film materials

<b>Amorphous carbon</b>
Graphene
Graphene oxide
TiSi
<b>SA 2D crystal</b>
<b>&amp; others</b>



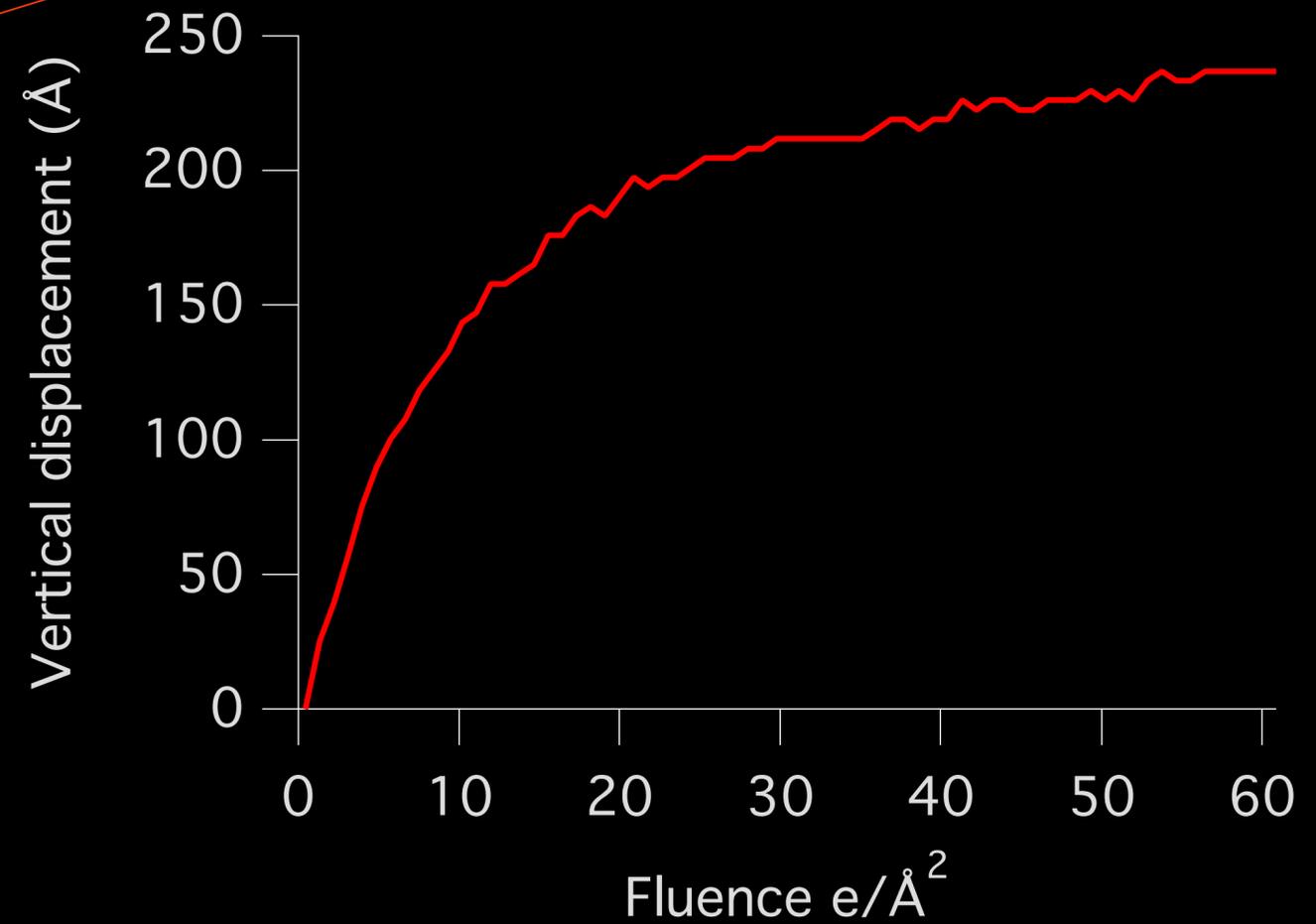
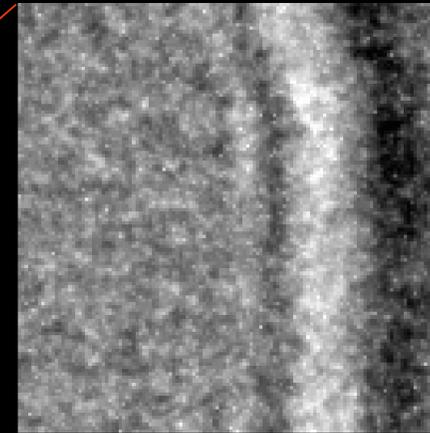


# Grid vertical movement

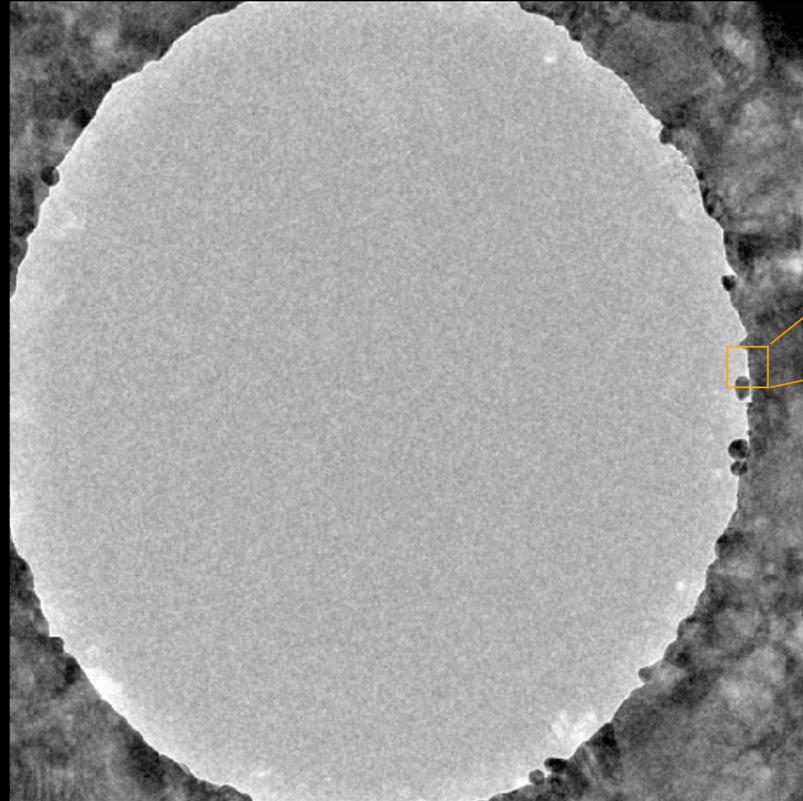


Quantifoil

16 e/Å<sup>2</sup>/s  
30° tilt  
real time

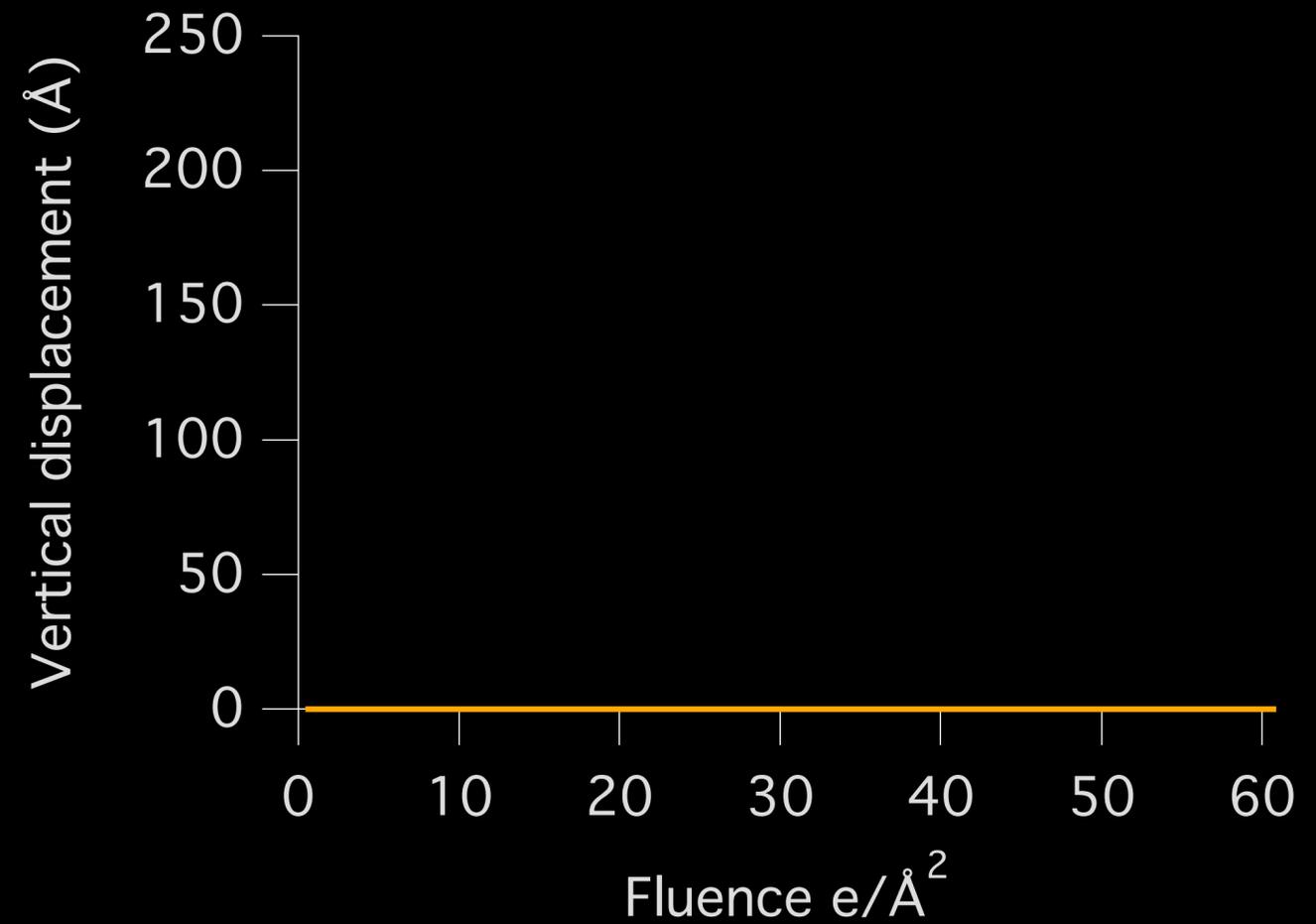
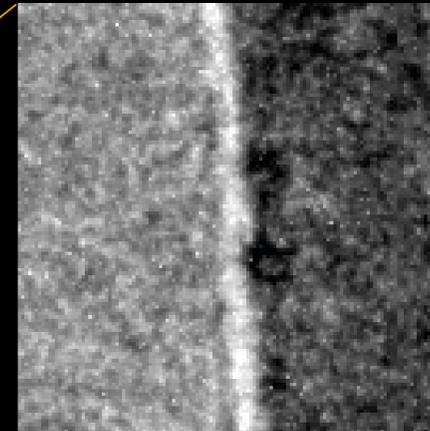


