

# Technical challenges

NRAMM Workshop  
Scripps - 8th Nov 2009

Richard Henderson

# State of the field

- Some excellent 2D crystal structures
- Some very good structures from helical arrays
- Some impressive icosahedral structures, making use of symmetry
- Good single particle structures without symmetry
- Progress with resolving multiple states
- Awareness of need for quality control indices
- Electron tomography making increased impact

# Technical challenges to progress

- Prerequisite is homogeneous well-preserved specimens
  - blotting
  - cryosectioning
  - surface forces
- Signal-to-noise ratio in images
  - B-factor - describes fading of contrast with resolution
  - Radiation damage - unavoidable
  - Charging
  - Movement
  - Contamination
- Quality control indices
- Detectors need higher DQE
- Automation
- Computer programs (parallelisation, graphics chips)

# Technical challenges to progress

- Prerequisite is homogeneous well-preserved specimens
  - blotting
  - cryosectioning
  - surface forces
- Signal-to-noise ratio in images
  - B-factor - describes fading of contrast with resolution 1
  - Radiation damage - unavoidable 2
  - Charging
  - Movement
  - Contamination
- Quality control indices 3
- Detectors need higher DQE 4
- Automation
- Computer programs (parallelisation, graphics chips)

Human Rotavirus DLP Zhang et al & Grigorieff  
3.8 Å, B-factor 450Å<sup>2</sup> (2008) PNAS **105**, 1867-72.

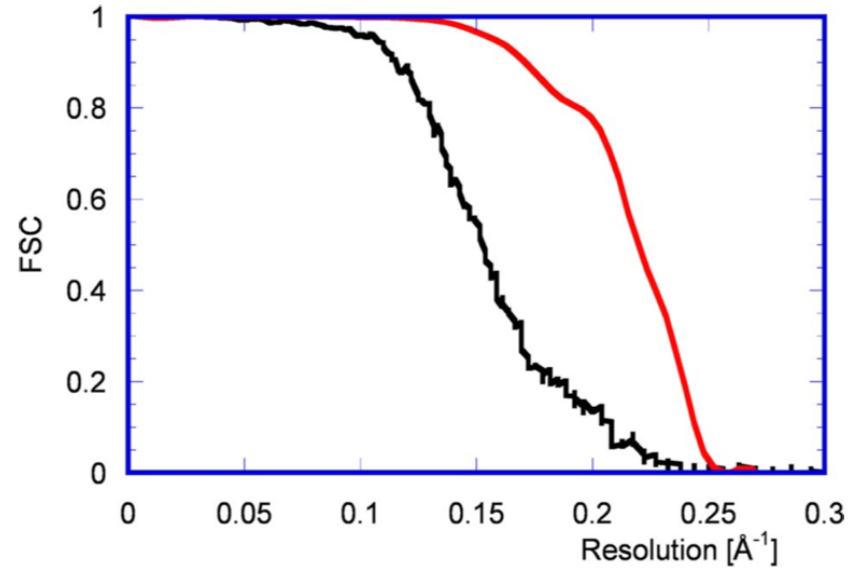
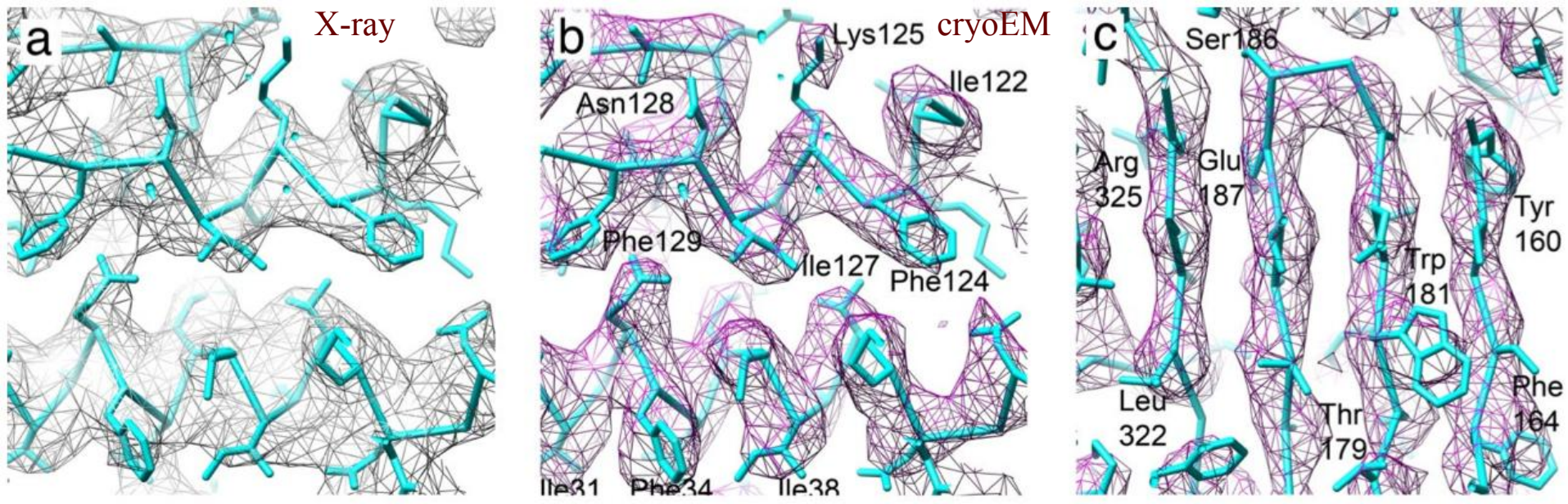