# Grid vertical movement

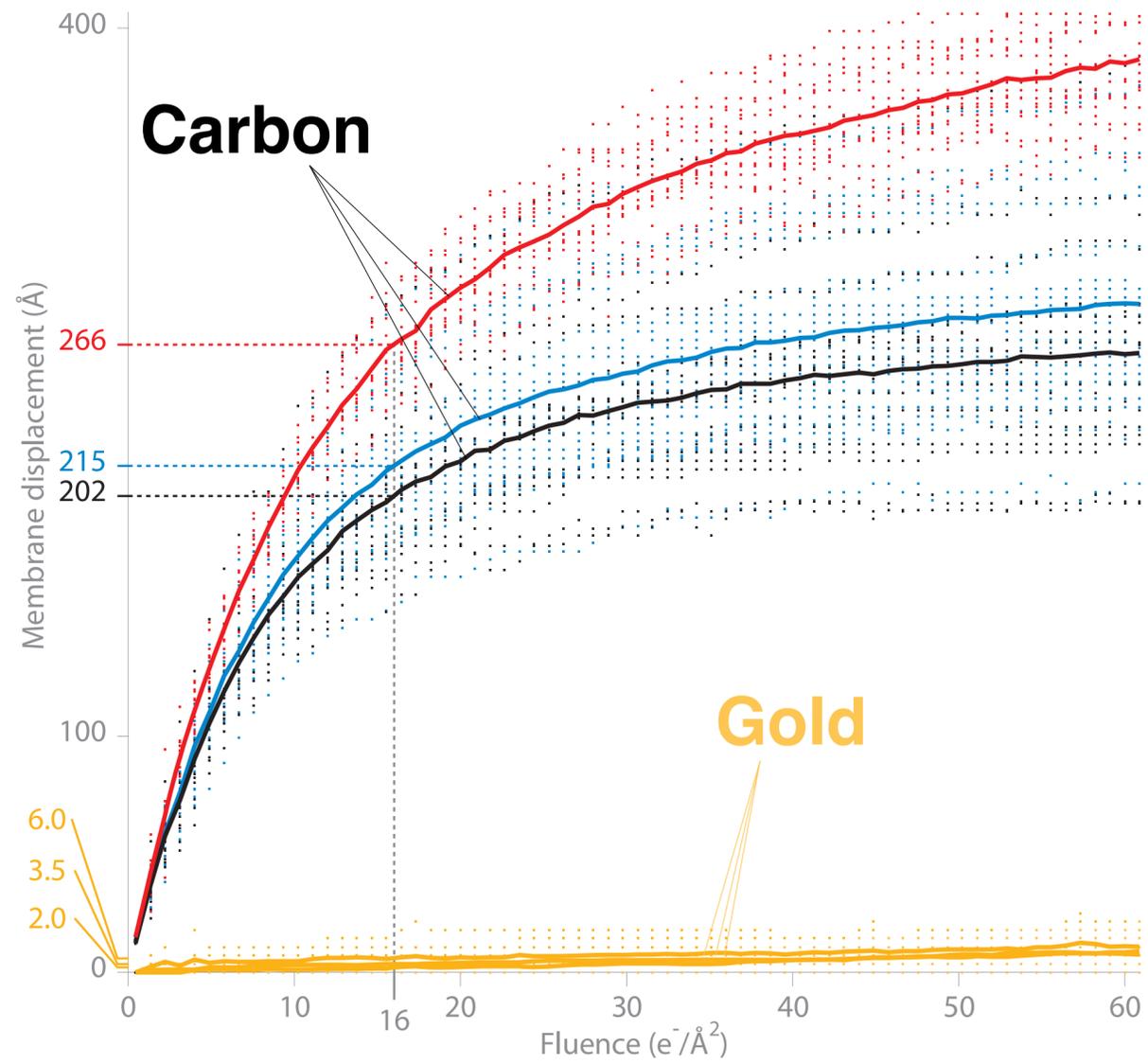


Gold

16 e/Å<sup>2</sup>/s  
30° tilt  
real time

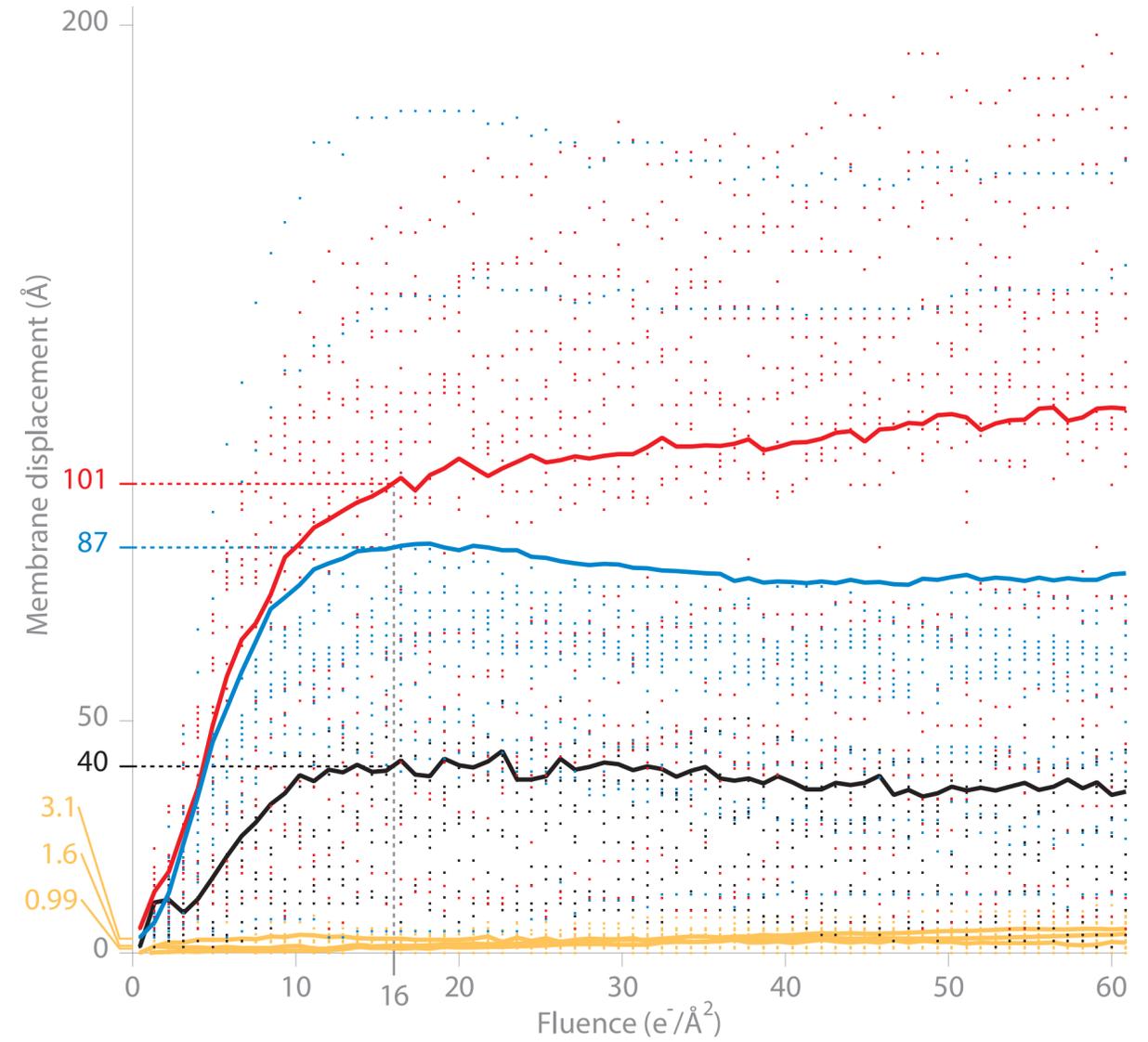


# No ice



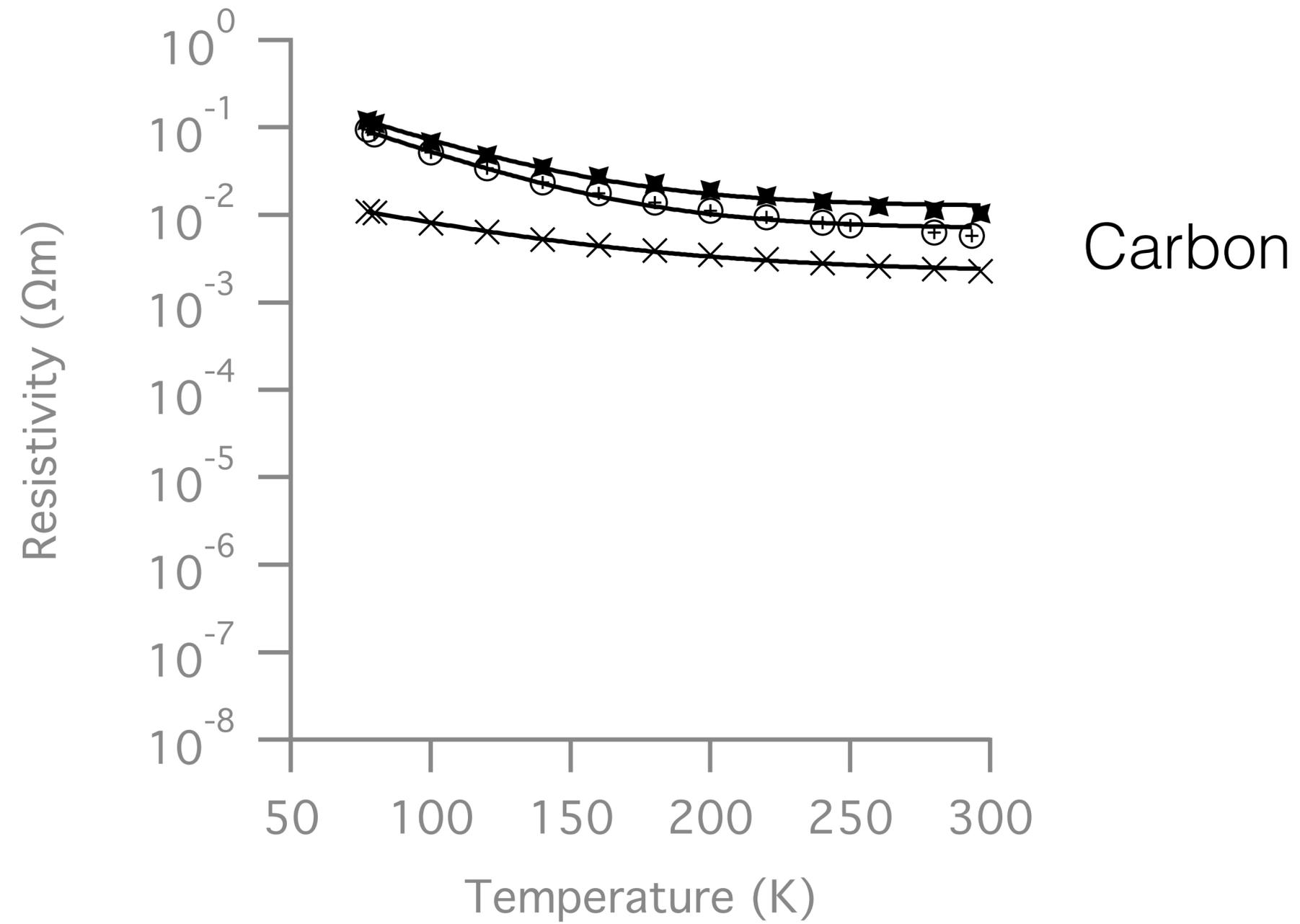
228 Å vs. 3.8 Å

# With ice

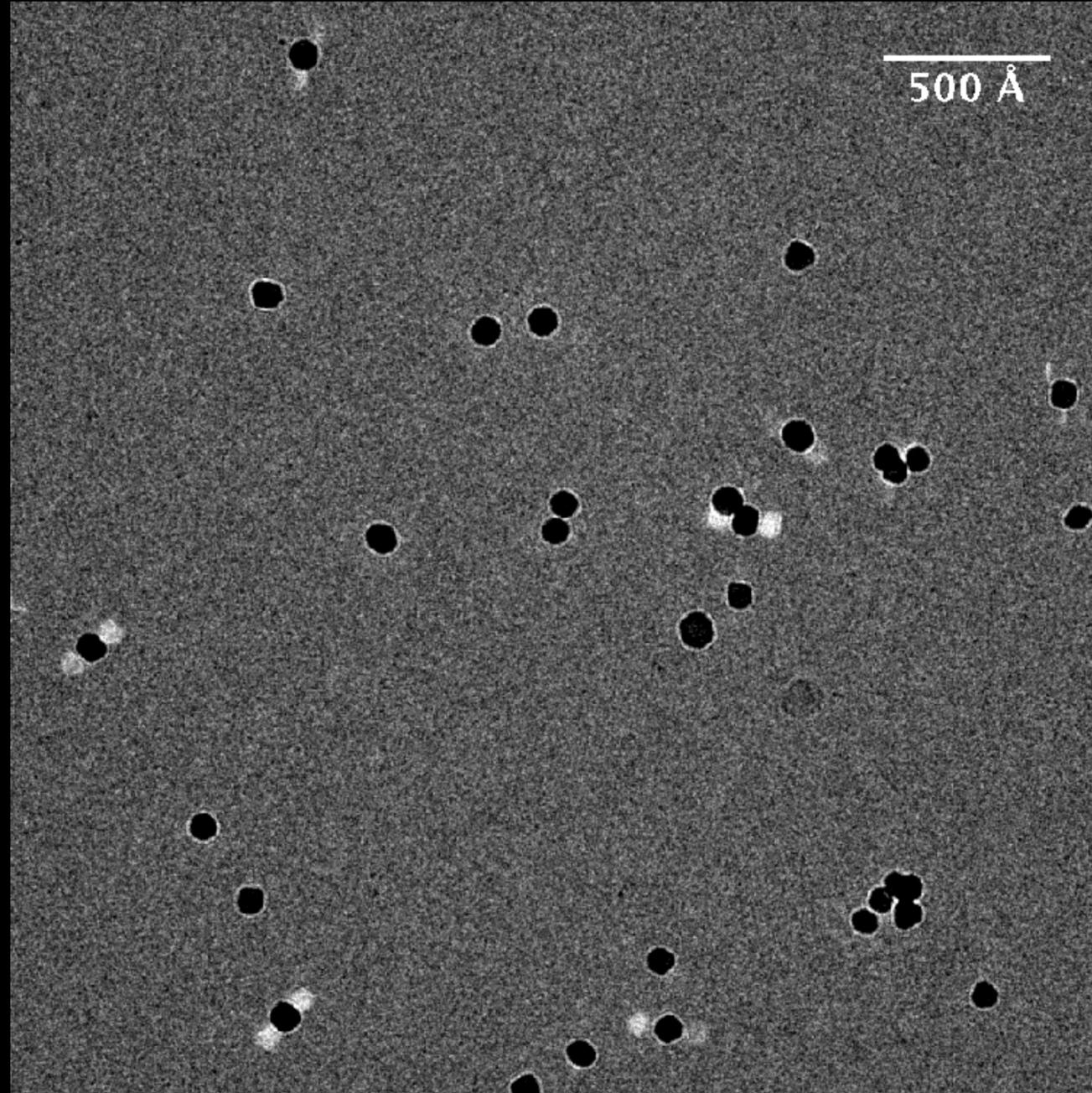


76 Å vs. 1.9 Å

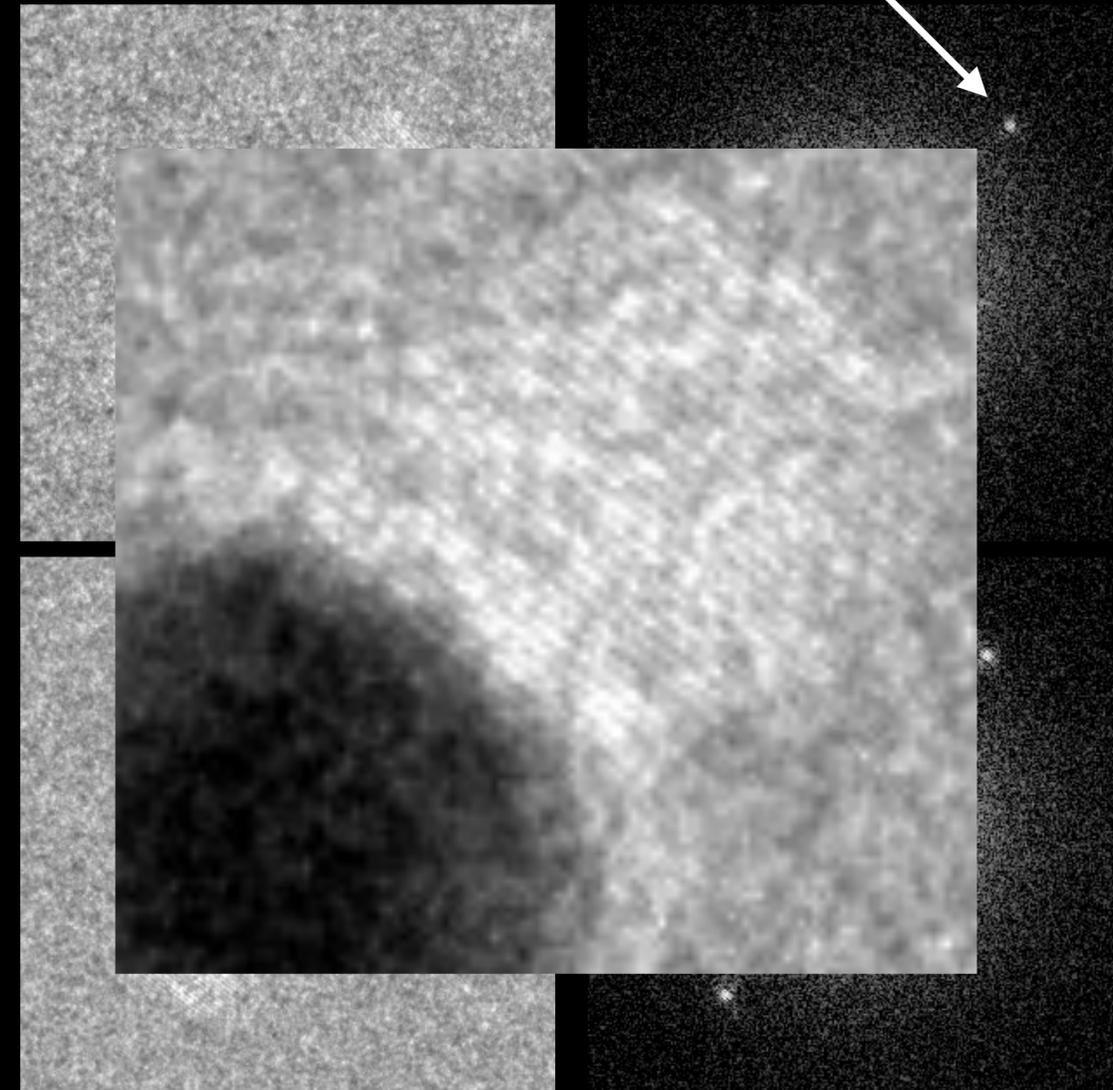
# Thin film resistivity measurements



# Seeing atoms in ice



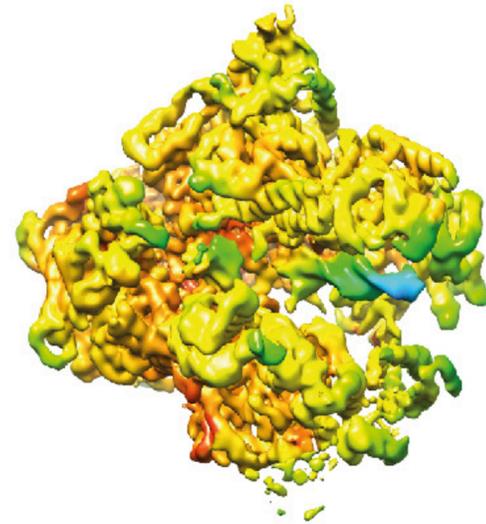
100 Å gold particles in ice



300 keV 20 e-/Å<sup>2</sup> Polara Falcon 3  
Raw micrograph no motion correction

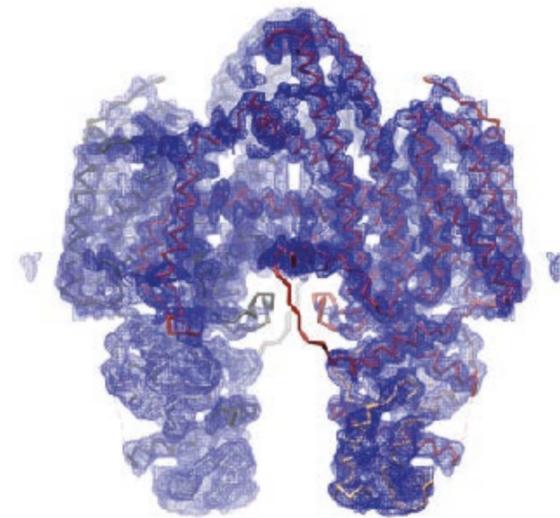
Pol I  
3.8 Å

Neyer et al. 2016



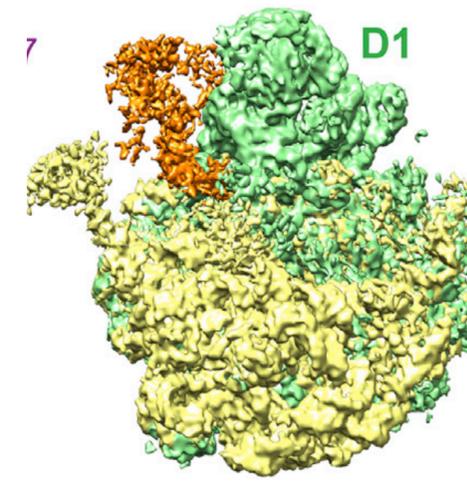
STRA6  
3.9 Å

Chen et al. 2016



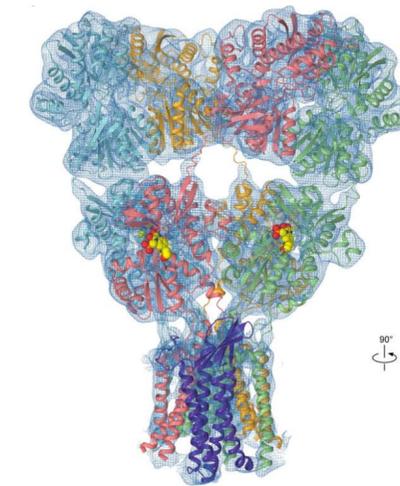
60S ribo  
4.4 Å

Davis et al. 2016



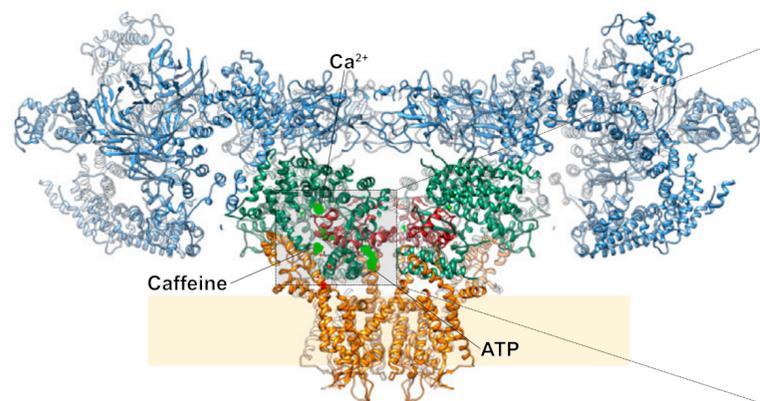
AMPA receptor  
5.6 Å

Twomey et al. 2016



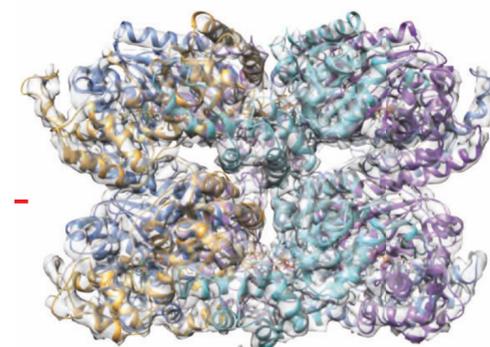
RyR1  
3.6 Å

des Georges et al. 2016



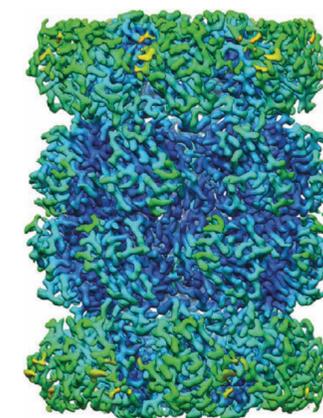
p97 AAAase  
3.9 Å

Ripstein et al. 2017



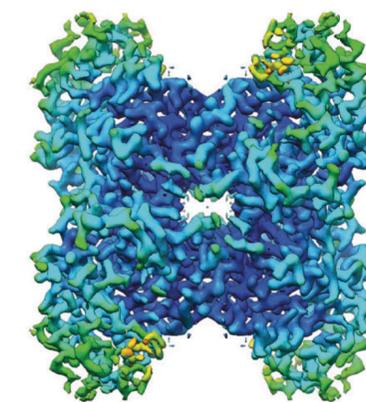
Proteasome  
3.1 Å

Herzik et al. 2017



Adolase  
2.6 Å

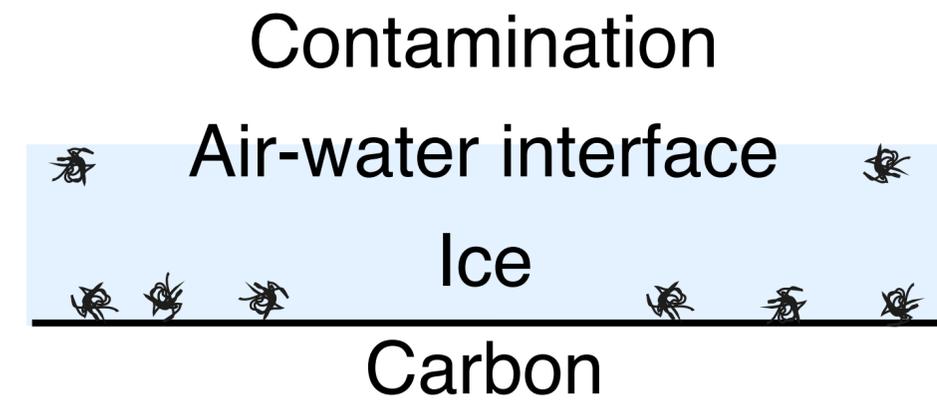
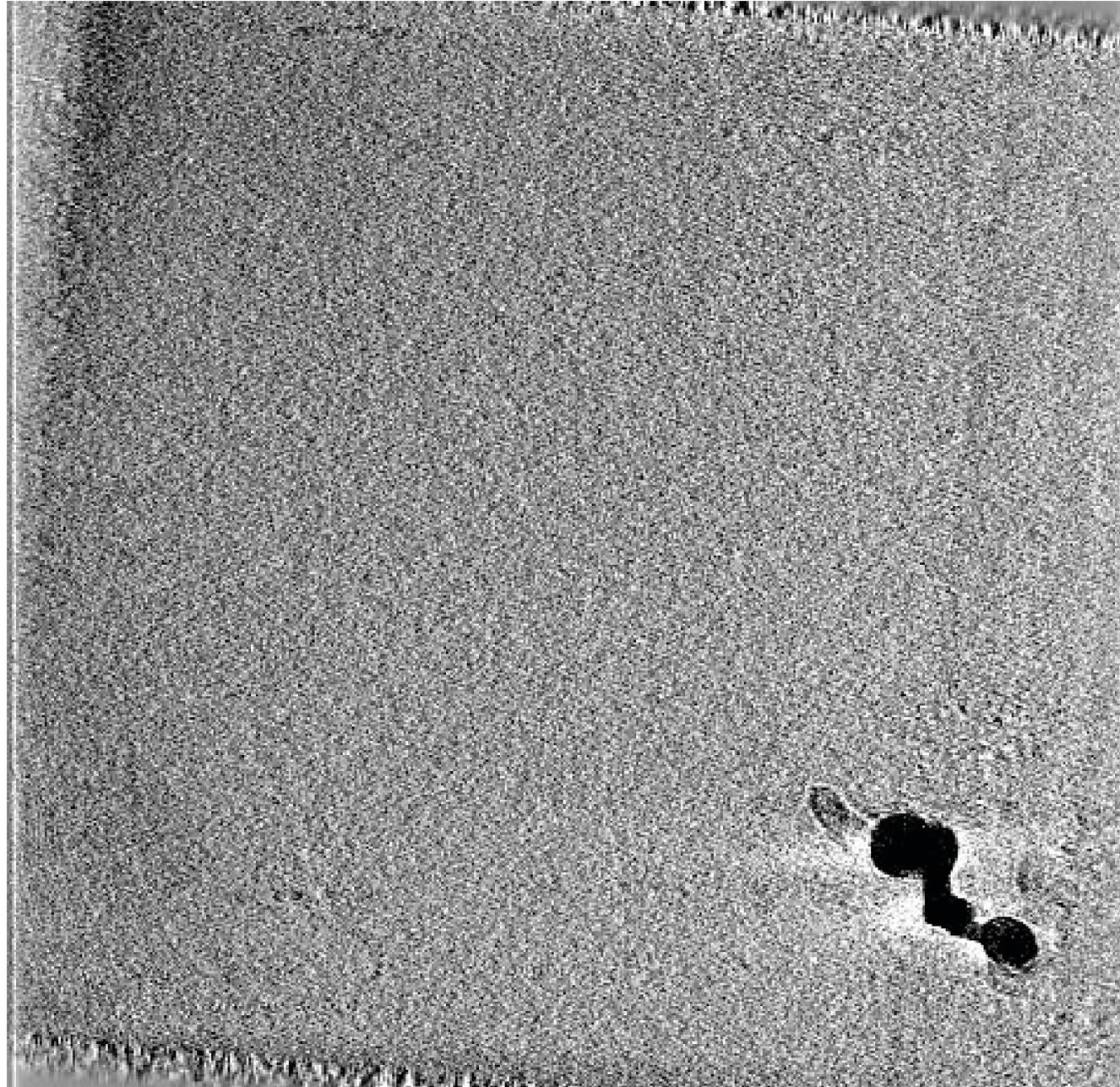
Herzik et al. 2017



# Surfaces:

*Why do they matter?*

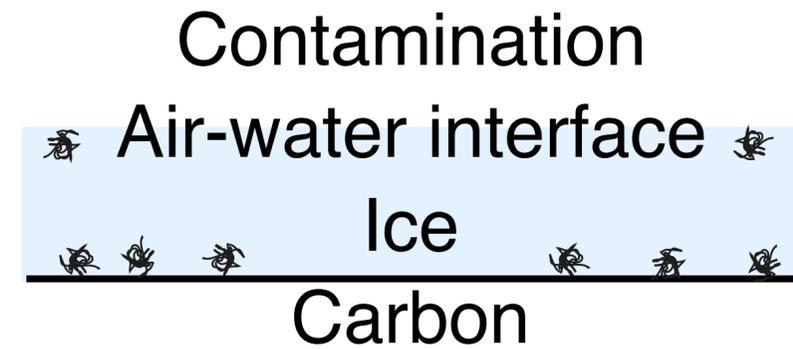
# Proteins adhere to surfaces



Tomogram of ribosomes in ice on an amorphous carbon film  
courtesy of Tanmay Bharat

*See also Alex Noble*

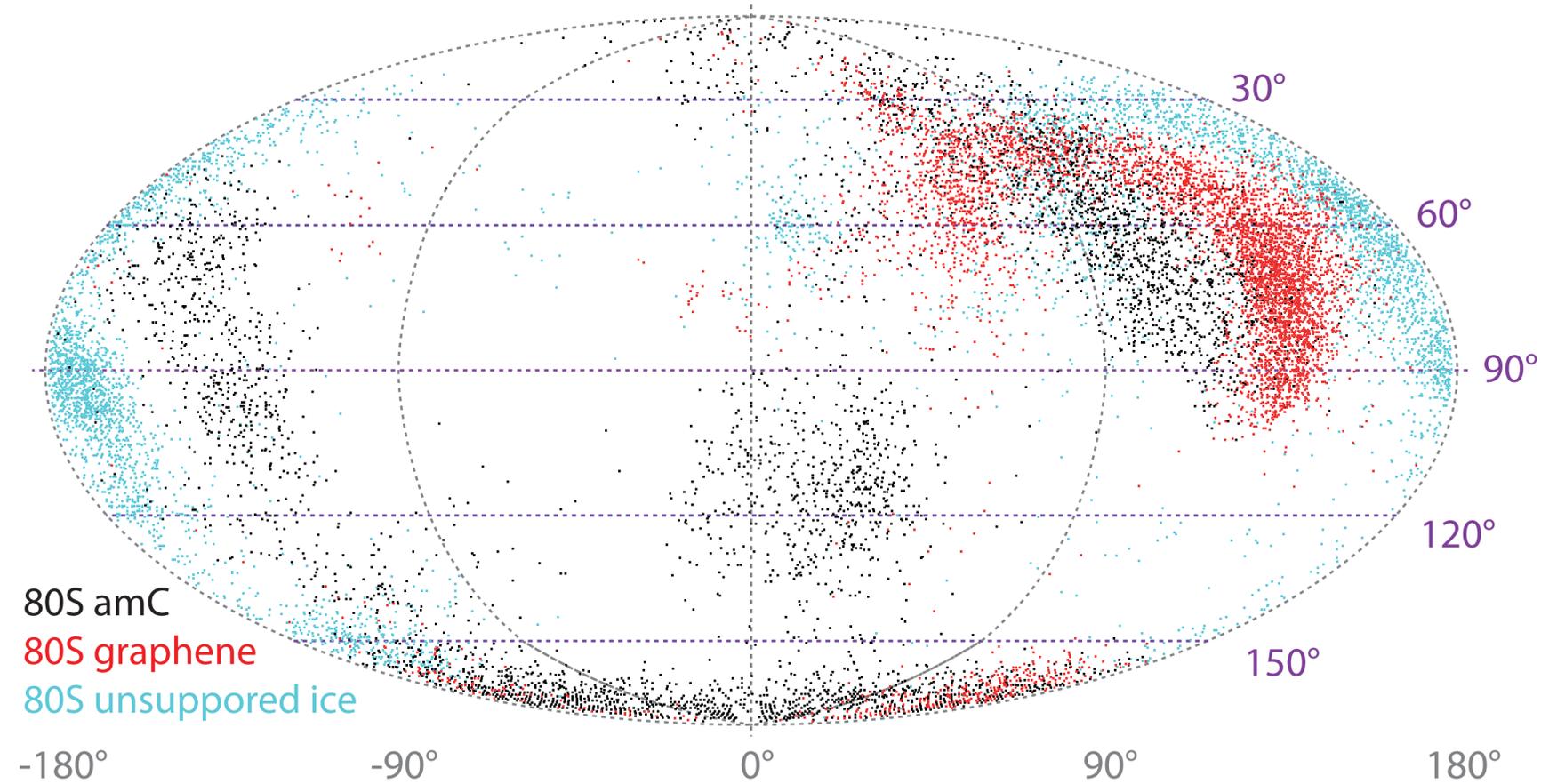
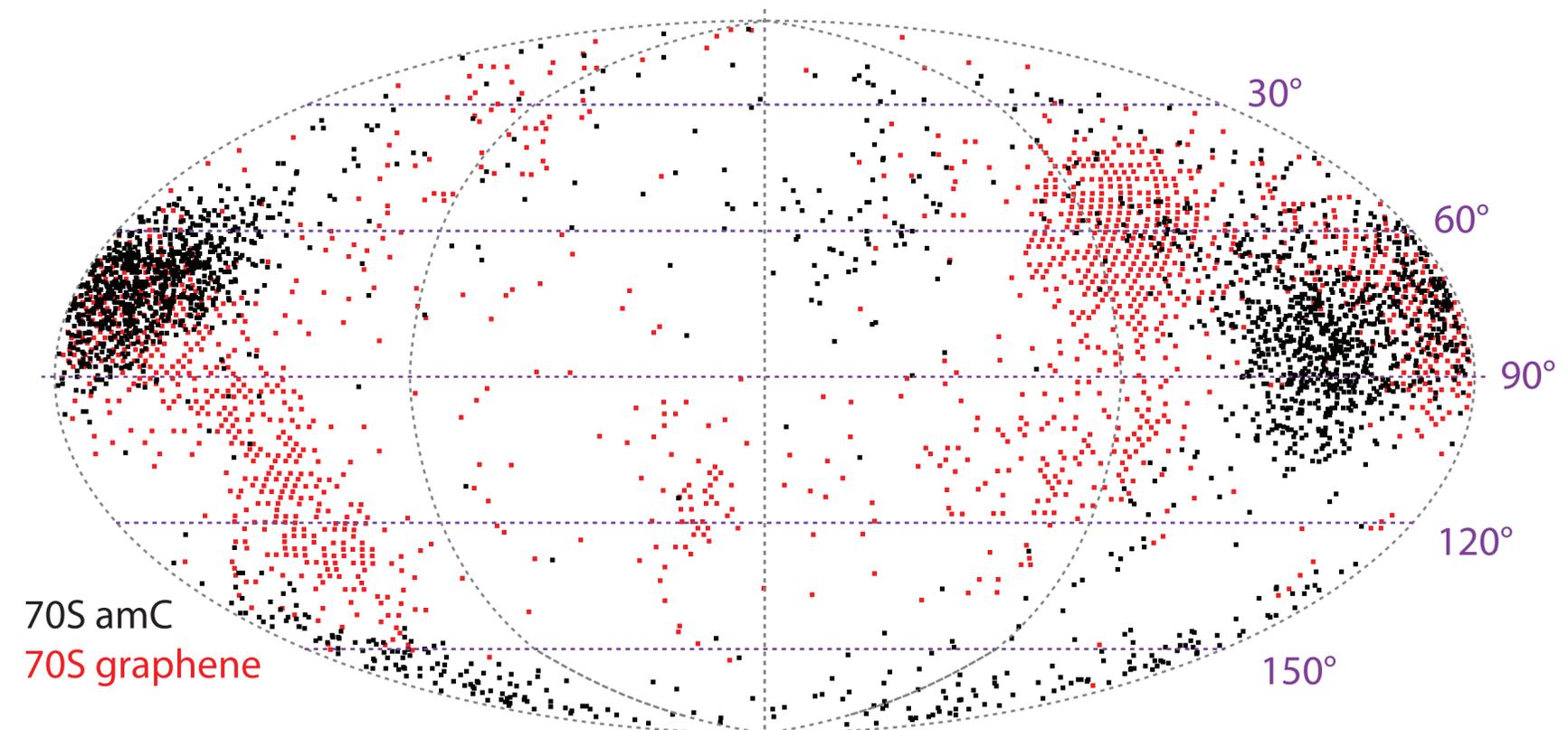
# Proteins interact with water surfaces before freezing