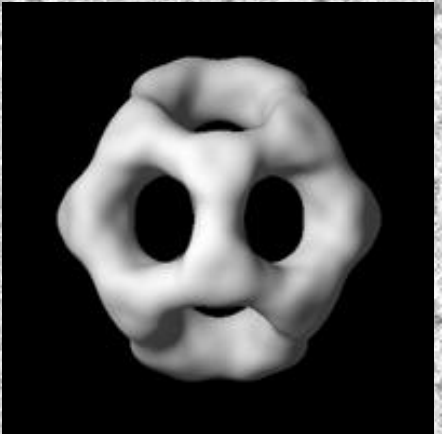
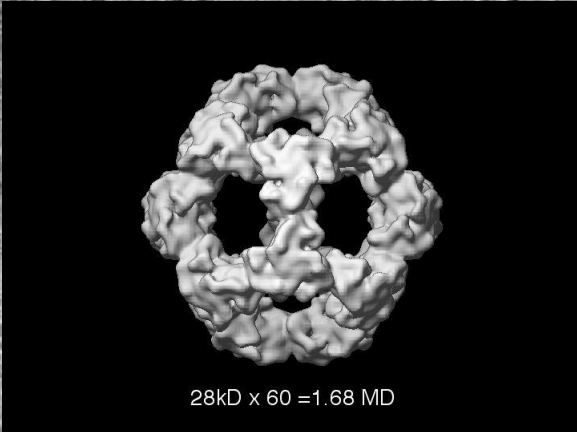
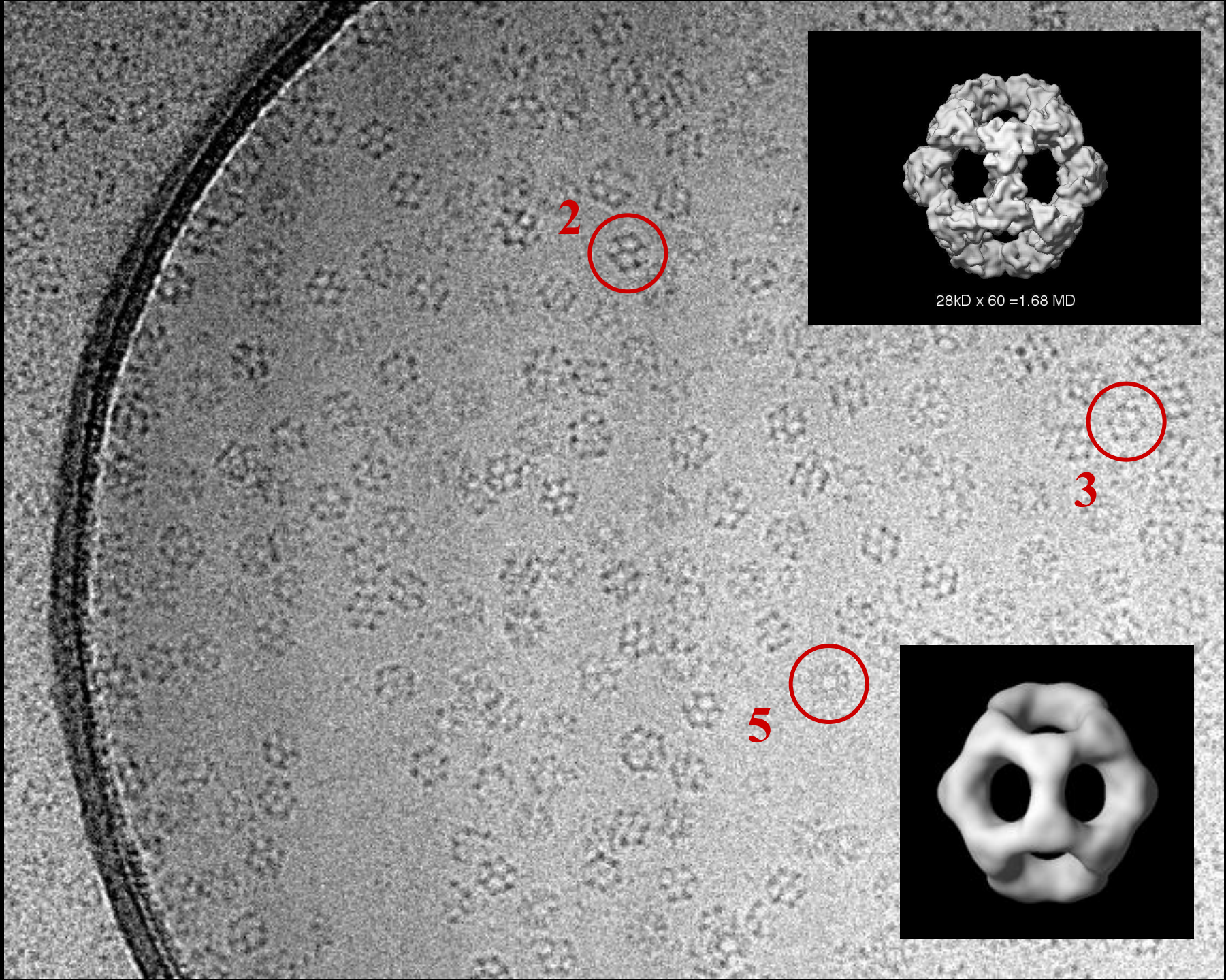
Fig. 4. FSC curves before (black) and after (red) 13-fold nonicosahedral averaging. The black curve suggests a resolution of 5.1 Å (0.143 threshold value), and the red curve indicates a resolution of 4.1 Å.



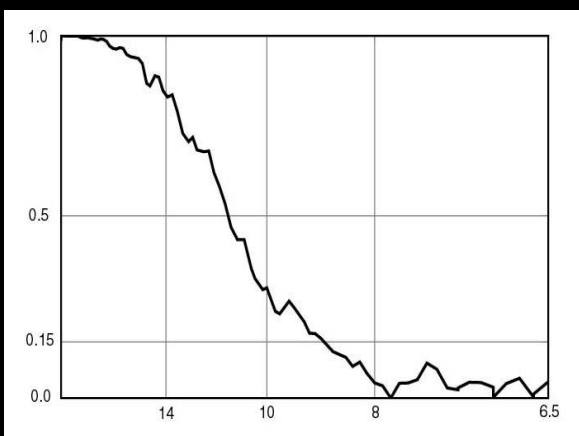
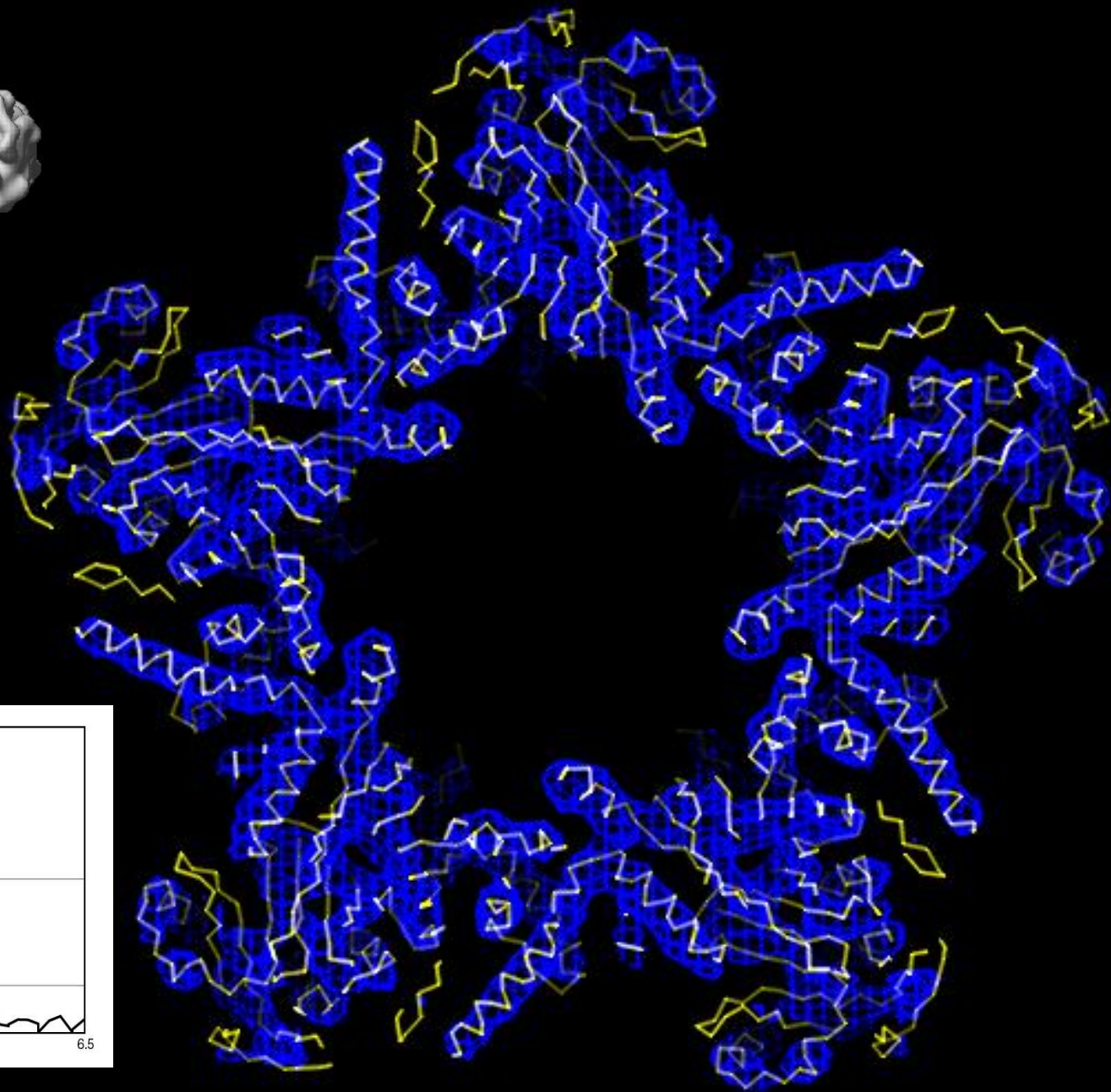
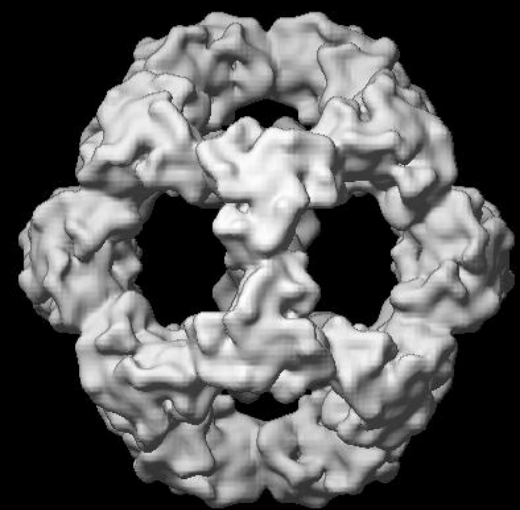
## Rosenthal & Henderson (2003) - three main points