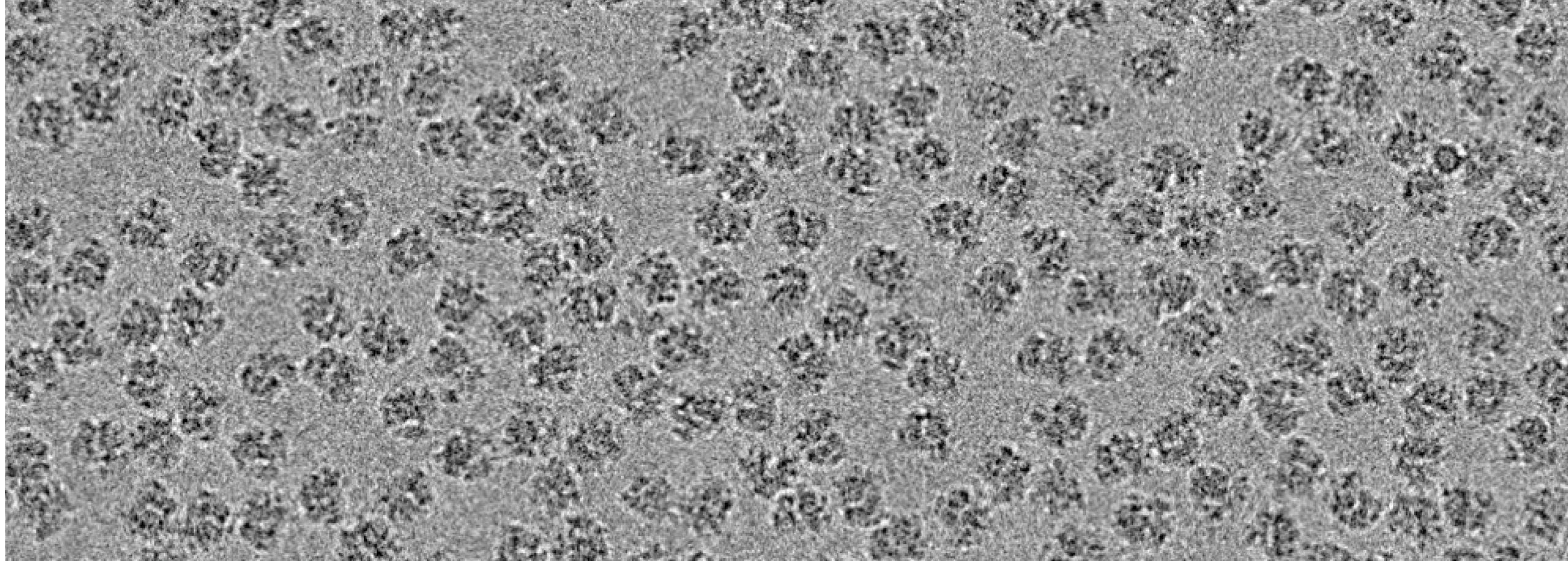


$$\Delta x = \sqrt{2Dt} \quad D \propto MW^{-1/3}$$

Supplementary Table 1: **Diffusion of molecules in a thin film of water**

$MW$ (kDa)	$D$ ( $\text{cm}^2\text{s}^{-1}$ )	Residence time (s)	$d$ ( $\text{\AA}$ )	Num. short-range interactions	Num. hydrophobic interactions	Num. electrostatic interactions
10	$1 \times 10^{-6}$	10	500	$10^3$	$10^3$	$10^3$
$10^2$	$5 \times 10^{-7}$	10	500	$10^3$	$10^3$	$10^3$
$10^3$	$2 \times 10^{-7}$	10	500	$10^3$	$10^3$	$10^3$
$10^4$	$1 \times 10^{-7}$	10	2000	$10^2$	$10^2$	$10^2$
10	$1 \times 10^{-6}$	1	500	$10^3$	$10^3$	$10^3$
$10^2$	$5 \times 10^{-7}$	1	500	$10^2$	$10^2$	$10^3$
$10^3$	$2 \times 10^{-7}$	1	500	$10^2$	$10^2$	$10^2$
$10^4$	$1 \times 10^{-7}$	1	2000	10	10	$10^2$
10	$1 \times 10^{-6}$	$10^{-3}$	500	10	10	10
$10^2$	$5 \times 10^{-7}$	$10^{-3}$	500	10	10	10
$10^3$	$2 \times 10^{-7}$	$10^{-3}$	500	10	10	10
$10^4$	$1 \times 10^{-7}$	$10^{-3}$	2000	1	1	1





Number of particles in projection/ $\mu\text{m}^2$  in 800 Å thick ice film (separation)

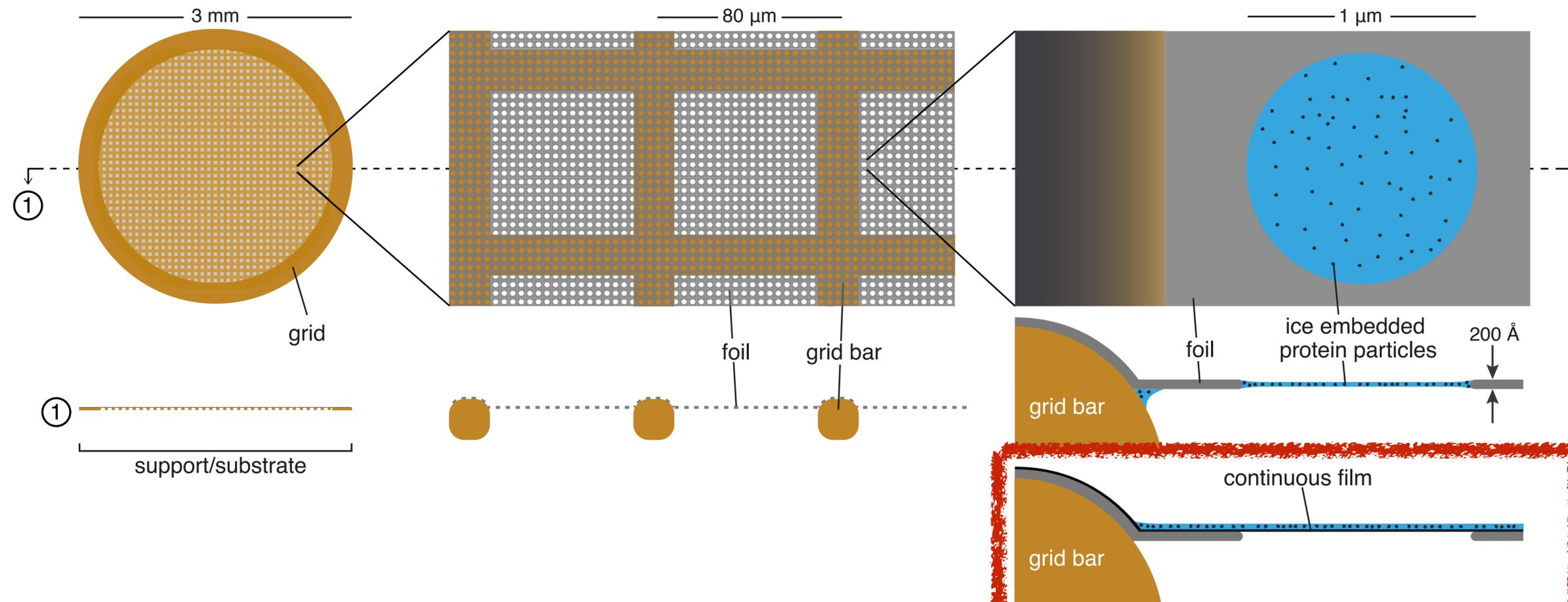
**Concentration**

<b>M.W.</b>	10mg/ml	2mg/ml	0.5mg/ml	0.1mg/ml	20 $\mu\text{g/ml}$
10 kD	48000 (45Å)	10000 (100Å)	2500 (200Å)	500 (450 Å)	100 (1000 Å)
50 kD	10000 (100Å)	2000 (220Å)	500 (400Å)	100 (1000Å)	20 (0.2 $\mu\text{m}$ )
250kD	2000 (220Å)	400 (500 Å)	100 (1000 Å)	20 (0.2 $\mu\text{m}$ )	4 (0.5 $\mu\text{m}$ )
1 MD	500 (400Å)	100 (1000Å)	25 (0.2 $\mu\text{m}$ )	5 (0.4 $\mu\text{m}$ )	1 (1 $\mu\text{m}$ )
5 MD	100 (1000Å)	20 (0.2 $\mu\text{m}$ )	5 (0.4 $\mu\text{m}$ )	1 (1 $\mu\text{m}$ )	0.2 (2.2 $\mu\text{m}$ )
25 MD	20 (0.2 $\mu\text{m}$ )	4 (0.5 $\mu\text{m}$ )	1 (1 $\mu\text{m}$ )	0.2 (2.2 $\mu\text{m}$ )	0.04 (5 $\mu\text{m}$ )

# Surfaces:

*What are the current options?*

# Types of specimen supports



## Grid materials

<b>Copper</b>	<b>Gold</b>
Nickel	CuRh
Titanium	Molybdenum
Silicon	Aluminum
	Tungsten

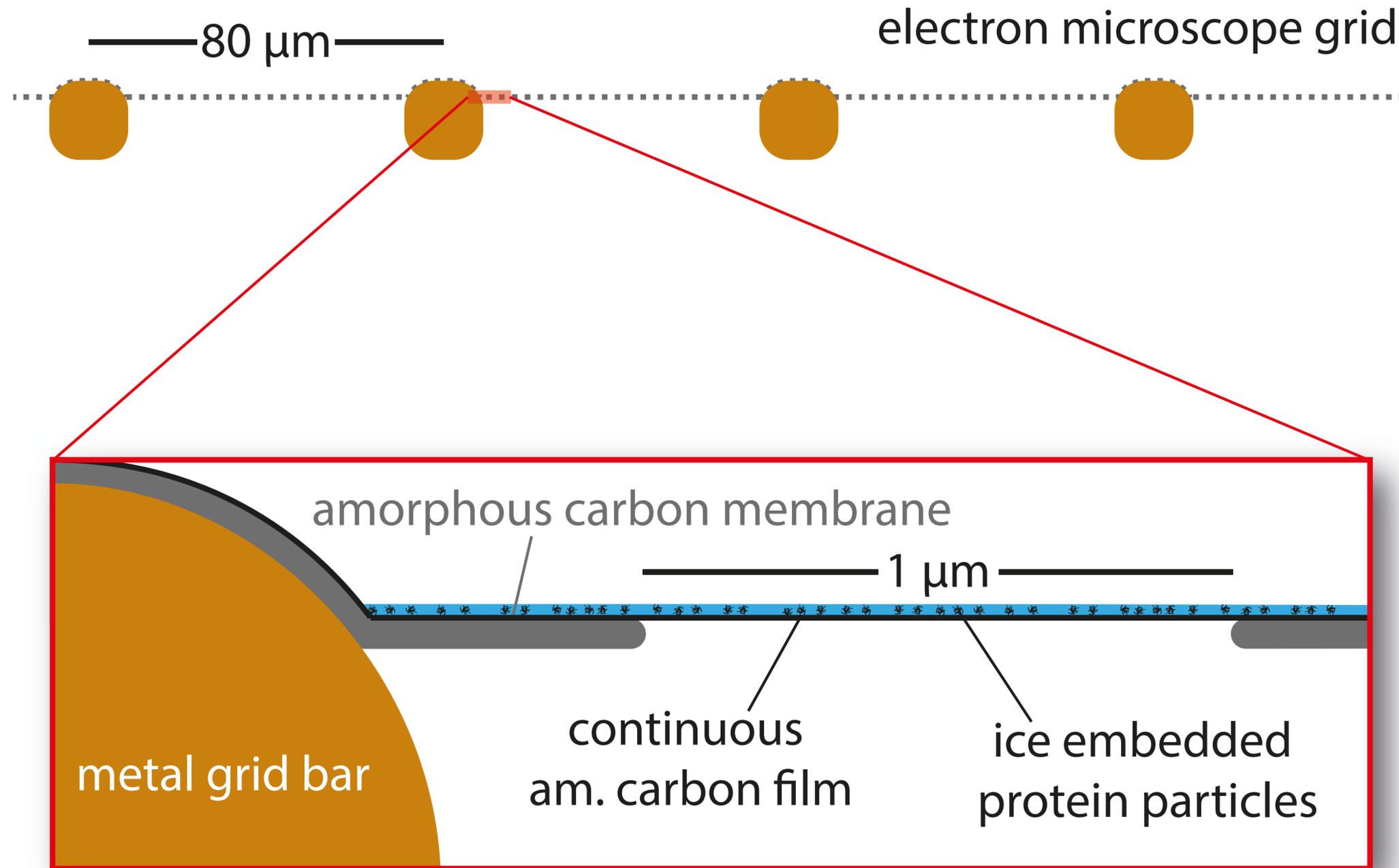
## Foil materials

<b>Amorphous carbon</b>	
<b>Gold</b>	
TiSi	SiN
SiO <sub>2</sub>	SiC

## Film materials

<b>Amorphous carbon</b>
Graphene
Graphene oxide
TiSi
<b>SA 2D crystal</b>
<b>&amp; others</b>

# Continuous carbon films



# Surfaces:

*How do we modify them?*

# Plasma treatment

- Plasma created by ionisation of a low pressure gas
  - E.g. in air (glow discharge), oxygen, argon, hydrogen
- Ions interact with surface to remove adsorbed contamination and render it hydrophilic
- Other molecules can be introduced to alter the surface, e.g. Amylamine

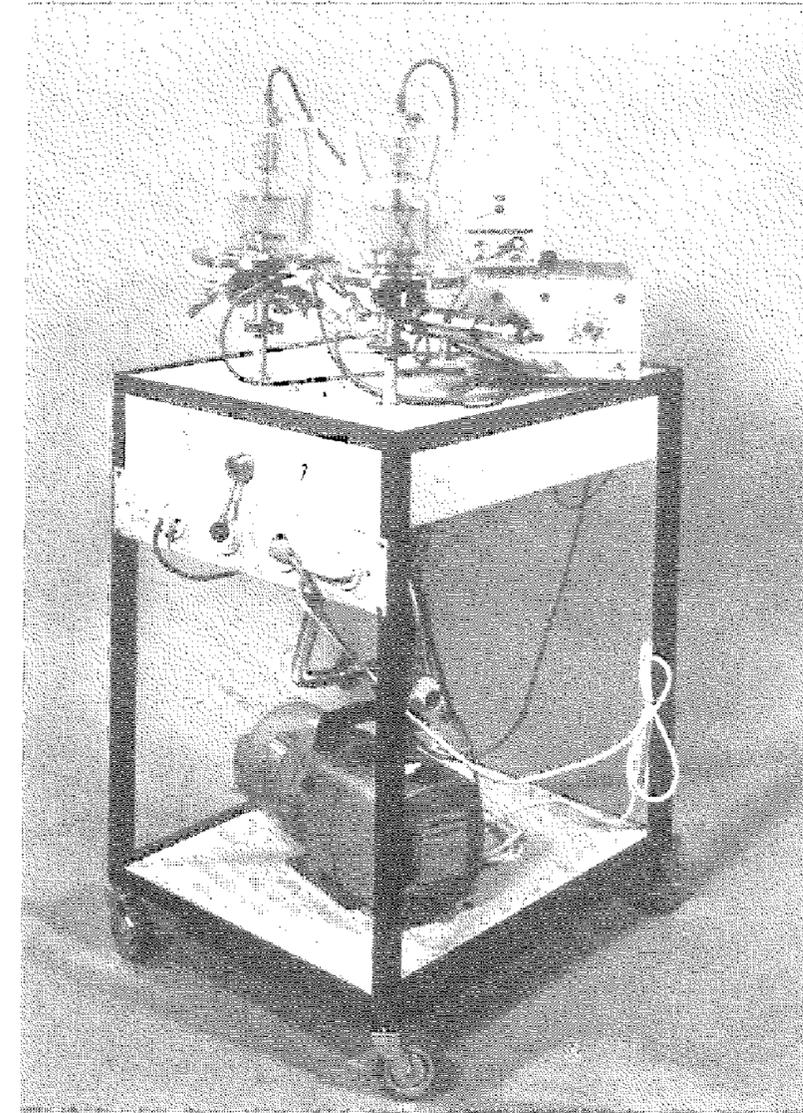
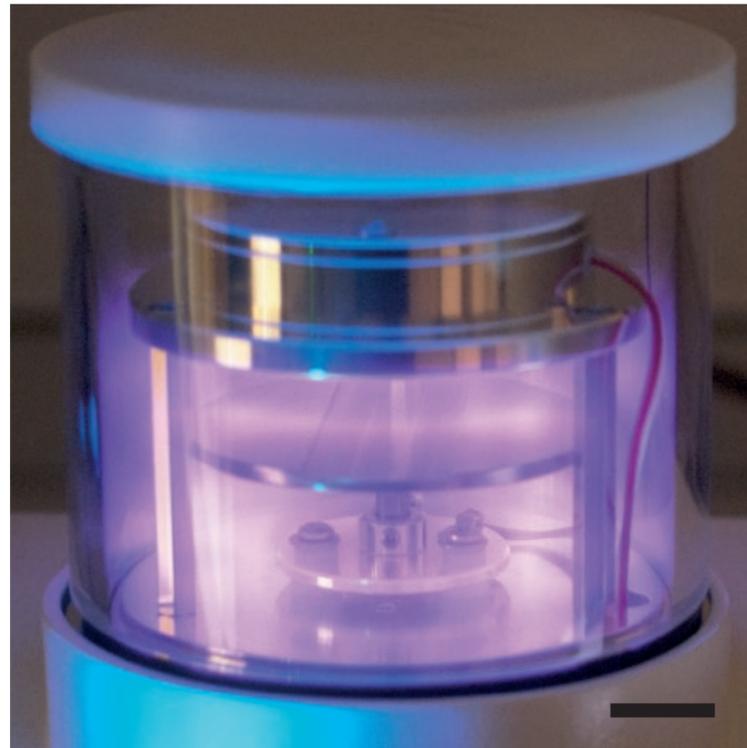
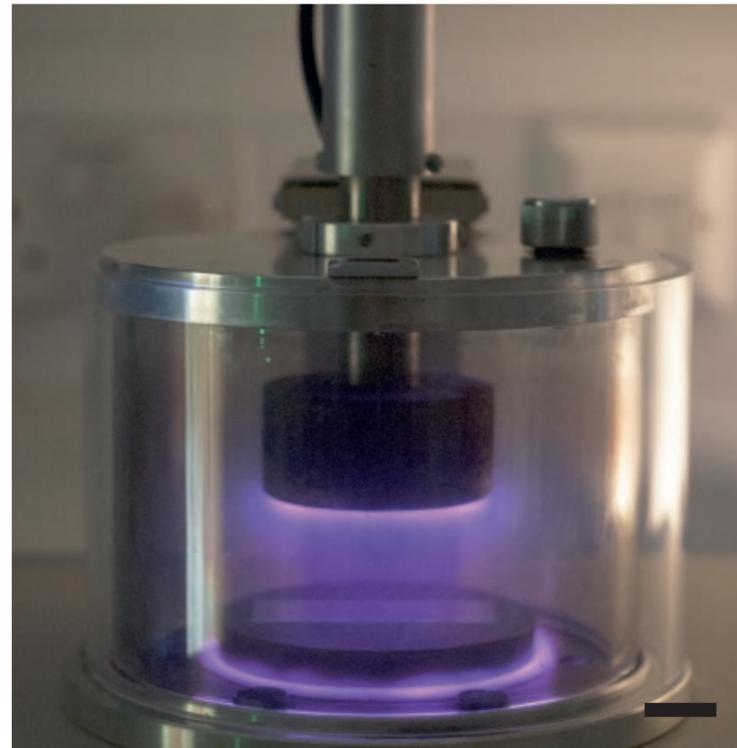


FIG. 6. Photograph of the glow discharge apparatus.

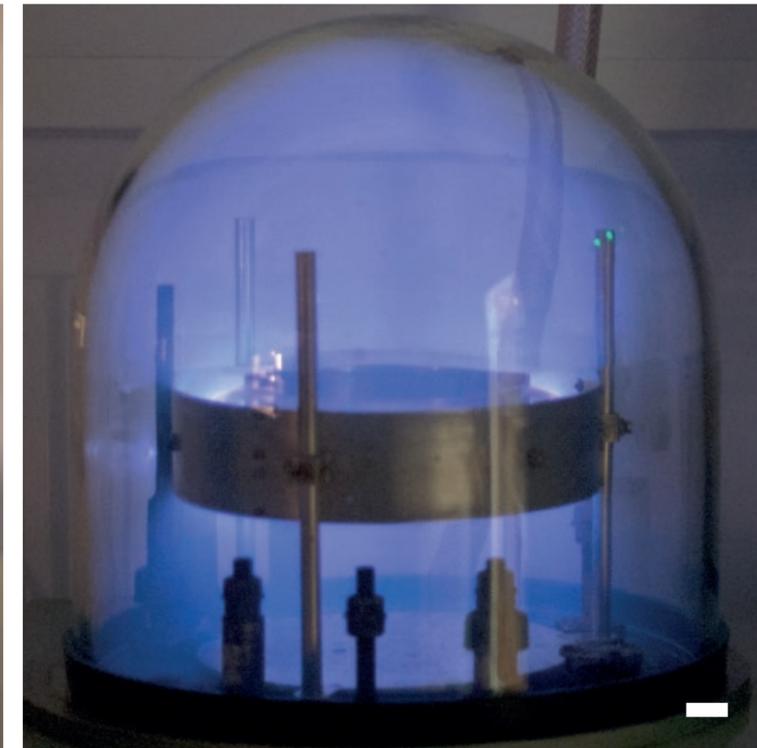
# Residual air plasmas: glow discharge



Ted Pella easyGlow (c. 2015)

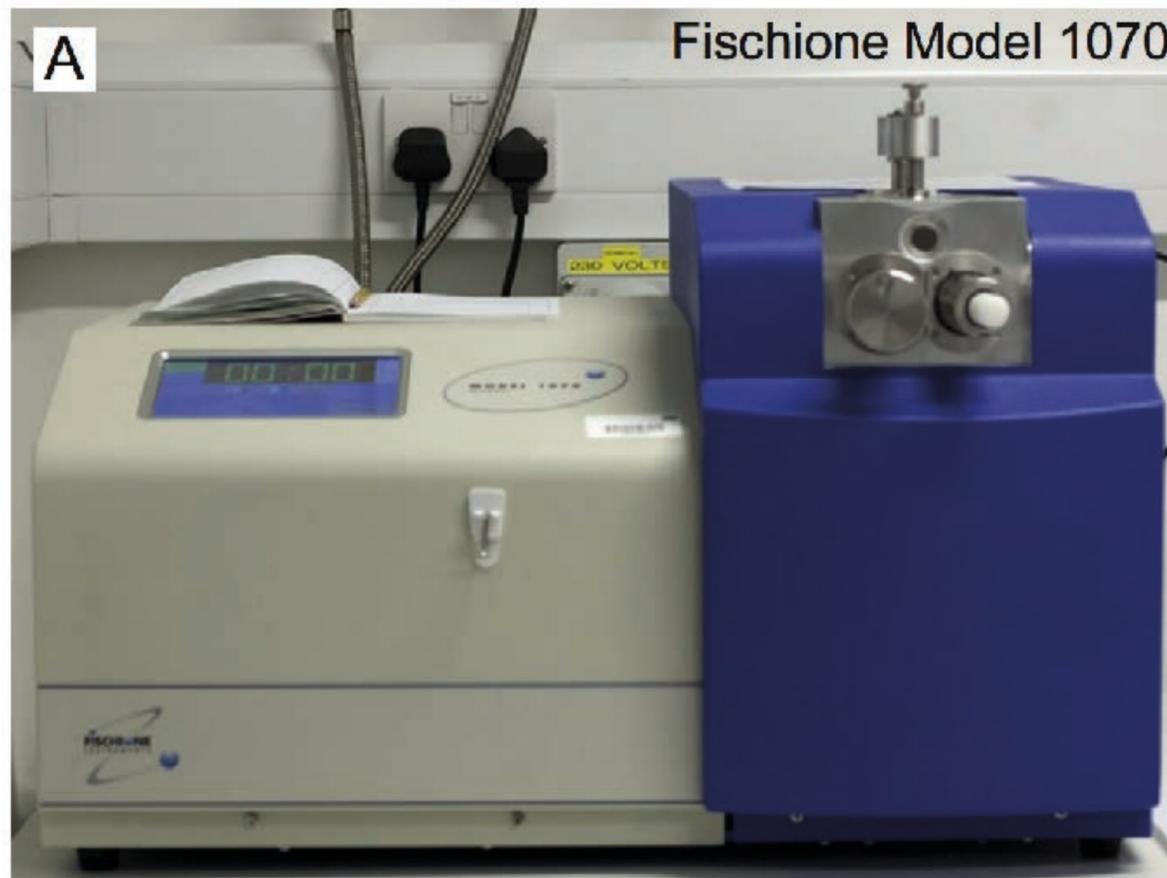


Edwards S150B (c. 1995)



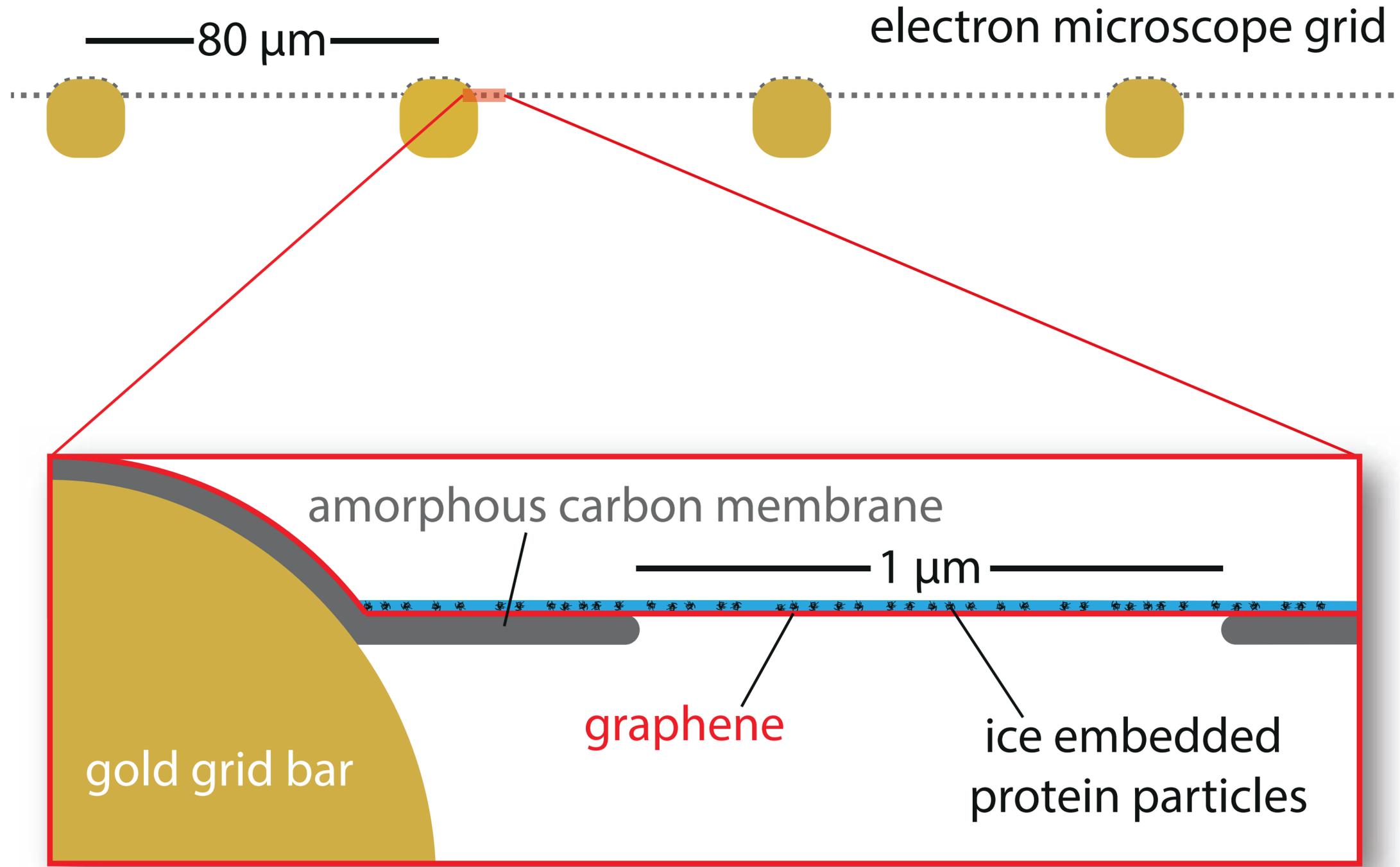
Edwards 12E6 (c. 1962)

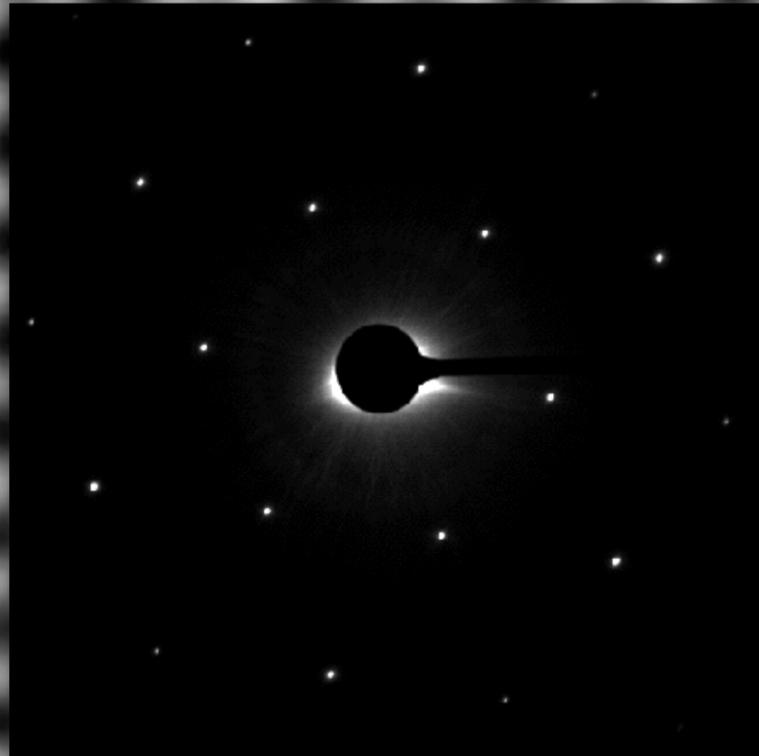
# Dedicated plasma systems



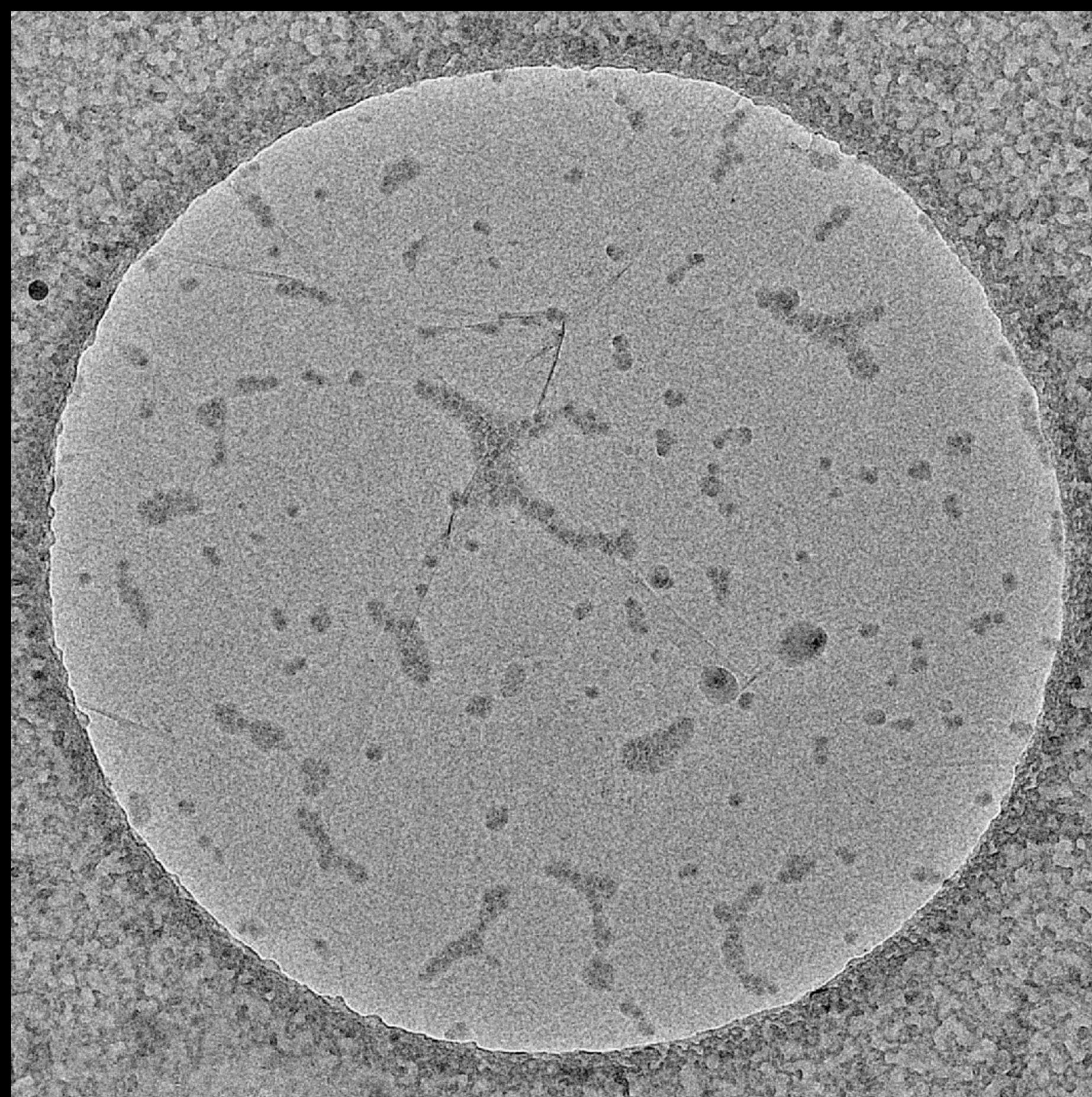
# Surfaces:

*What about something thinner?*





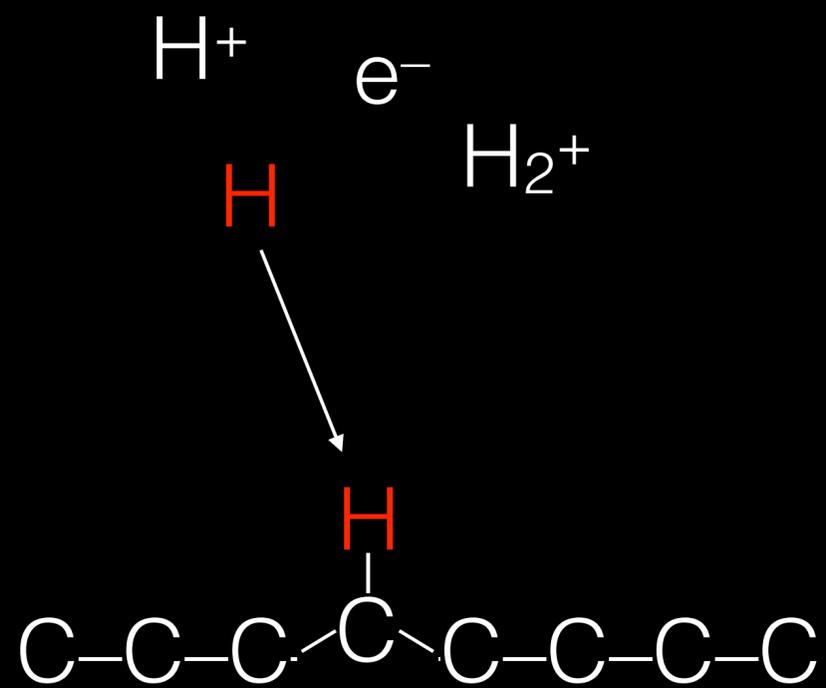
10 Å



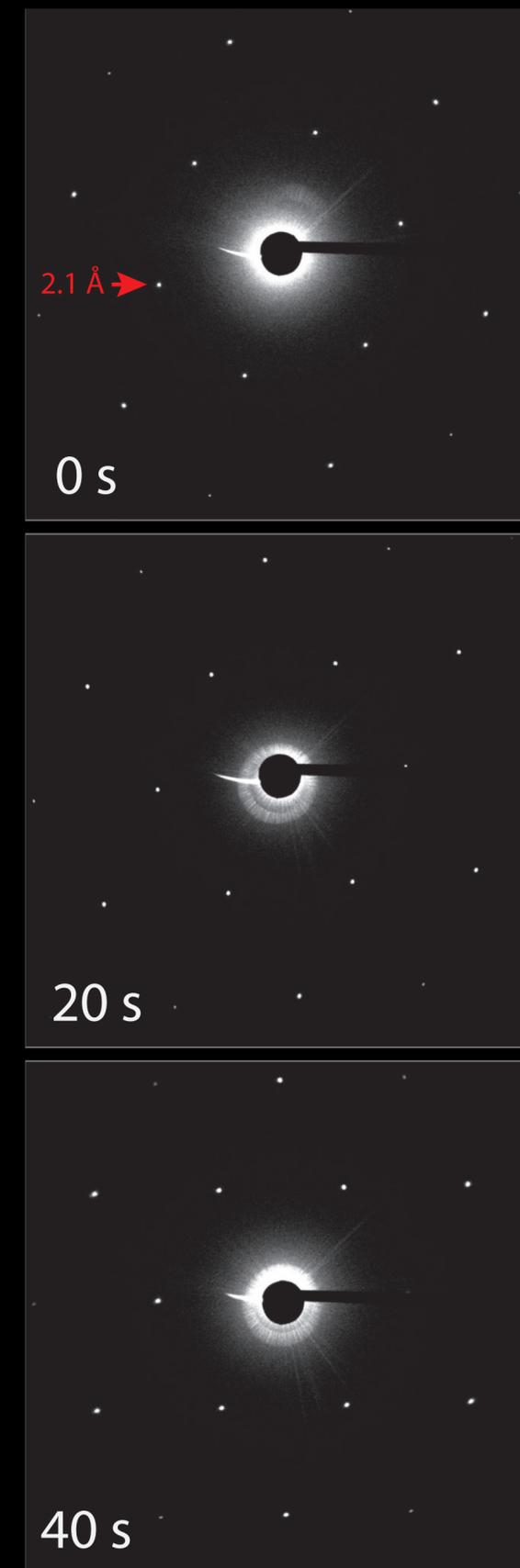
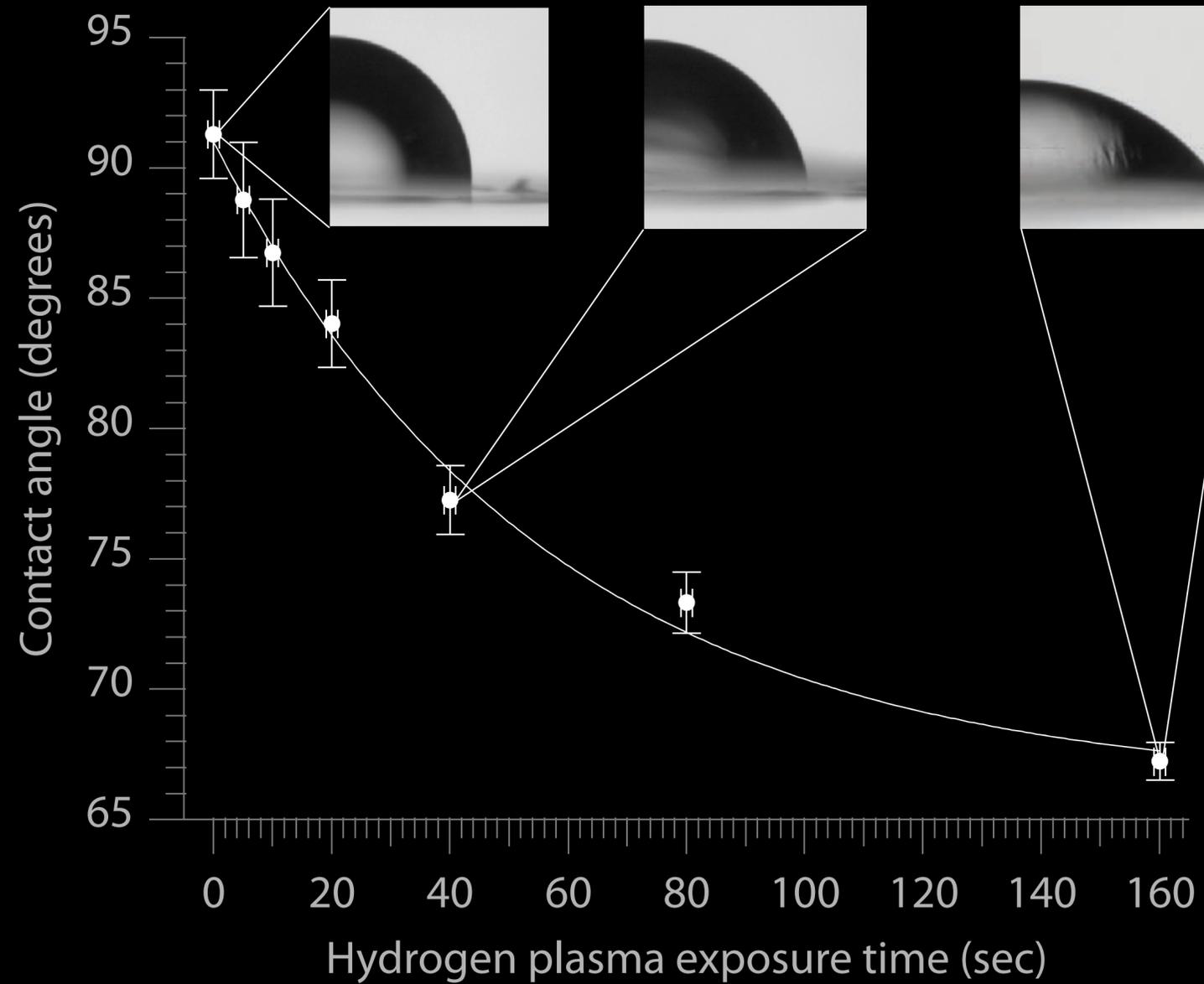
70S Ribosomes  
on graphene as  
synthesised