- More realistic (less conservative) resolution criterion (FSC = 0.14)
- Sharpening map and f.o.m. weighting
- Tilt pair validation of orientation angle determination



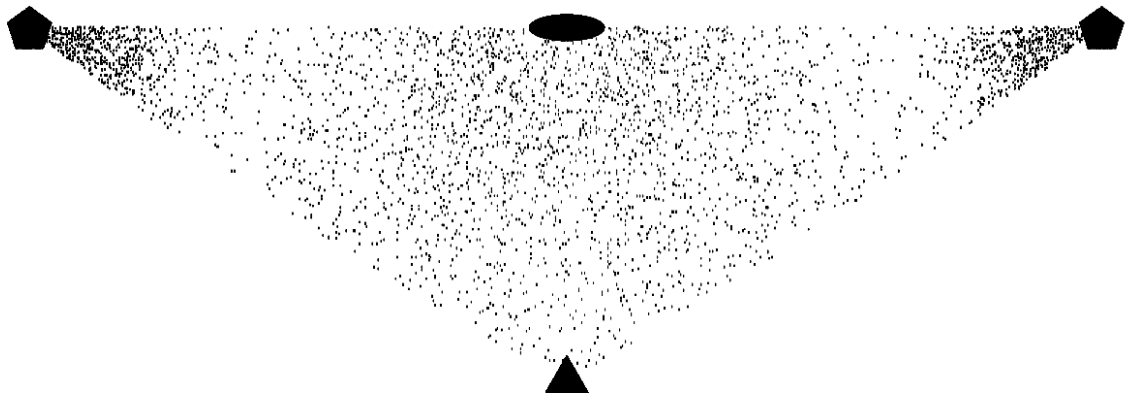




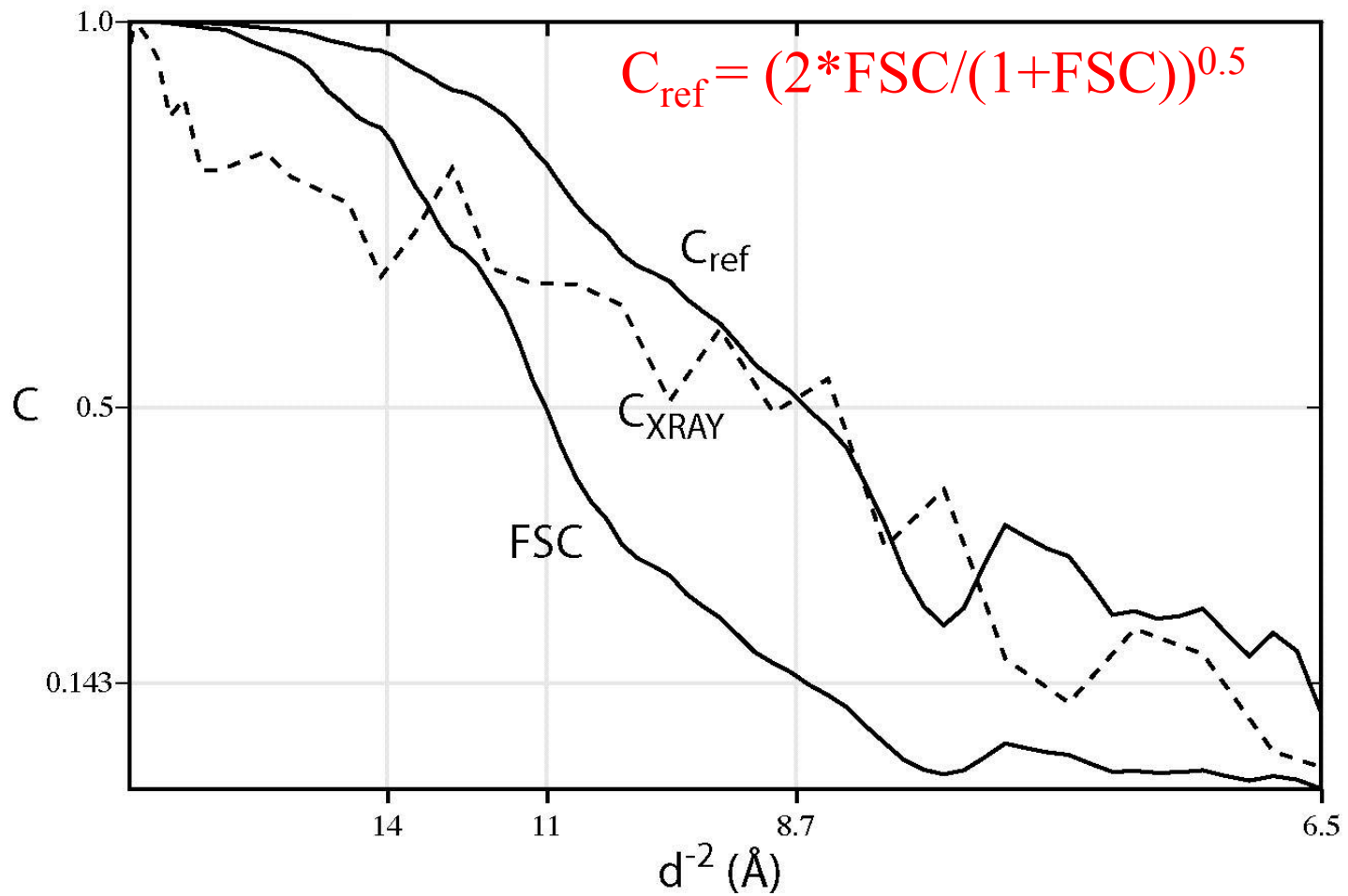




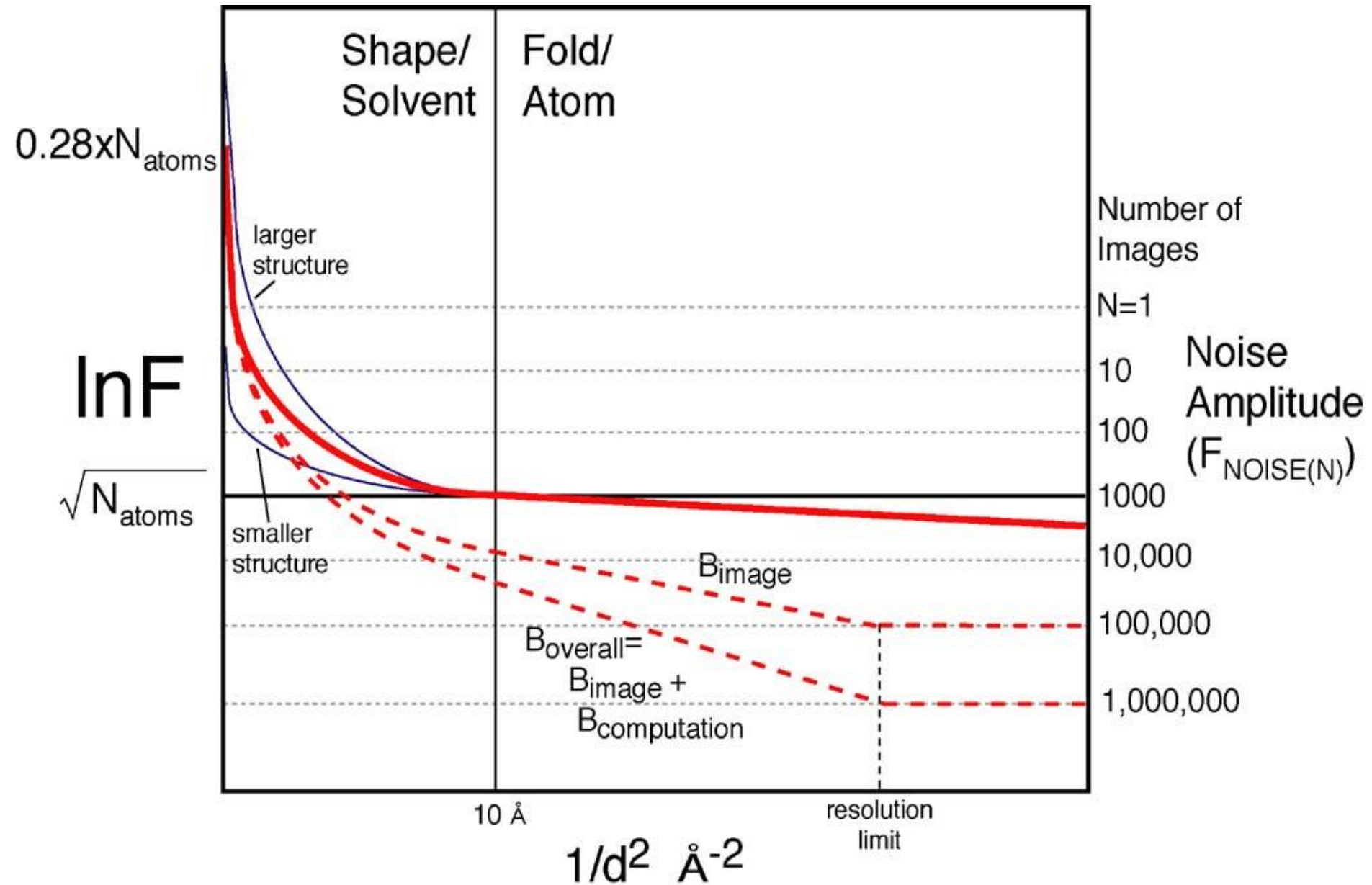
Particle distribution



Fourier shell correlations