1.2  $\mu\text{m}$  hole

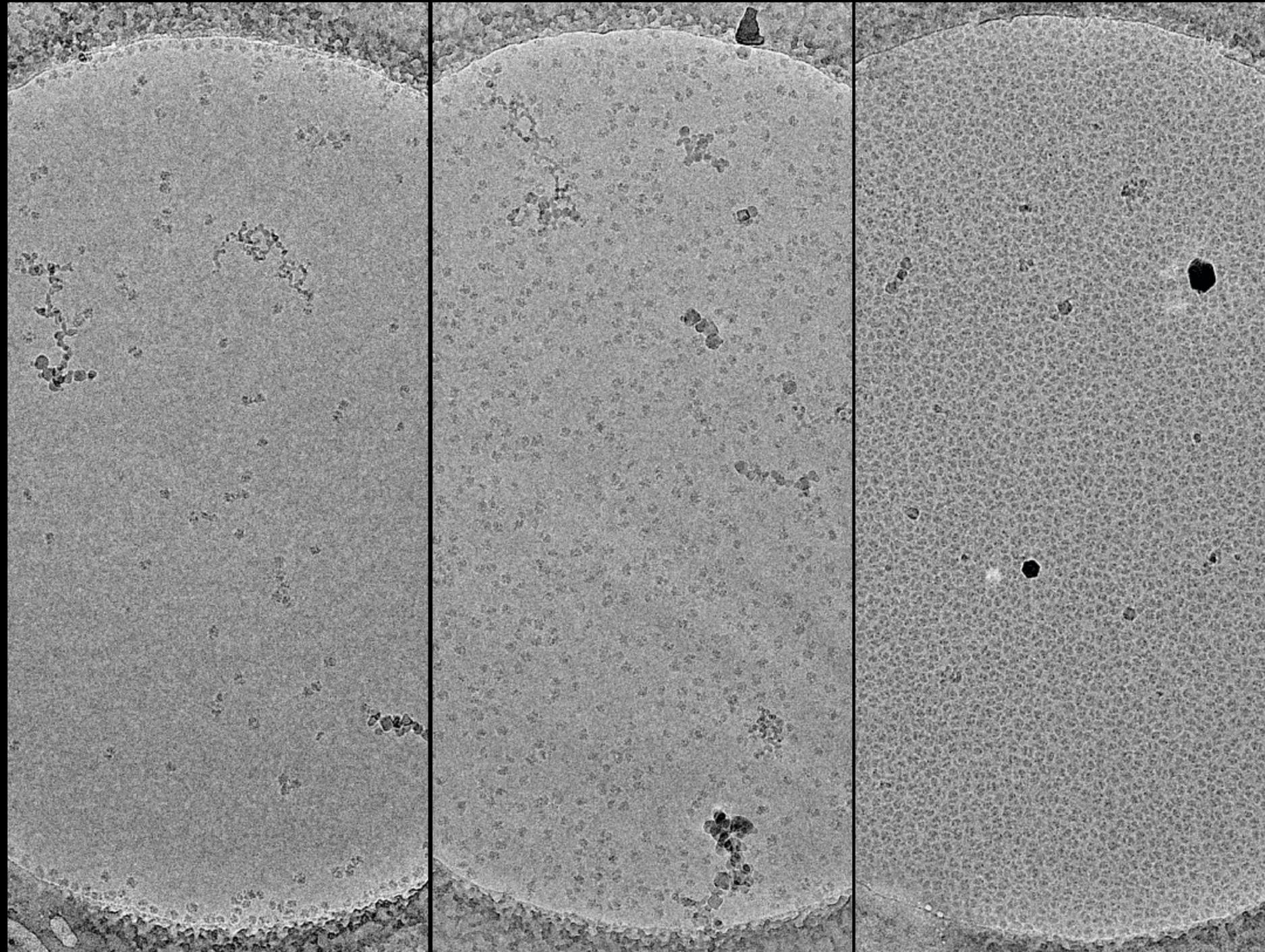
Hydrogen plasma



Graphene  $sp^2$  bond



# Controlled adsorption of proteins to graphene



no graphene

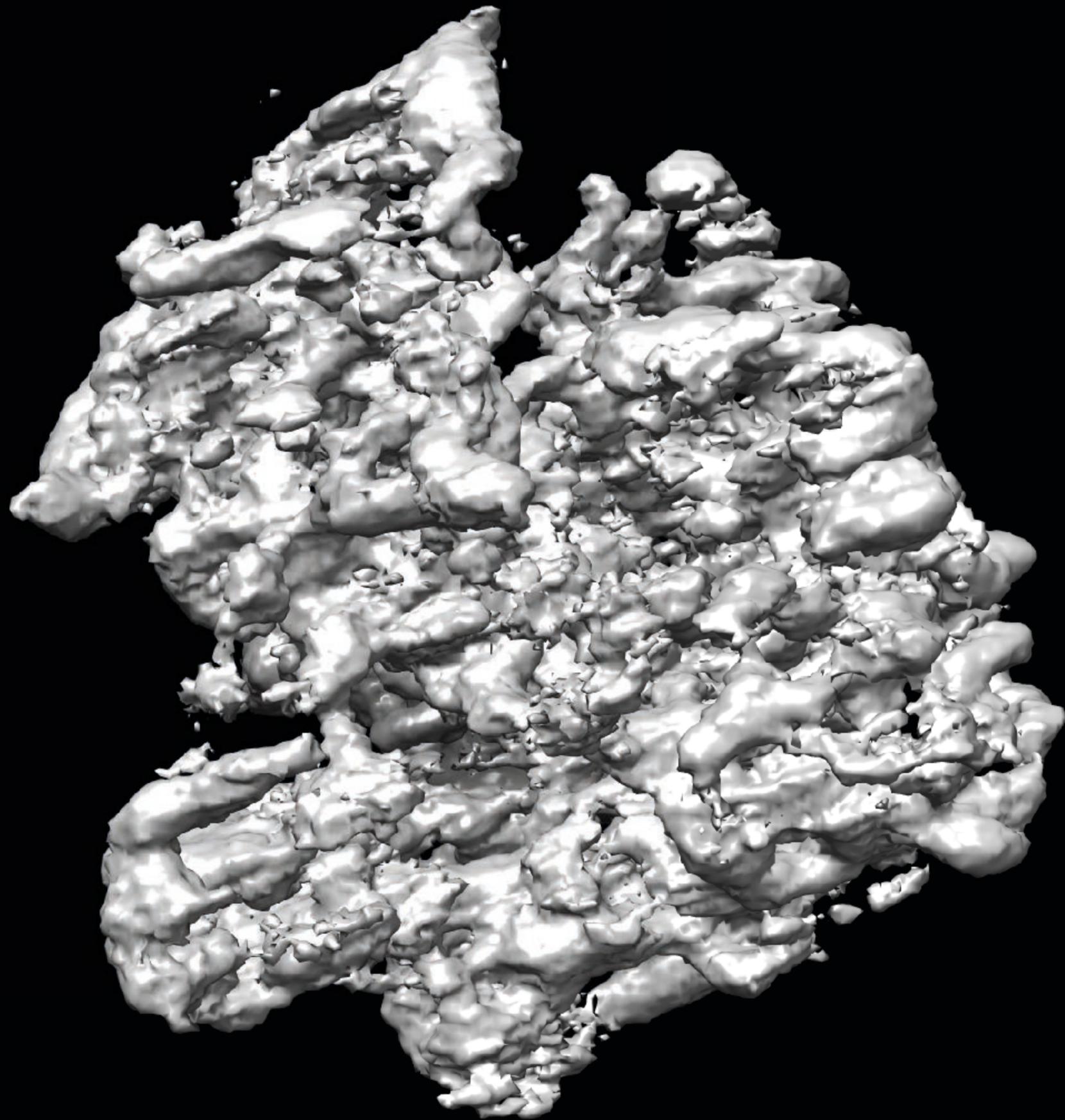
graphene +  
20 s hydrogen

graphene +  
40 s hydrogen

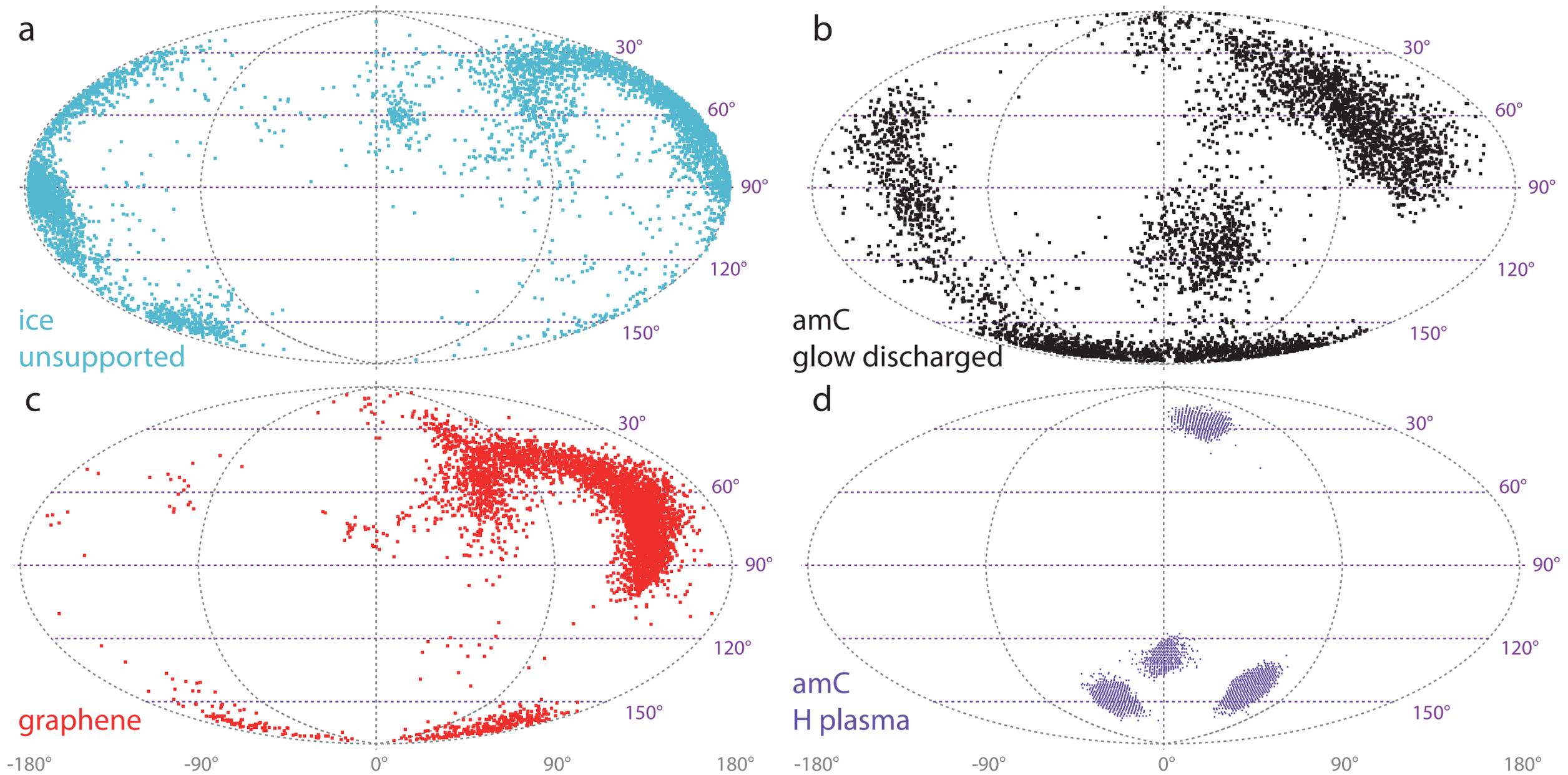
1.2 um holes

# Surfaces:

*How to tell if one is better than another?*

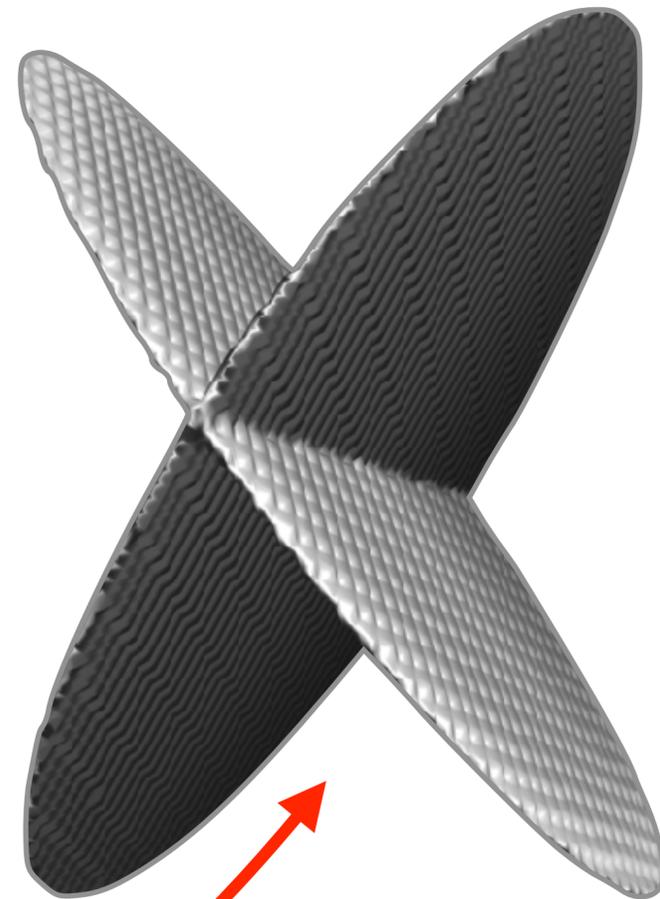


# Which is better?



# Filling Fourier space with information

Two particles



Completely  
undetermined  
Fourier  
components

10000 particles



Transfer  
functions

Fill Fourier space for each orientation plane:

$$C_i(\mathbf{k}) = \sqrt{N_i} \exp\left(-\frac{B|\mathbf{k}^2|}{4}\right)$$

Sum up contributions from all views:

$$\tau(\mathbf{k}) = \sqrt{\sum C_i^2(\mathbf{k})}$$

Normalize to unit power:

$$\int \tau^2(\mathbf{k}) d^3\mathbf{k} = 1$$

Recall the formation of the image in Fourier space:

$$I(\mathbf{k}) = O(\mathbf{k}) \times \tau(\mathbf{k})$$

Take inverse Fourier transform:

$$i(\mathbf{r}) = o(\mathbf{r}) * s(\mathbf{r})$$

3D image

actual object

Point spread function  
(inverse transform of the  
transfer function)

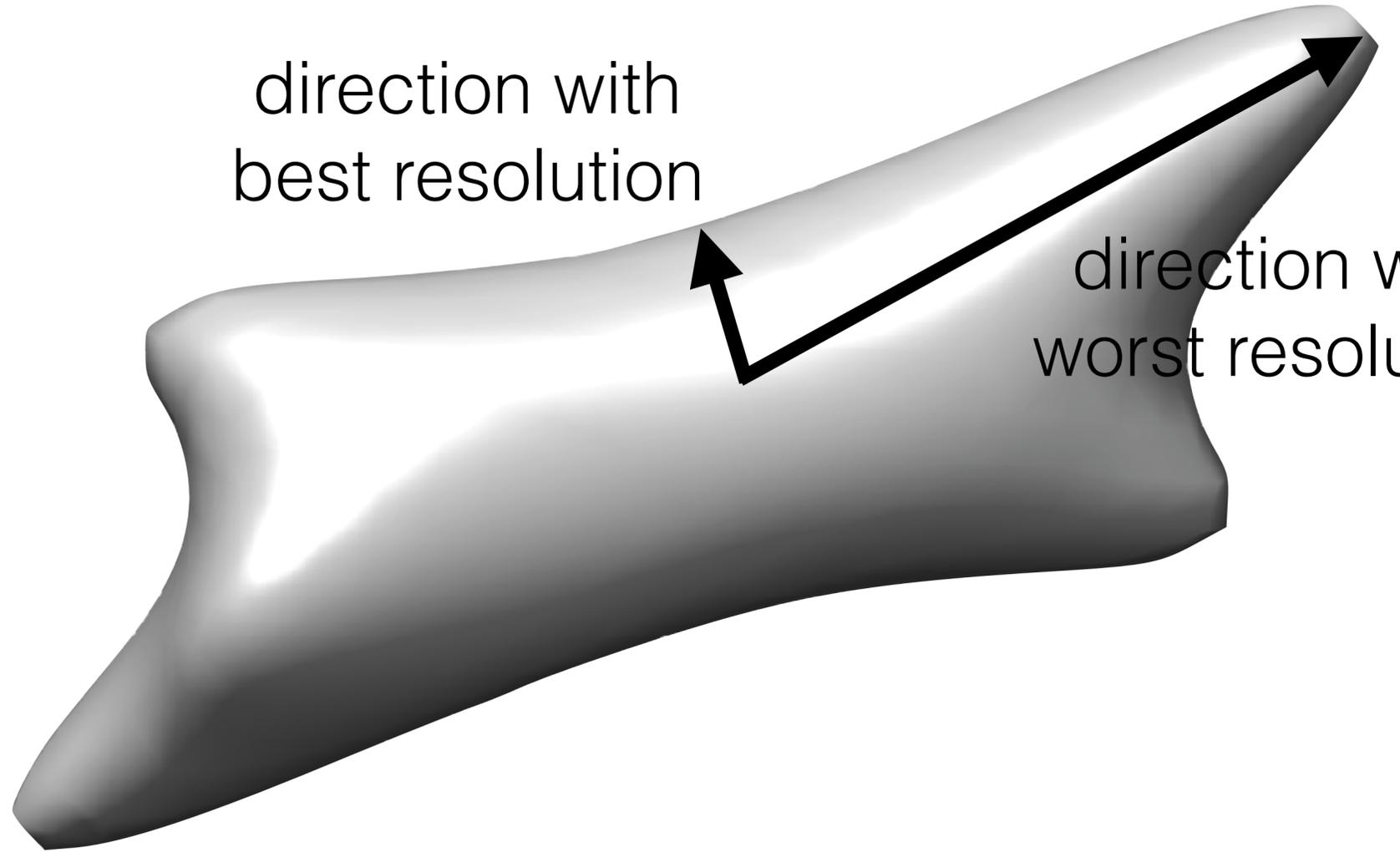
# Shape of the PSF at $1/e^2$ point: anisotropic resolution

The perfect PSF



radius = resolution

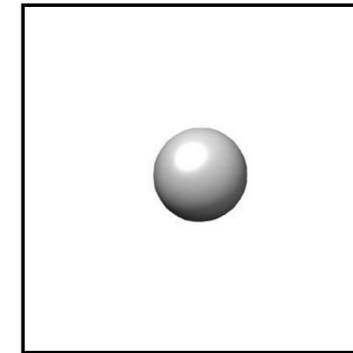
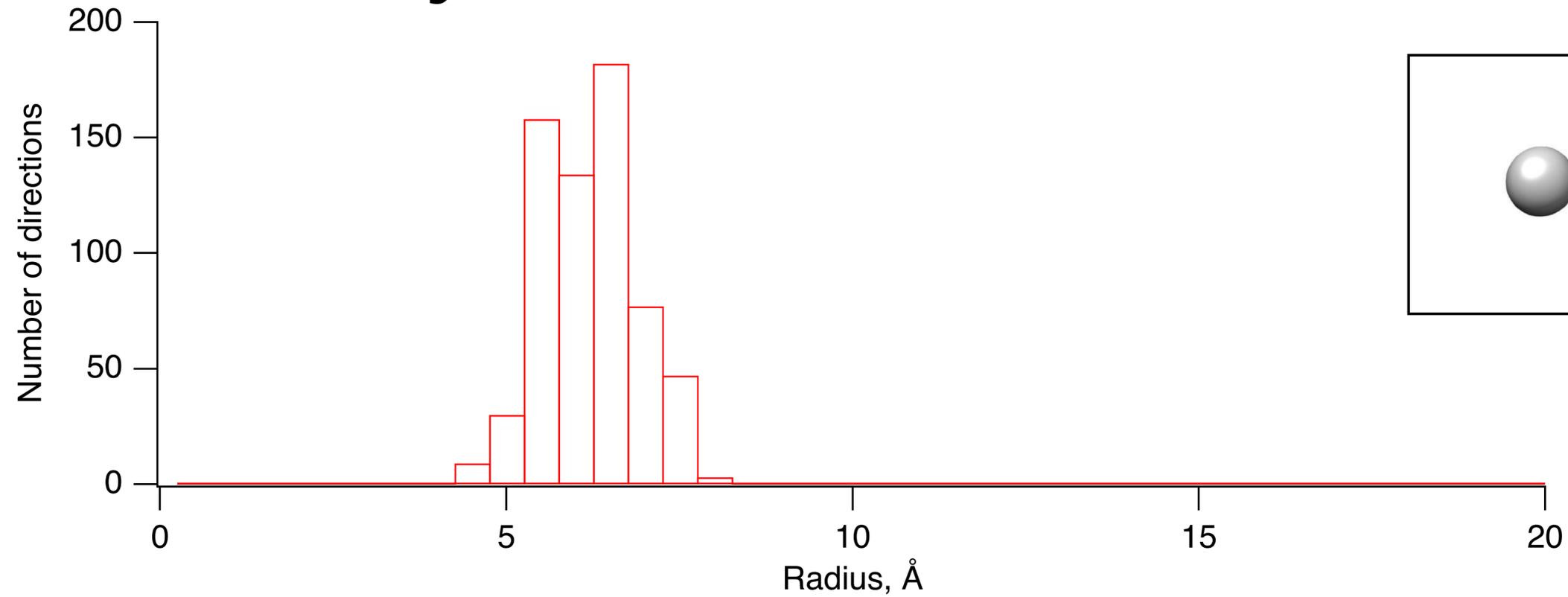
direction with  
best resolution



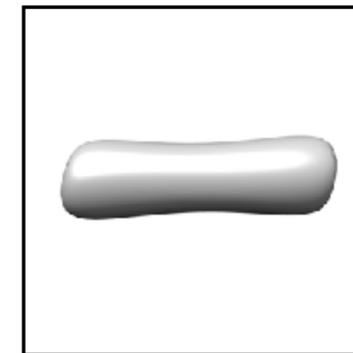
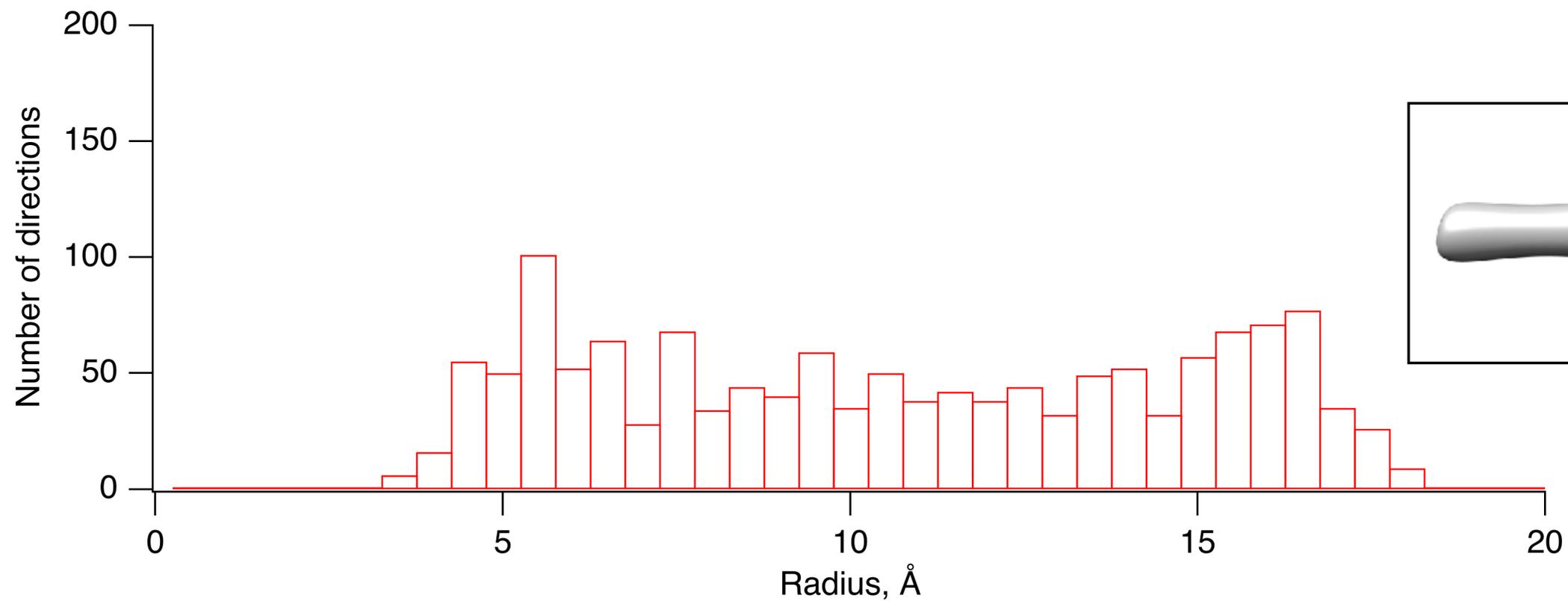
direction with  
worst resolution

mean resolution = ?  
variance in the resolution = ?

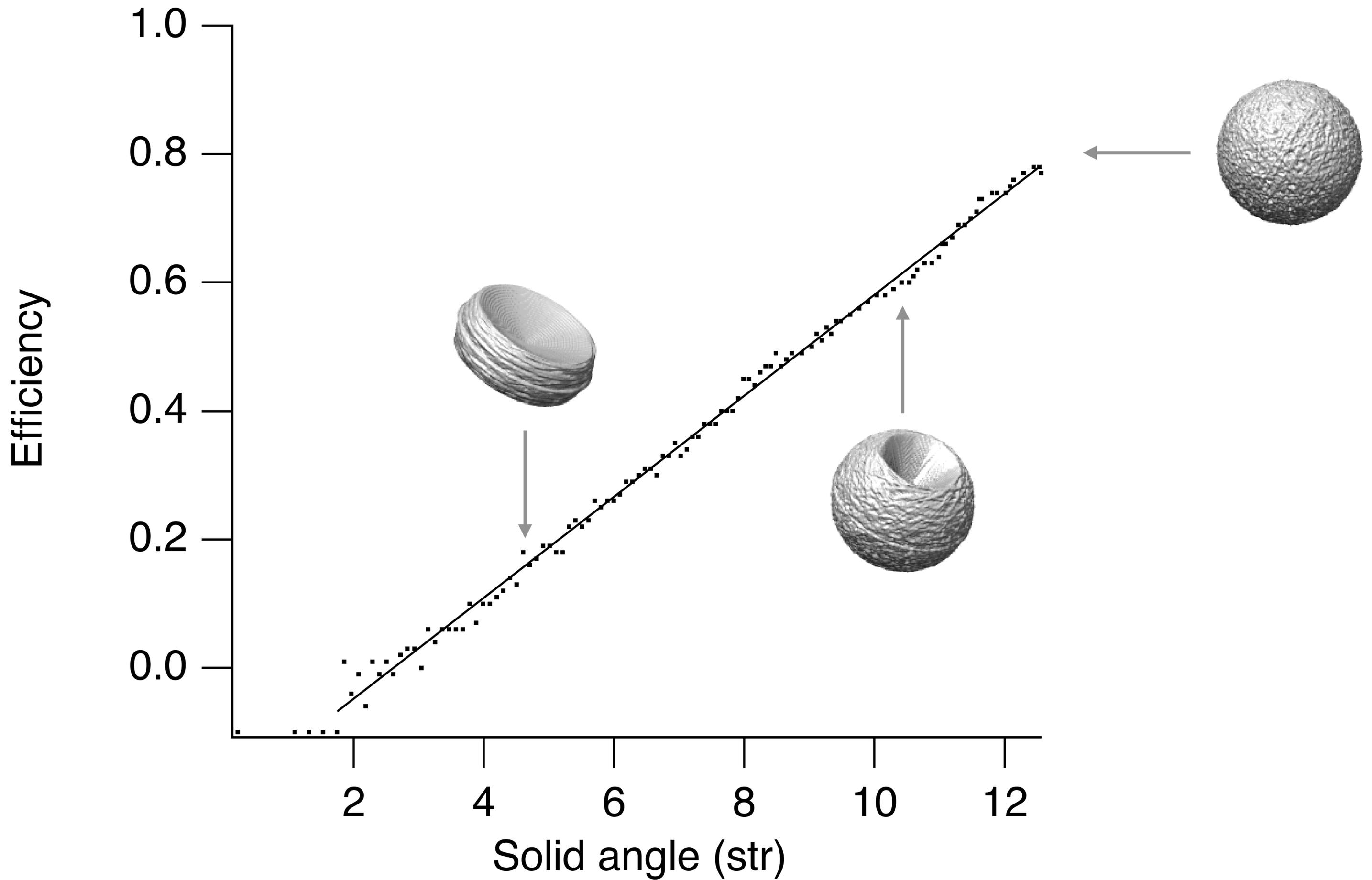
$$\text{Efficiency} = 1 - 2 \times \text{StDev} / \text{Mean}$$



Efficiency 0.8



Efficiency 0.2

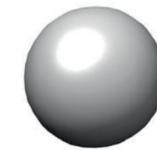
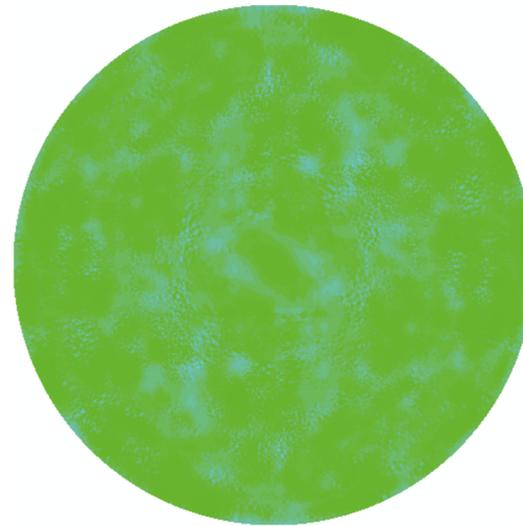
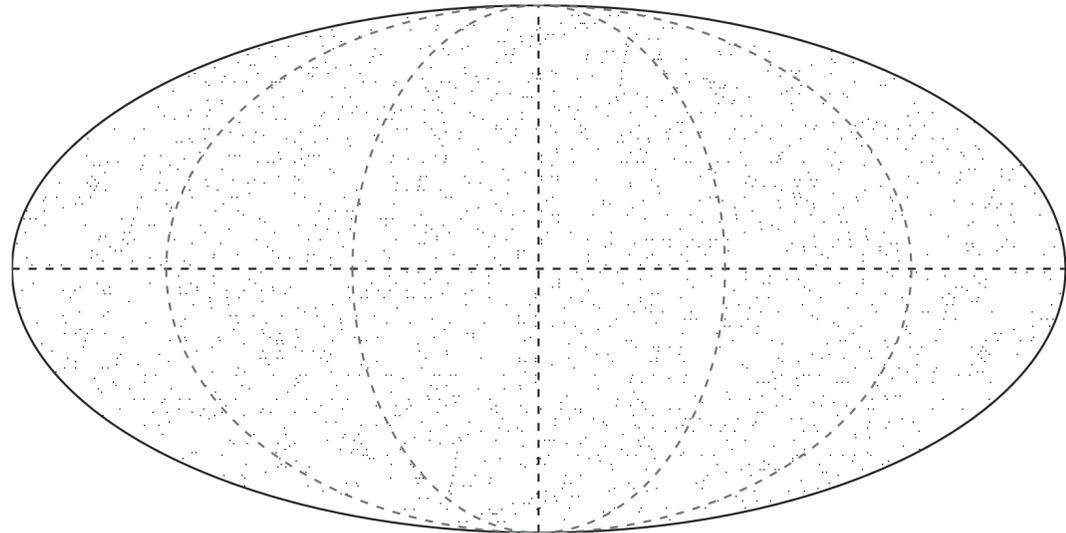


# Orientation distribution

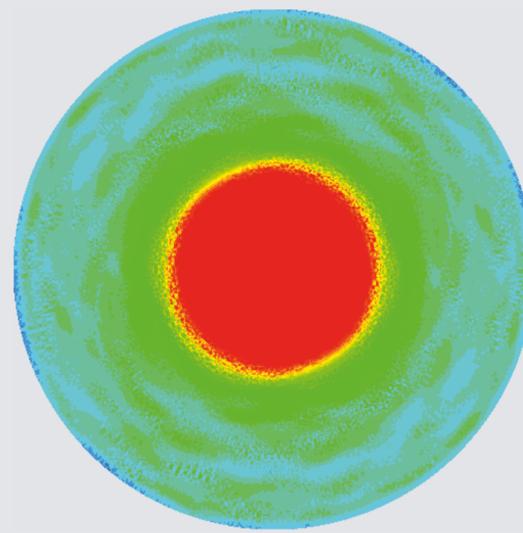
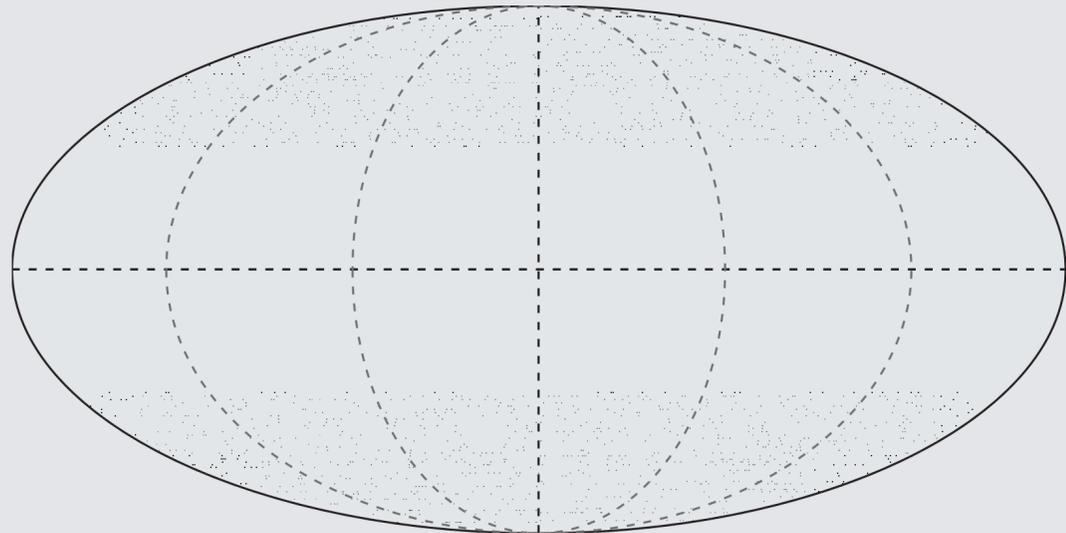
# Fourier space coverage (projection)

# Point spread function

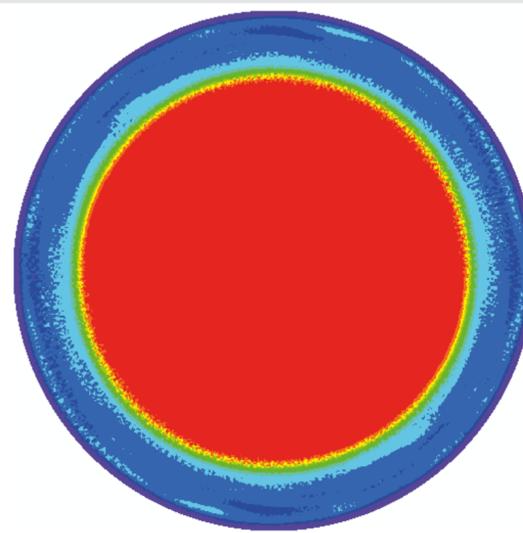
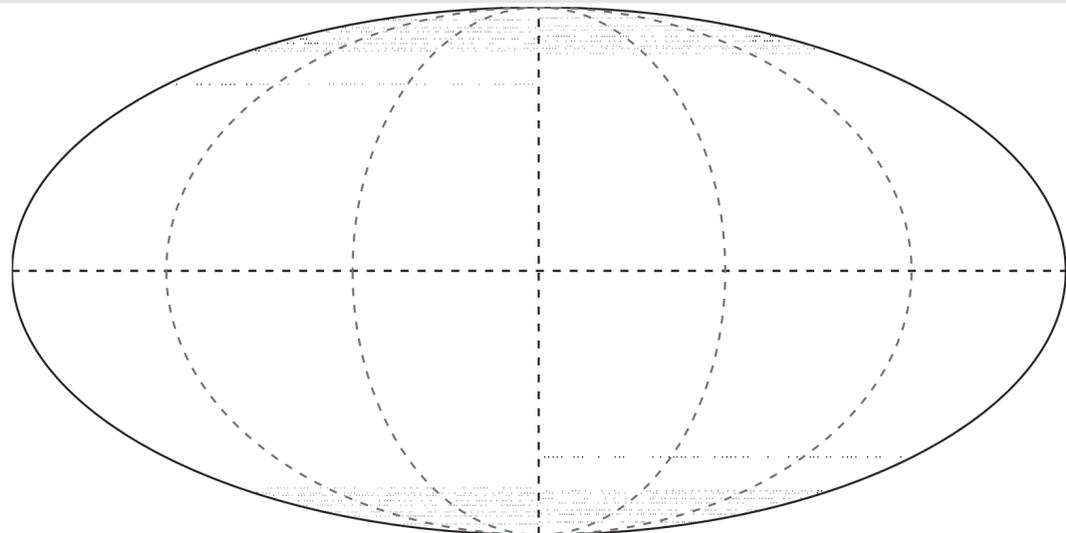
# Efficiency



1



0.6



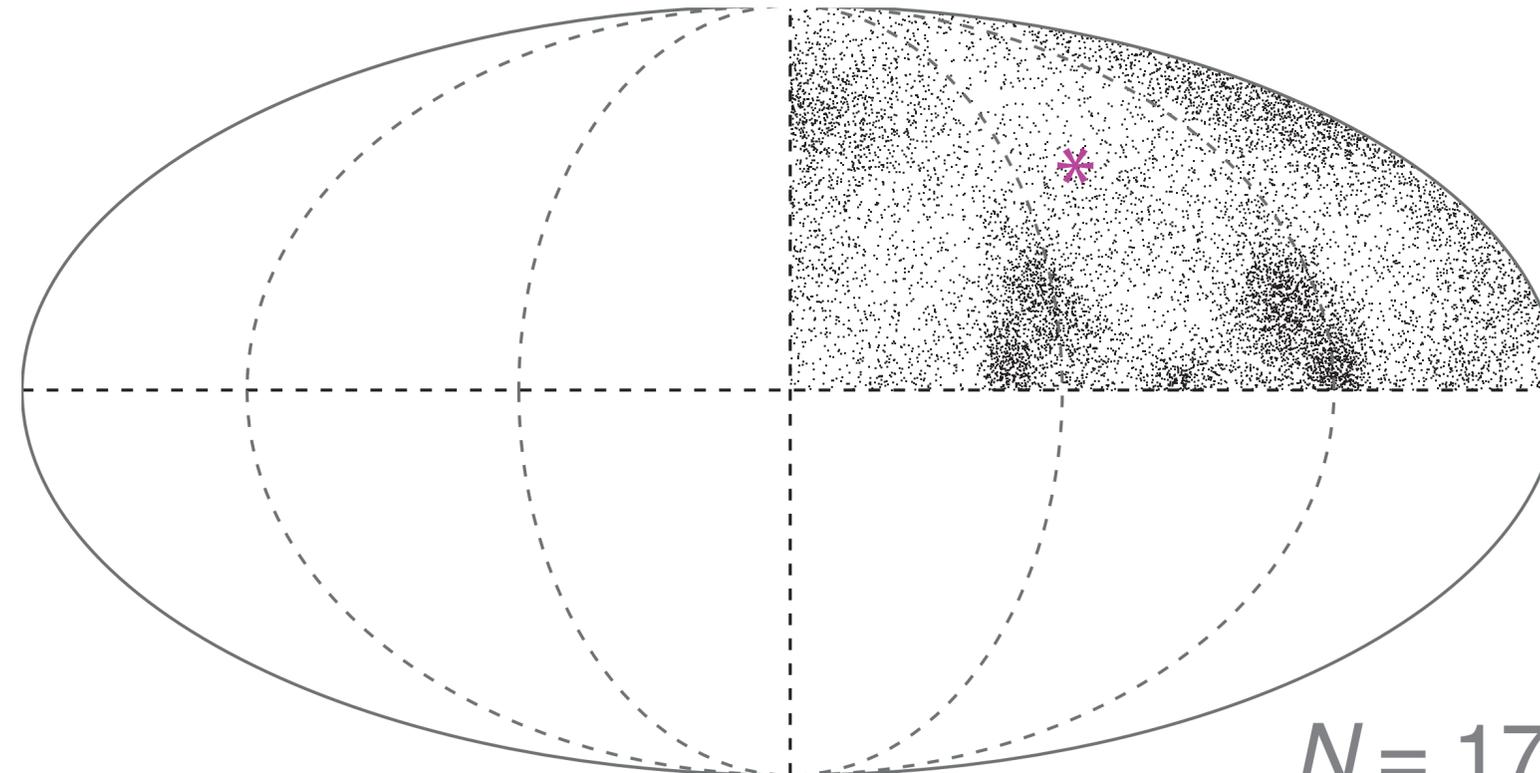
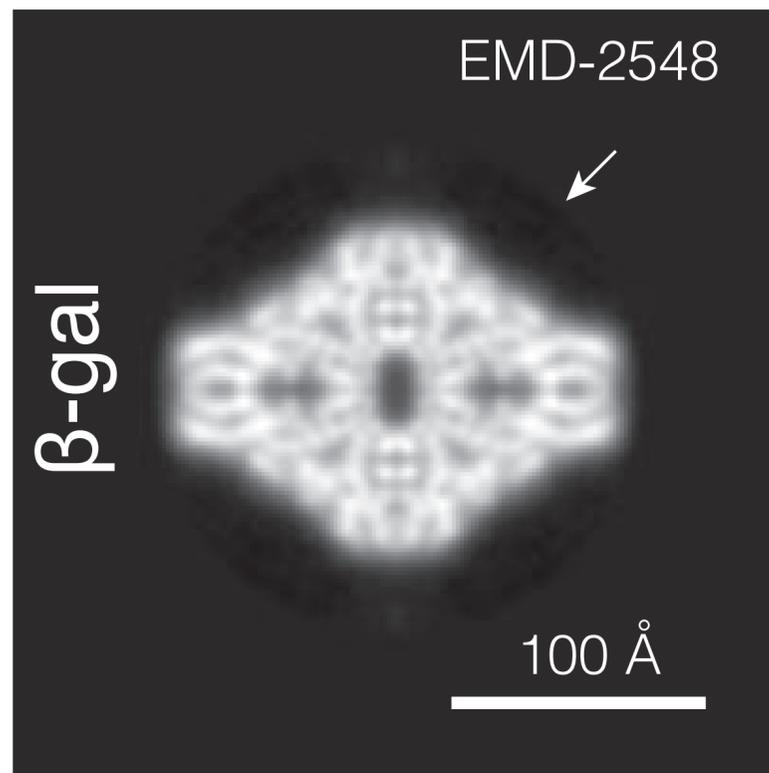
— 20 Å —

0.2

# Density map

# Orientation distribution

# Point spread fn.

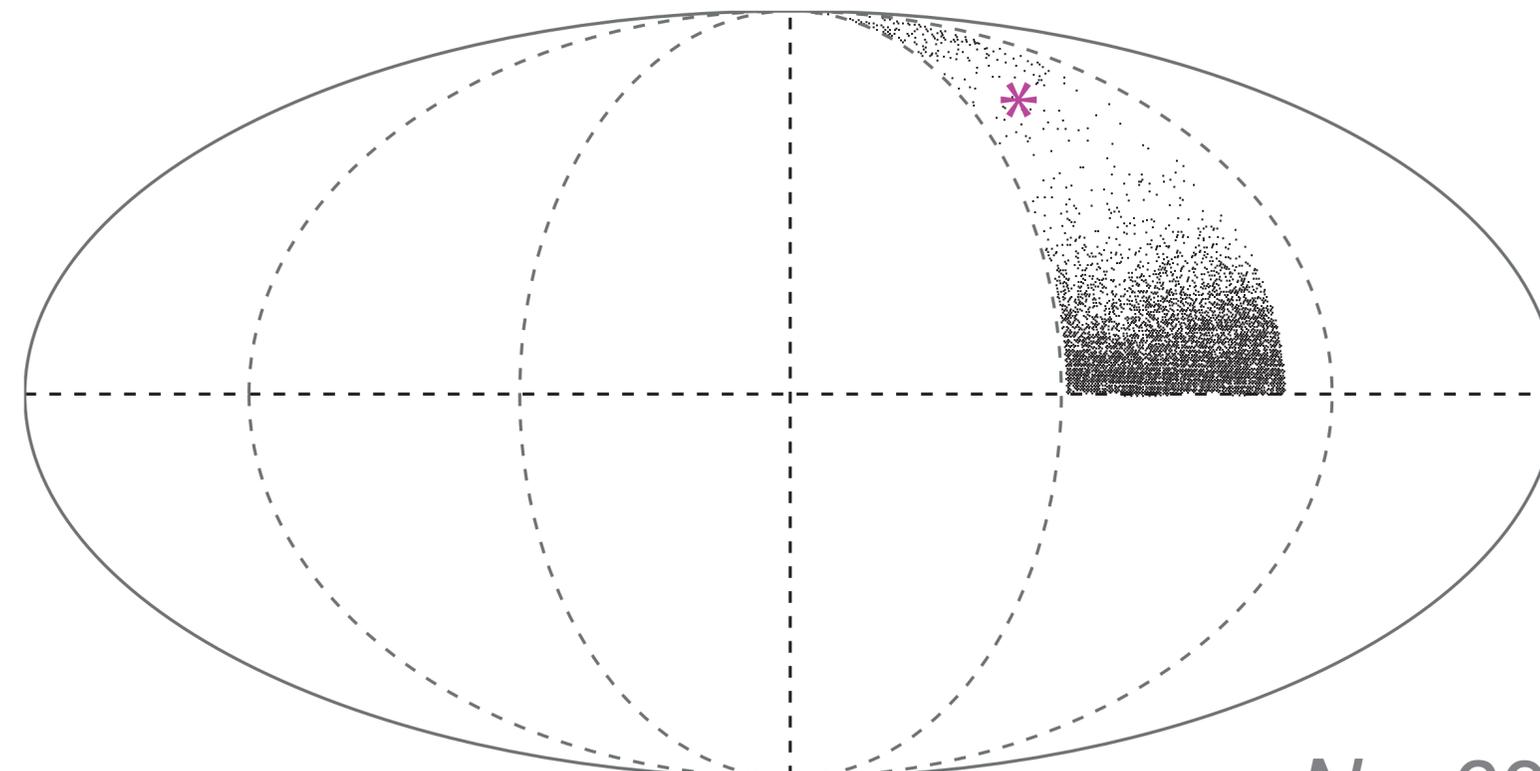
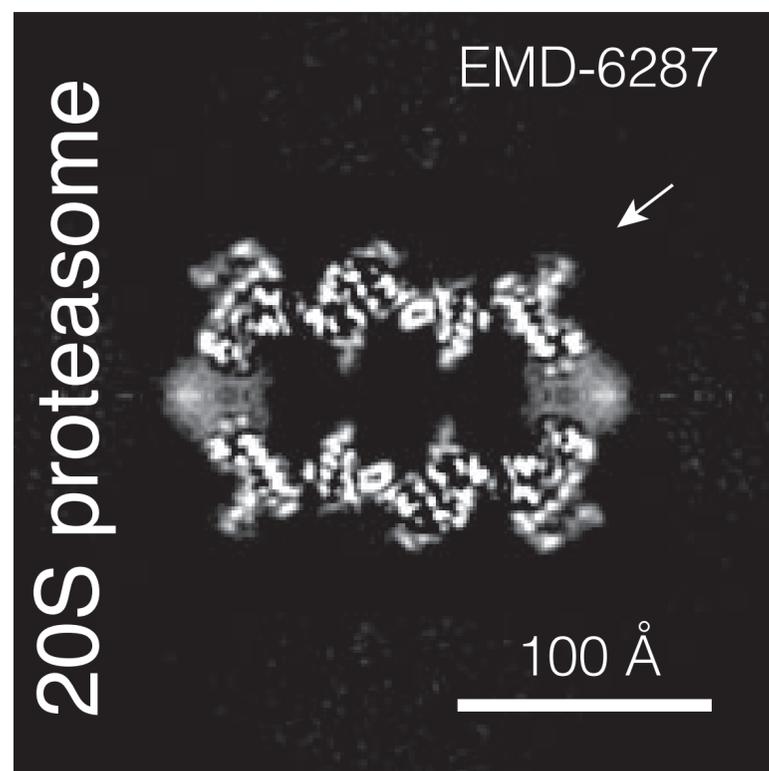


80 Å



$R_{FSC} = 5.4 \text{ \AA}$

$E_{od} = 0.9$



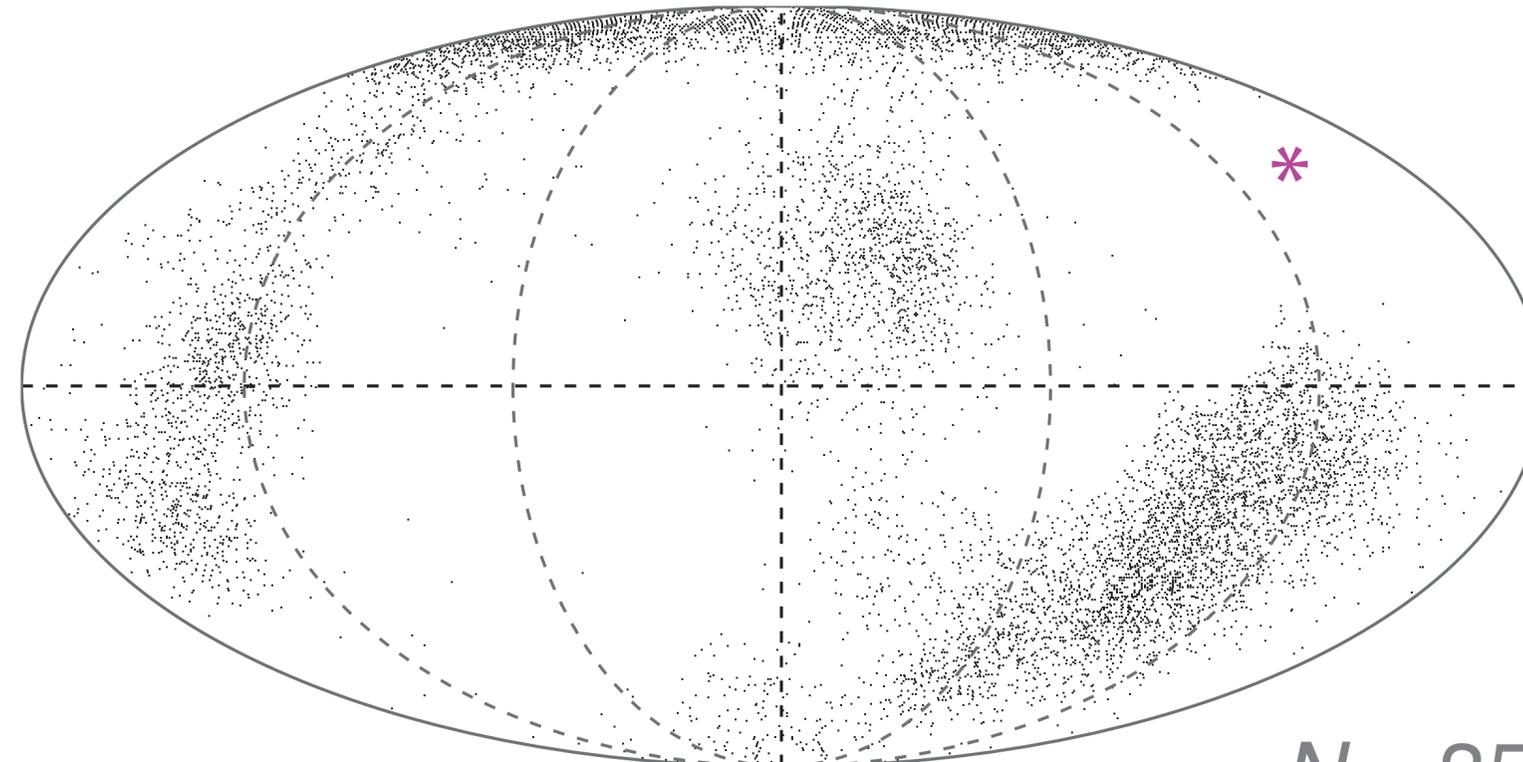
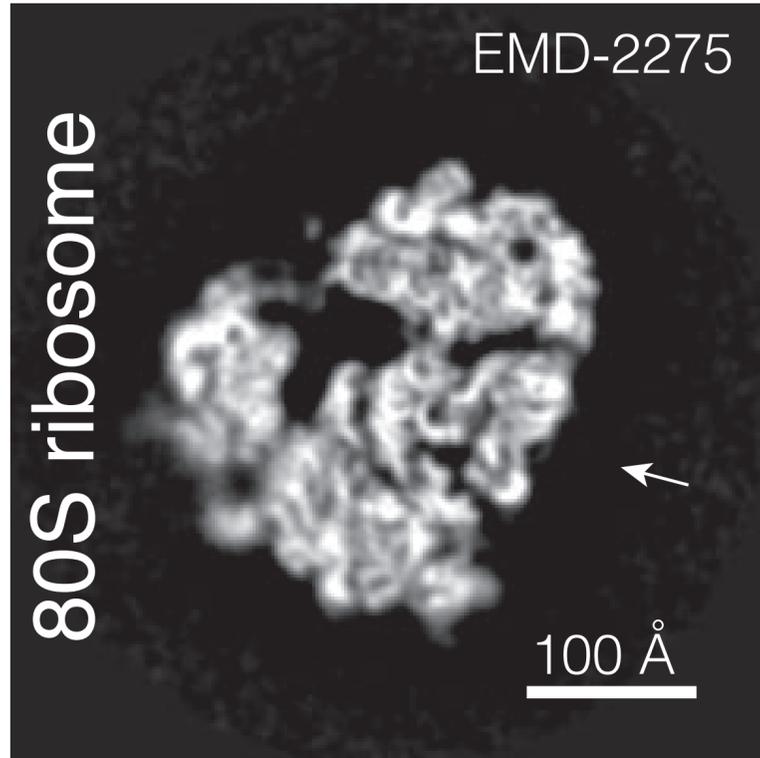
$R_{FSC} = 2.8 \text{ \AA}$

$E_{od} = 0.7$

# Density map

# Orientation distribution

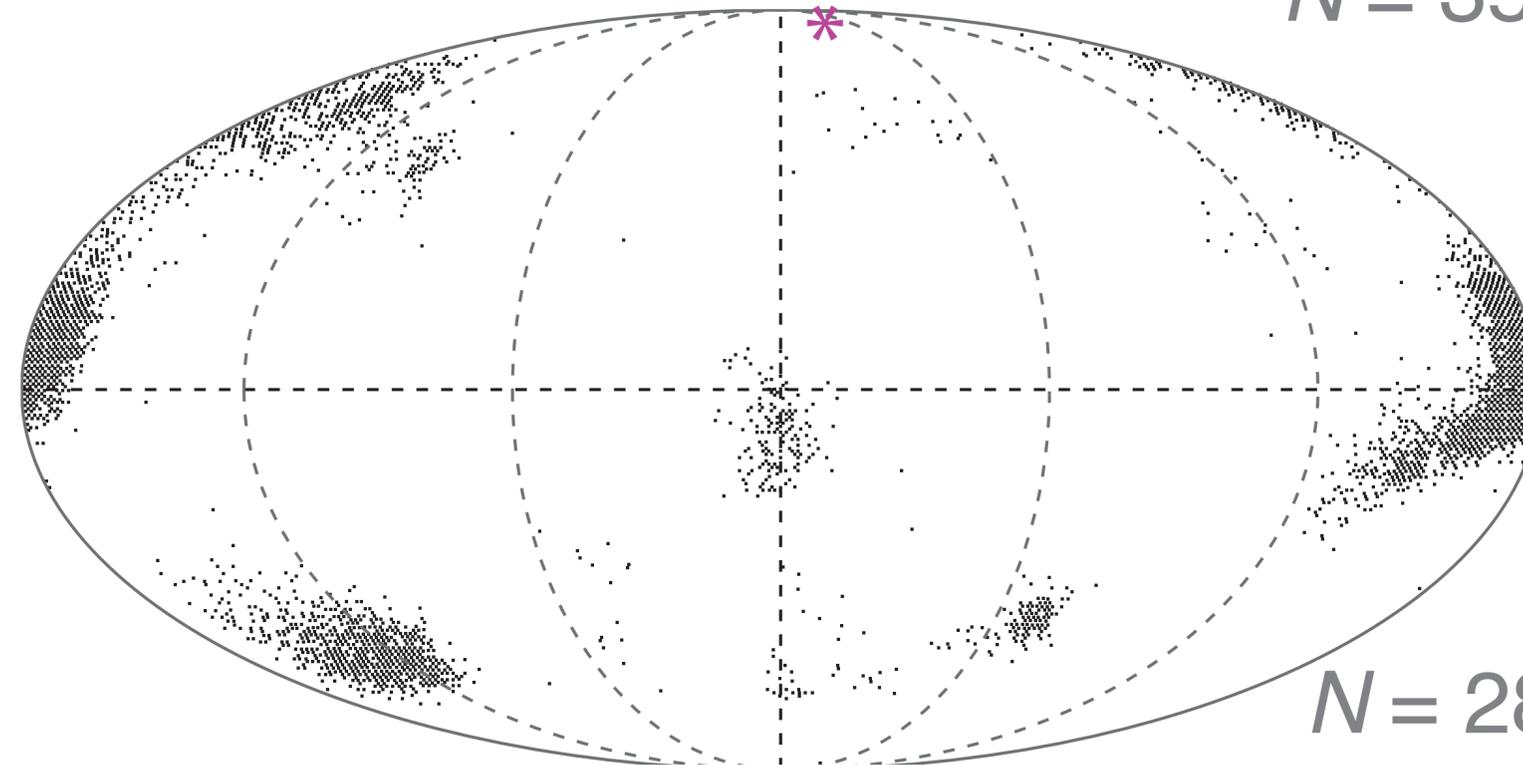
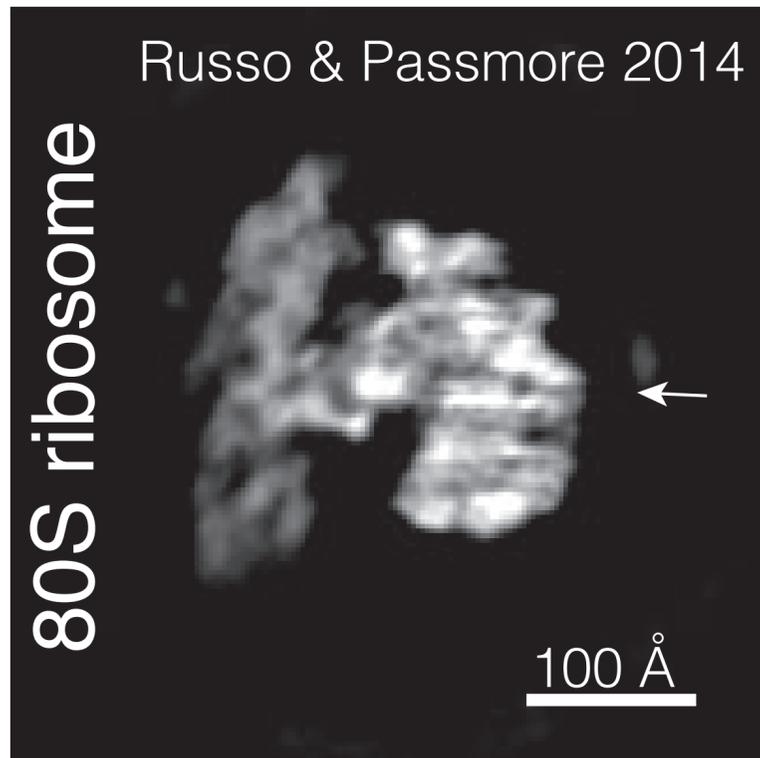
# Point spread fn.



$N = 35,813$

$$R_{FSC} = 4.5 \text{ \AA}$$

$$E_{od} = 0.6$$



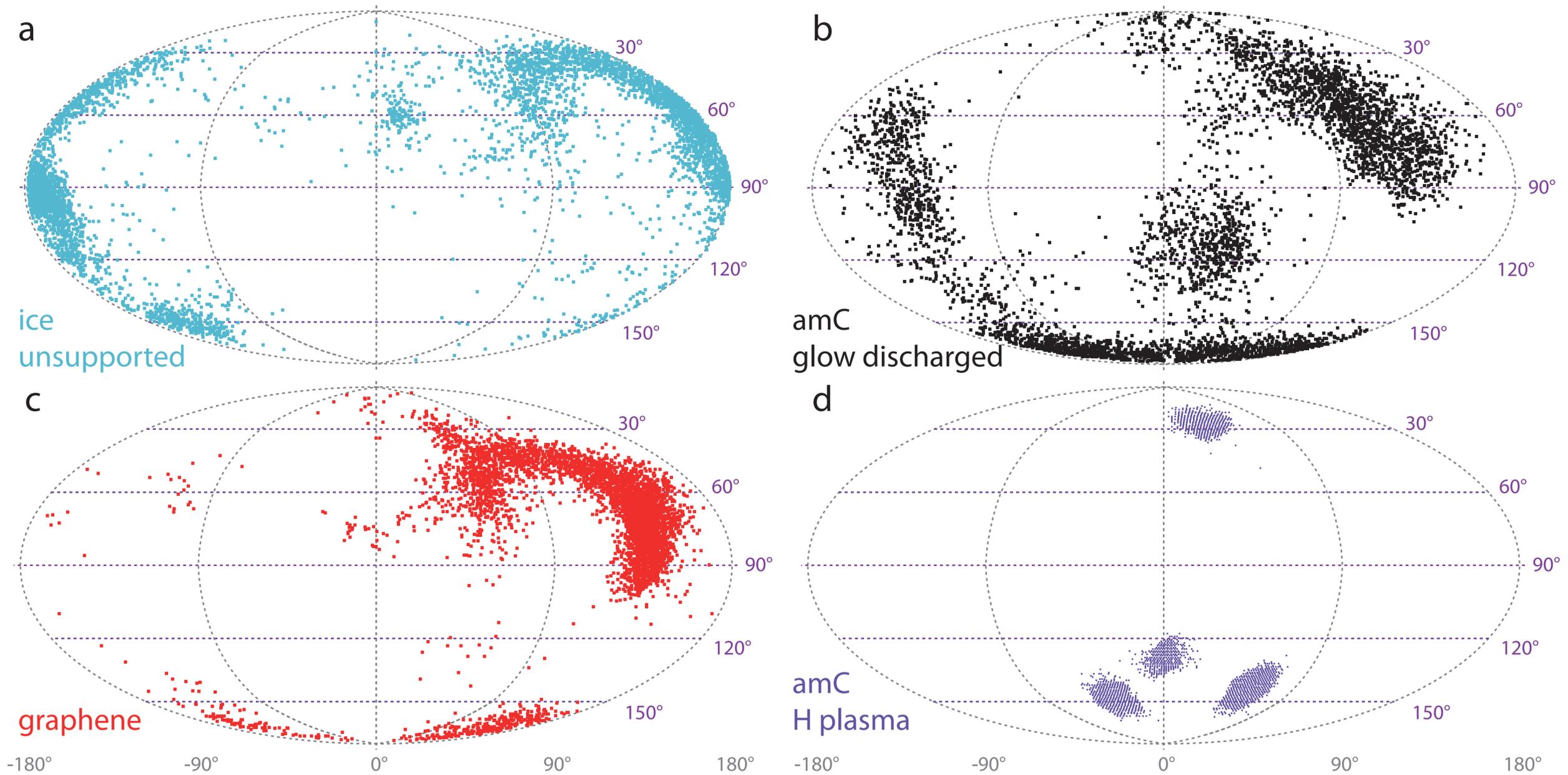
$N = 28,844$

$$R_{FSC} = 19 \text{ \AA}$$

$$E_{od} = 0.1$$



# Which is better?



Substrate

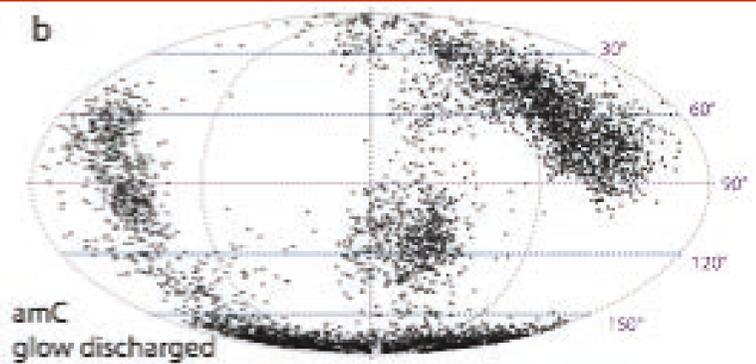
OD

Number of particles

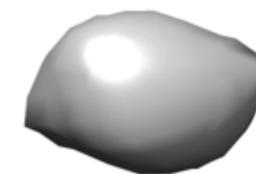
PSF

Efficiency

Amorphous C  
Glow discharged

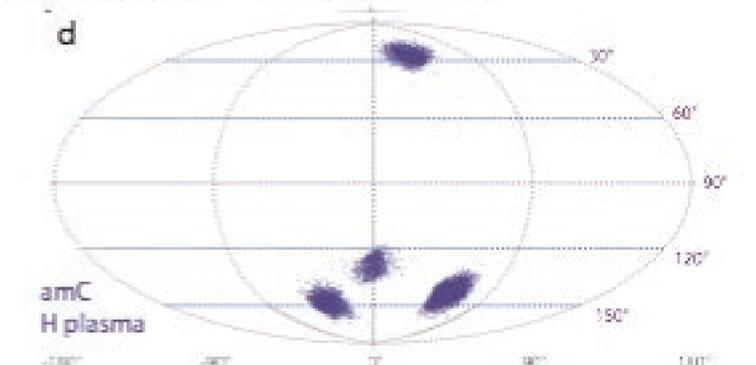


10009



0.55

Amorphous C  
H-treated

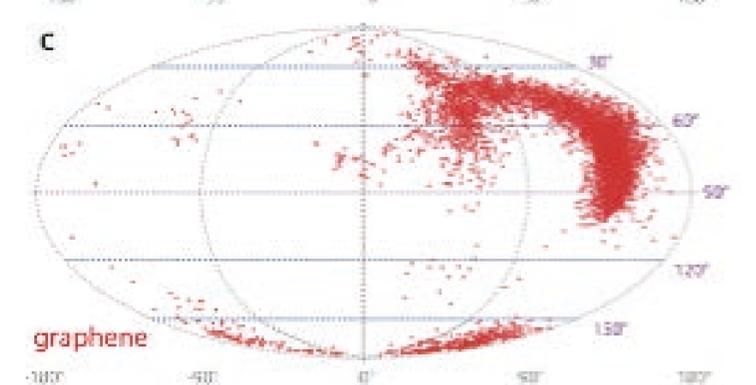


4620

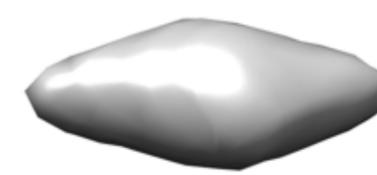


0.45

Graphene

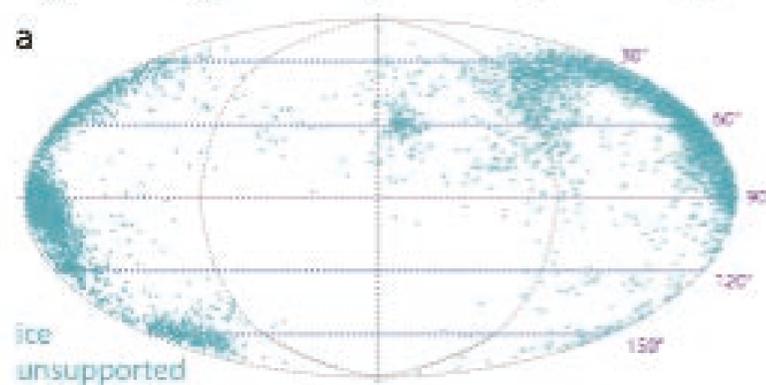


6270

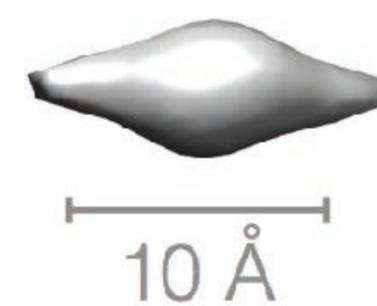


0.38

None



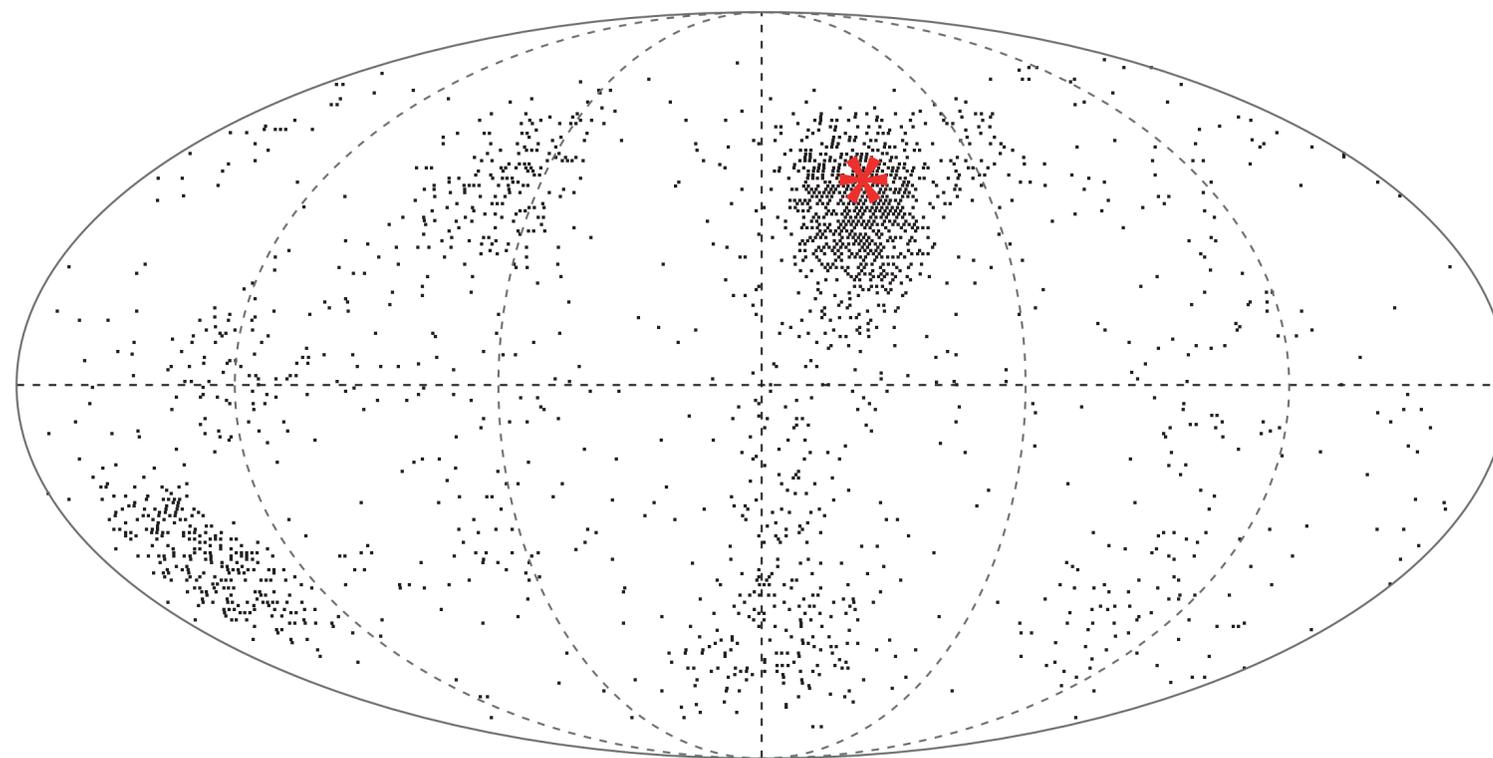
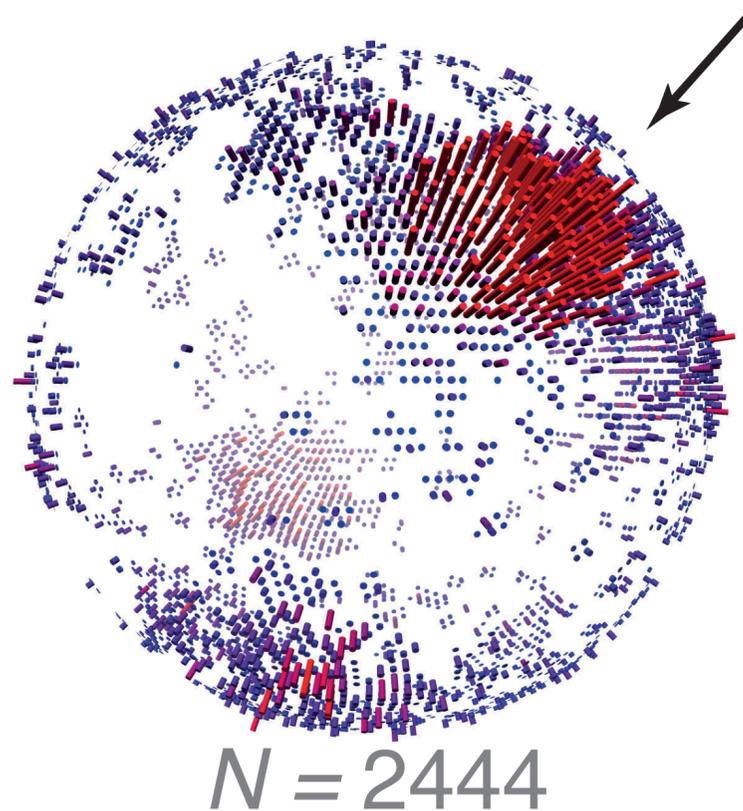
10412



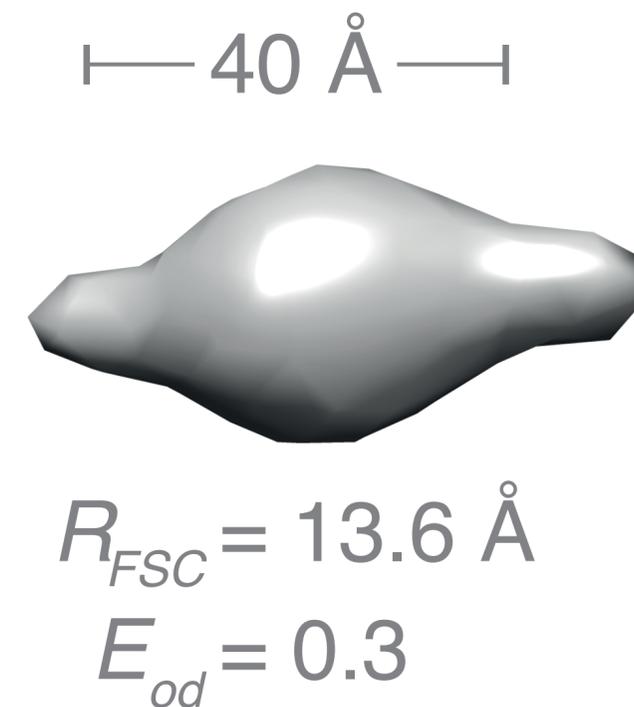
0.29

# Tilting may improve the efficiency

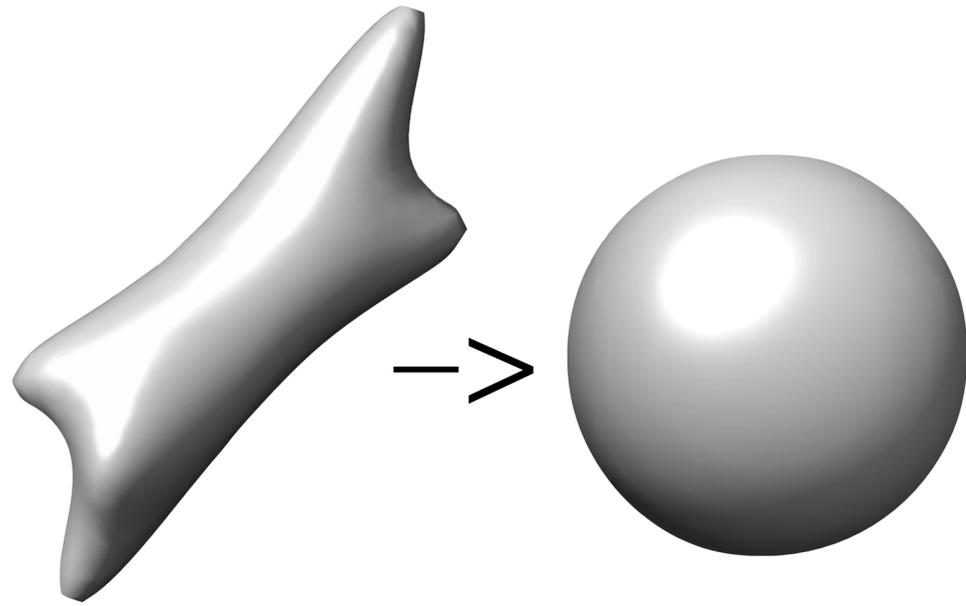
Orientation distribution



Point spread fn.

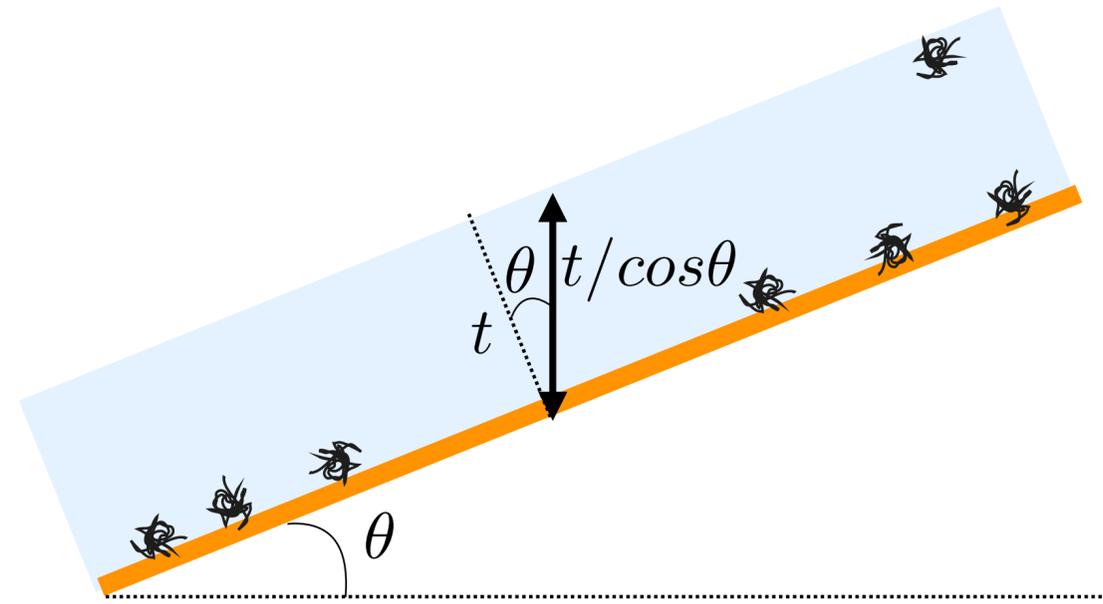


# Optimal tilt angle determination



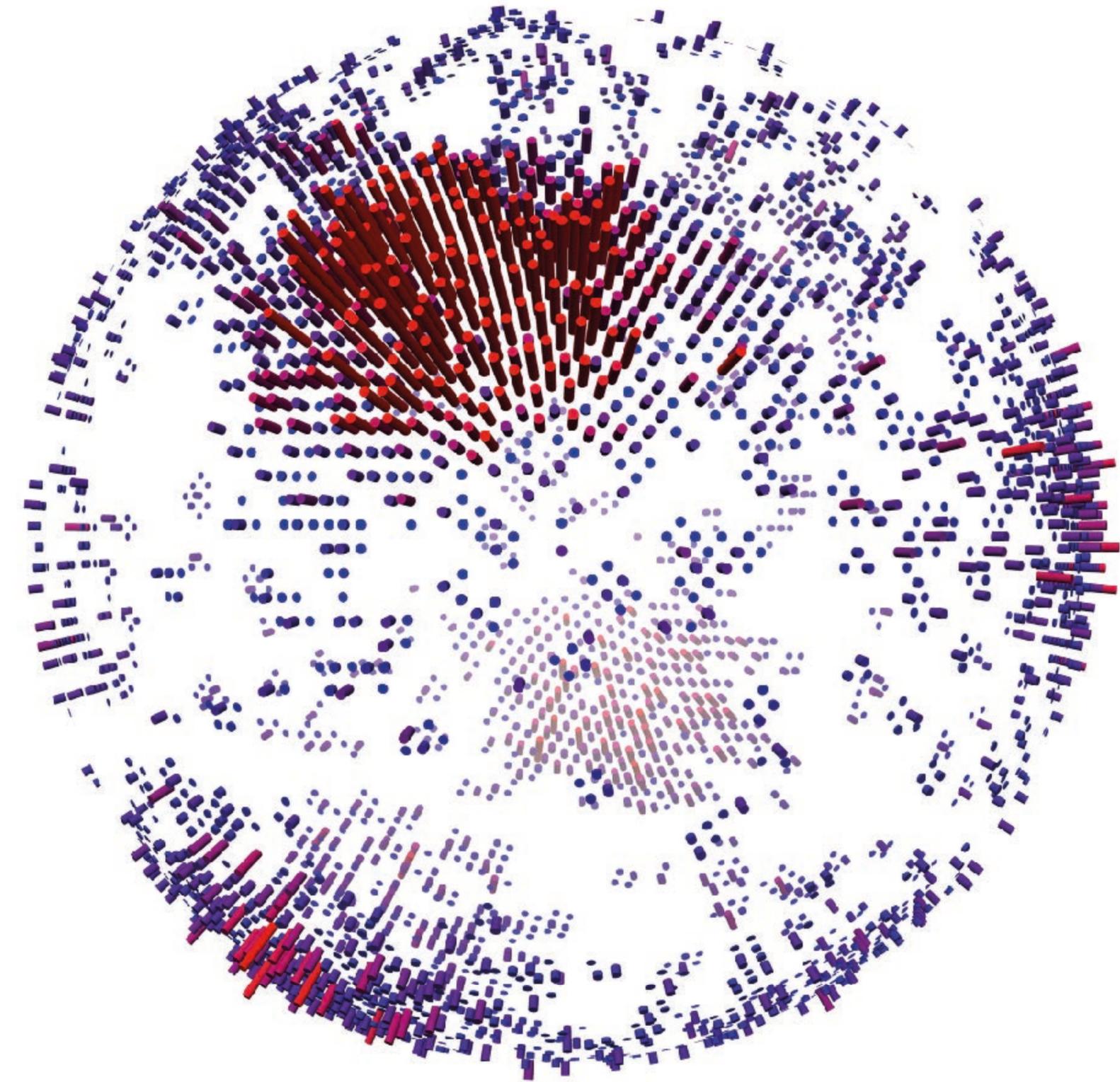
filling up missing views

VS

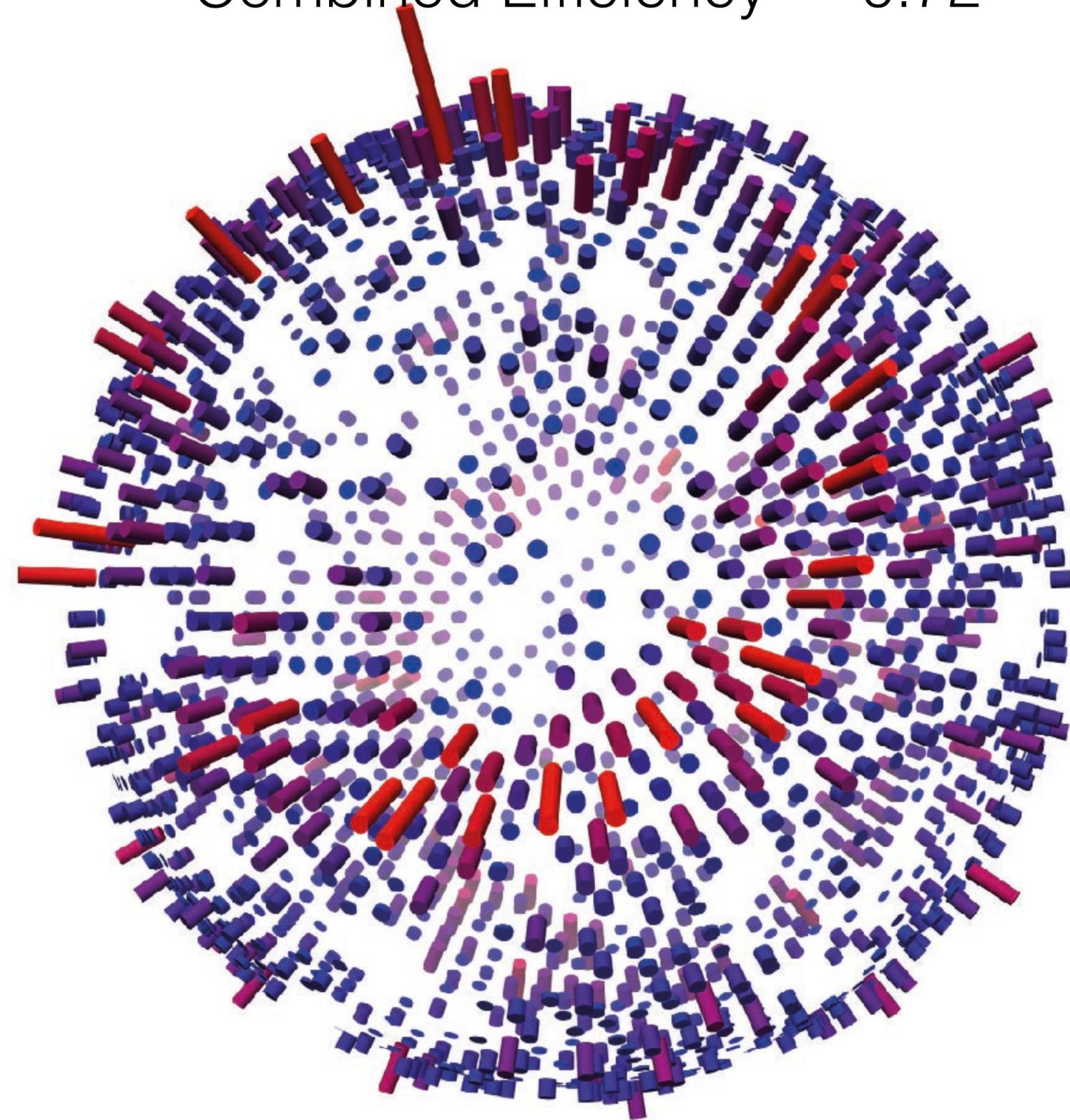


quality loss

Efficiency = 0.42



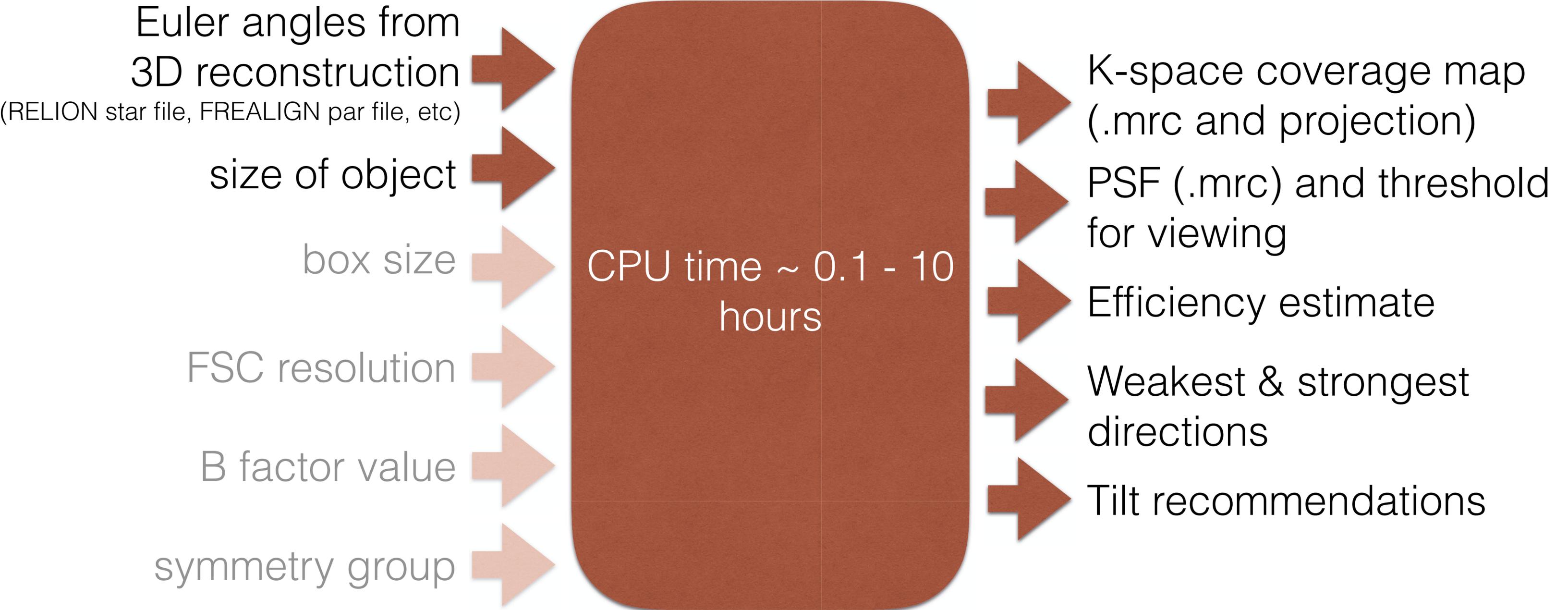
Combined Efficiency = 0.72



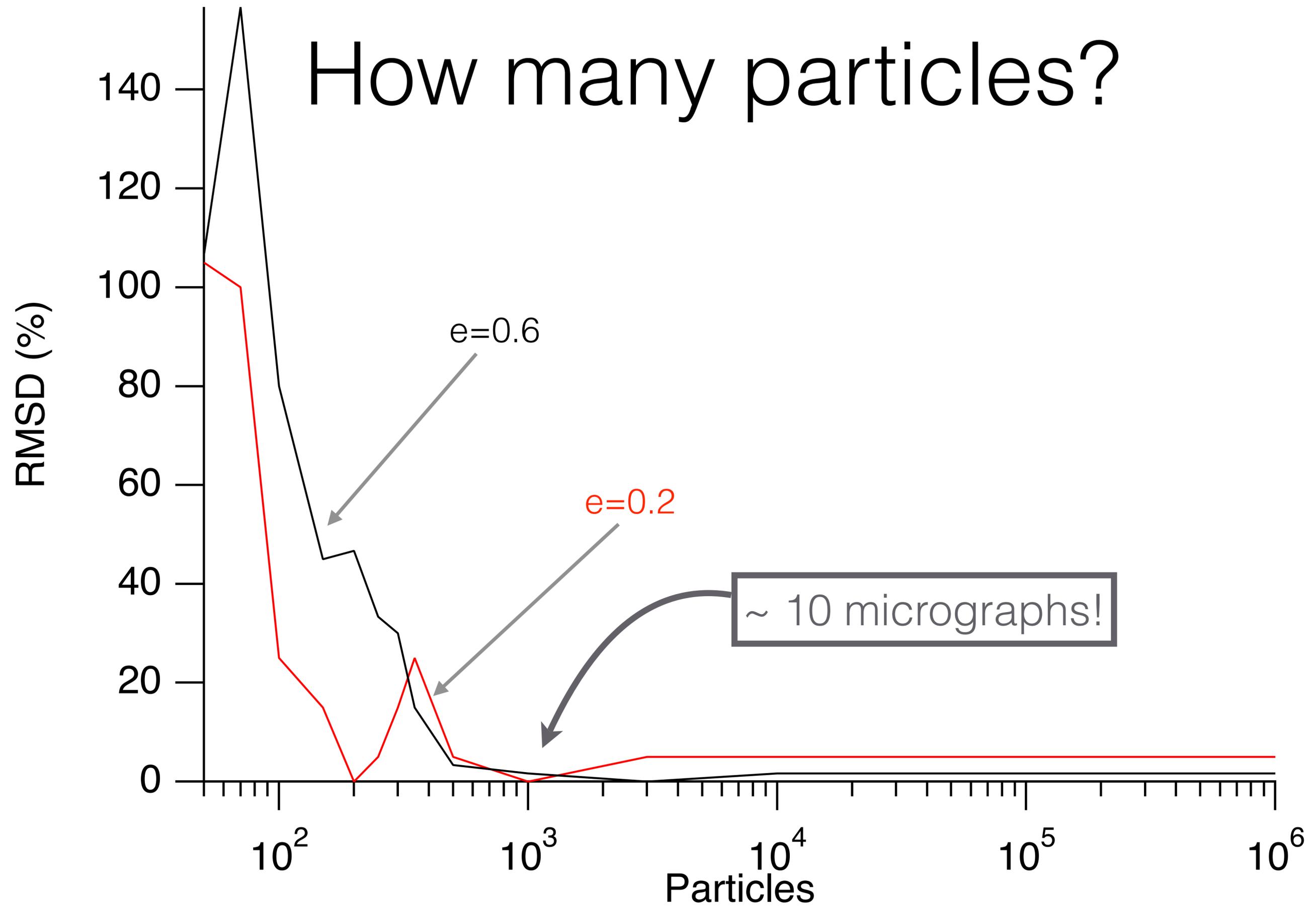
Tilt  $29^\circ$

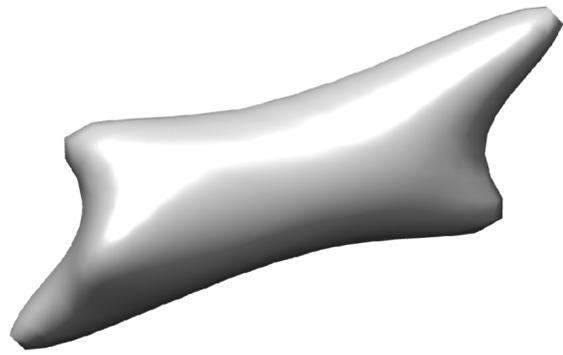
# Input

# Output

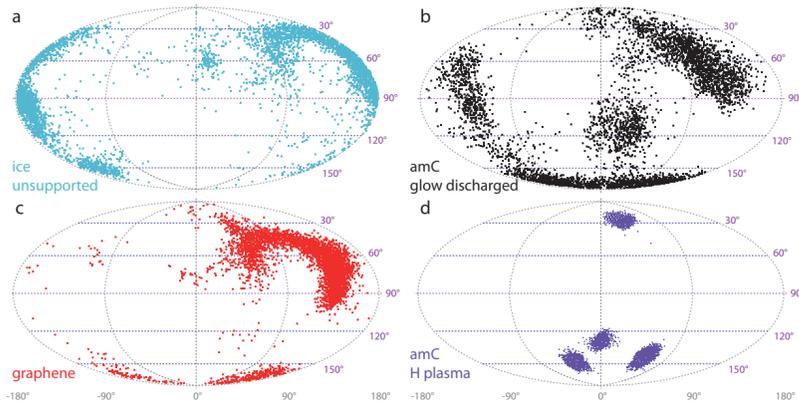


# How many particles?

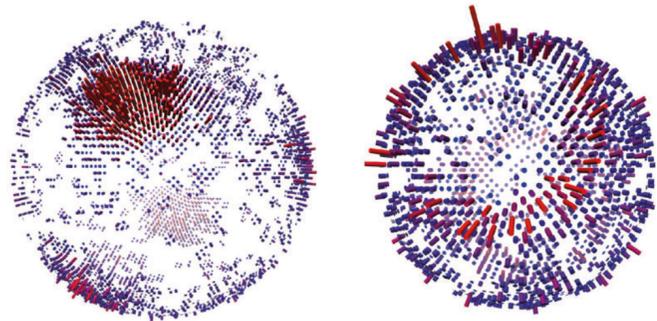




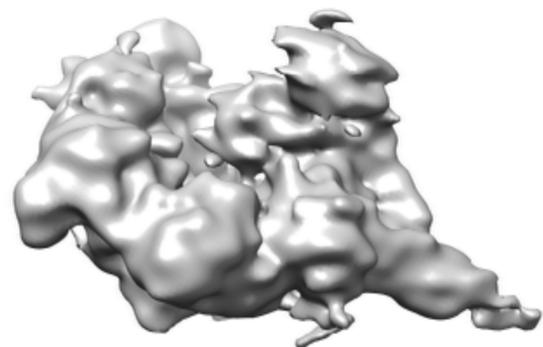
- Rigorously measure quality (efficiency) of the orientation distribution (OD) by analysing the anisotropic PSF.



- Use of the algorithm allows rapid (1000 particles!) assessment of different experimental conditions, support surfaces and their effect on the OD.



- Tilt can partially compensate for inefficient OD and the appropriate angles can be predicted from the PSF.



- Efficiency of the OD is at least as important as amount of data collected in reaching high resolution.

- Are there treatments that can be applied to thin carbon that are advantageous?

*yes*

- Should we be using carbon at all?

*<no|yes\*>*

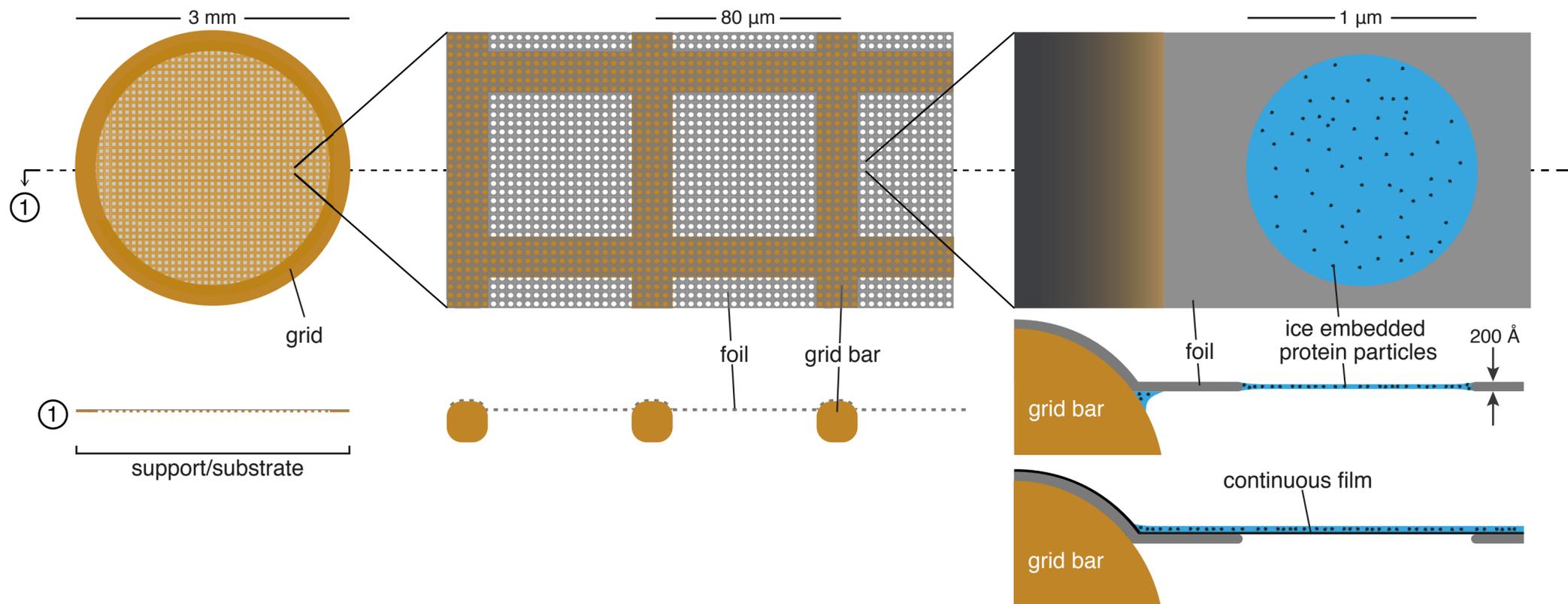
- Are there new substrates that offer advantages over the traditional thin carbon?

*yes*

- Can we envision improving on these further using surface treatments?

*yes*

# Types of specimen supports



## Grid materials

<b>Copper</b>	<b>Gold</b>
Nickel	CuRh
Titanium	Molybdenum
Silicon	Aluminum
	Tungsten

## Foil materials

<b>Amorphous carbon</b>	
<b>Gold</b>	
TiSi	SiN
SiO <sub>2</sub>	SiC

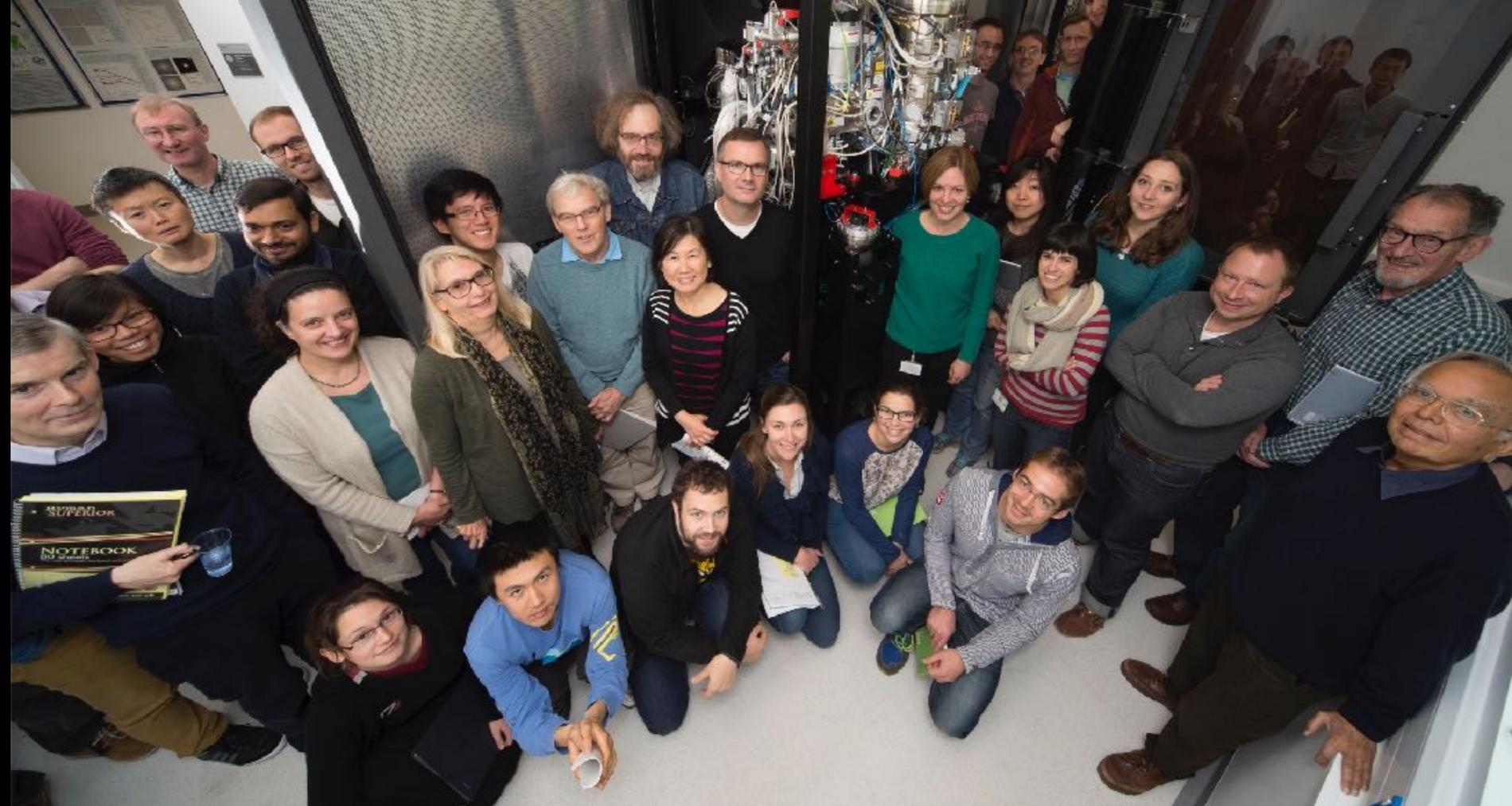
## Film materials

<b>Amorphous carbon</b>
Graphene
Graphene oxide
TiSi
SA 2D crystal & others





Katerina Naydenova  
Mathew Peet  
Richard Henderson  
Lori Passmore  
Tony Crowther  
Greg McMullan



Israel Fernandez  
Tanmay Bharat  
Jan Löwe  
Venki Ramakrishnan

Postdoc and  
PhD positions  
*available!*



The Leverhulme Trust



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