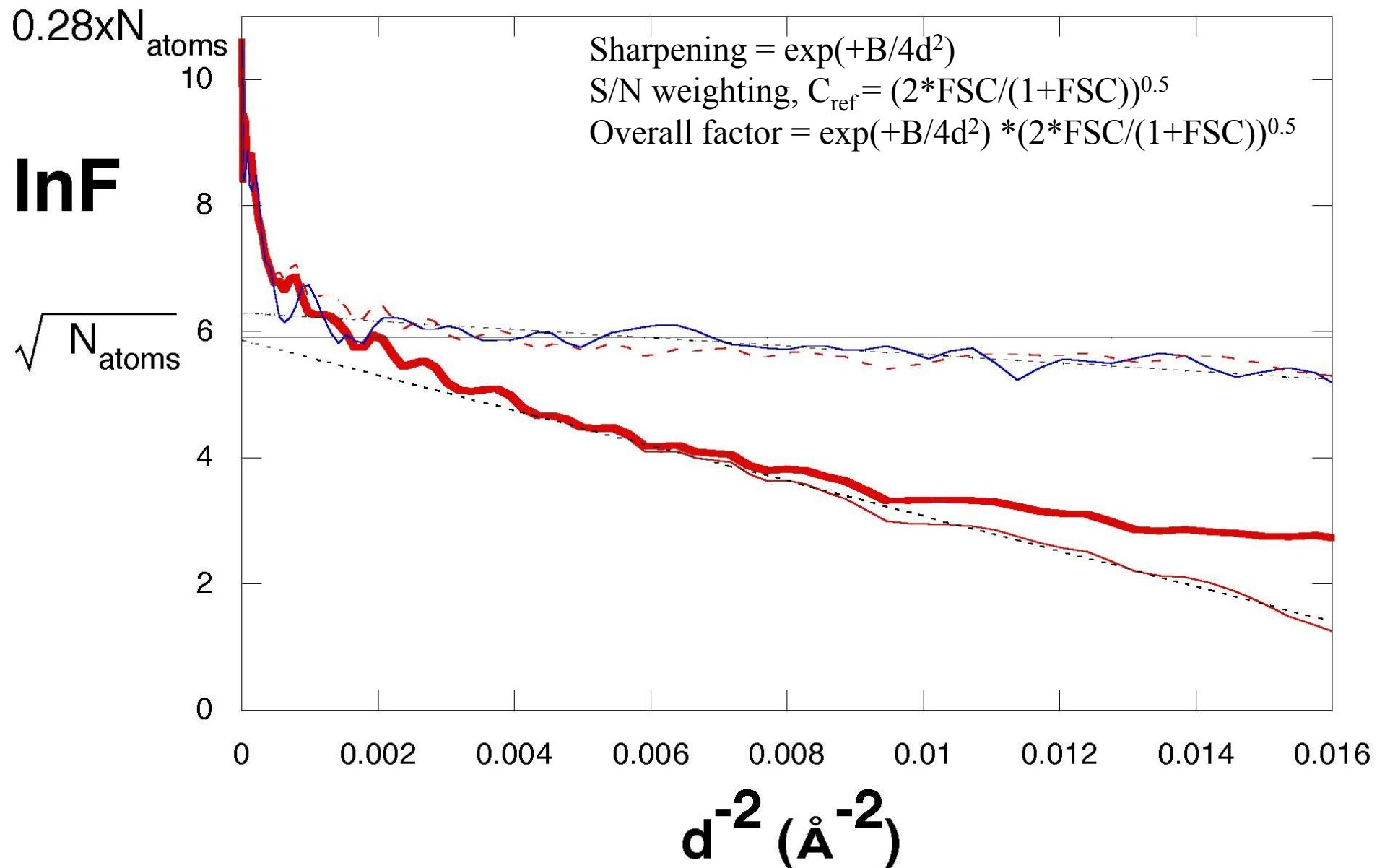
# Theory – single particles in ice



# Experimental data

Rosenthal (2003) JMB 333, 225-36

Fernandez (2008) JSB 164, 170-5

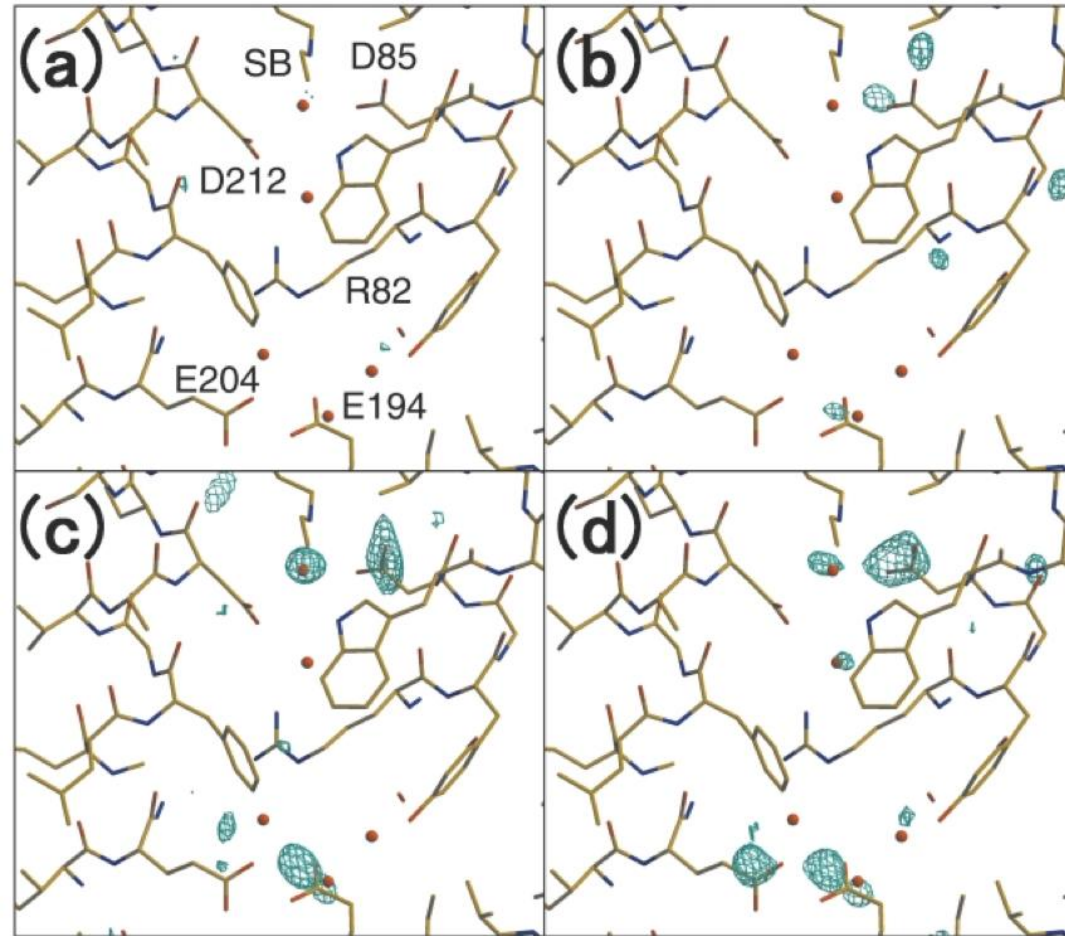
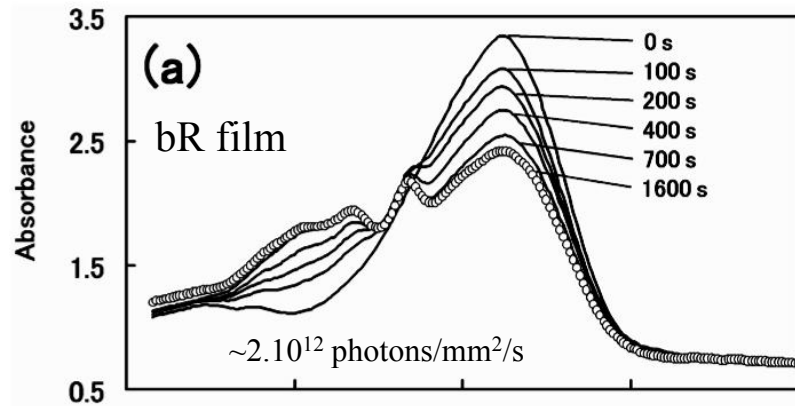
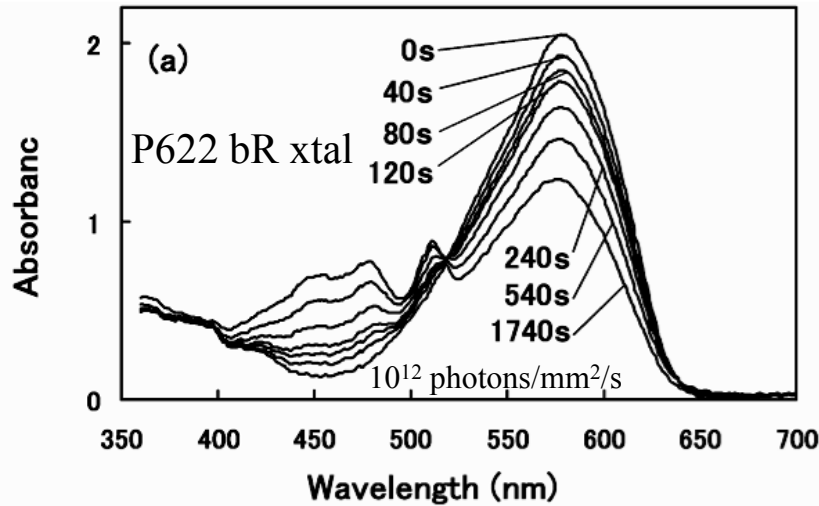


# Radiation damage in structural biology

- Three-dimensional crystals (X-ray) contain  $\sim 10^{10}$  molecules
- Two-dimensional crystals (EM) contain  $\sim 10^4$  molecules
- Single particles contain 1 or a small number of copies
- Radiation damage unfortunately makes it impossible to determine the structure, except at  $> 2\text{-}4$  nm resolution, without some averaging
- Current challenge is to understand how much averaging is necessary in theory and to try to get close to this in practice



# Damage induced by X-irradiation of bacteriorhodopsin



Doses = 4, 8, 12, 16 \* 10<sup>15</sup> photons/mm<sup>2</sup>

bR in crystals or membranes show similar sensitivity to irradiation

10<sup>16</sup> photons/mm<sup>2</sup> => 5 eI/Å<sup>2</sup> = normal cryo-EM exposure - carboxyl groups fall off

4 \* 10<sup>15</sup> photons/mm<sup>2</sup> => 2 eI/Å<sup>2</sup> = dose/frame in above X-ray sequence

2 \* 10<sup>14</sup> photons/mm<sup>2</sup> => 0.1 eI/Å<sup>2</sup> = safe dose where no damage of any kind is detectable

## MOLECULAR STRUCTURE DETERMINATION

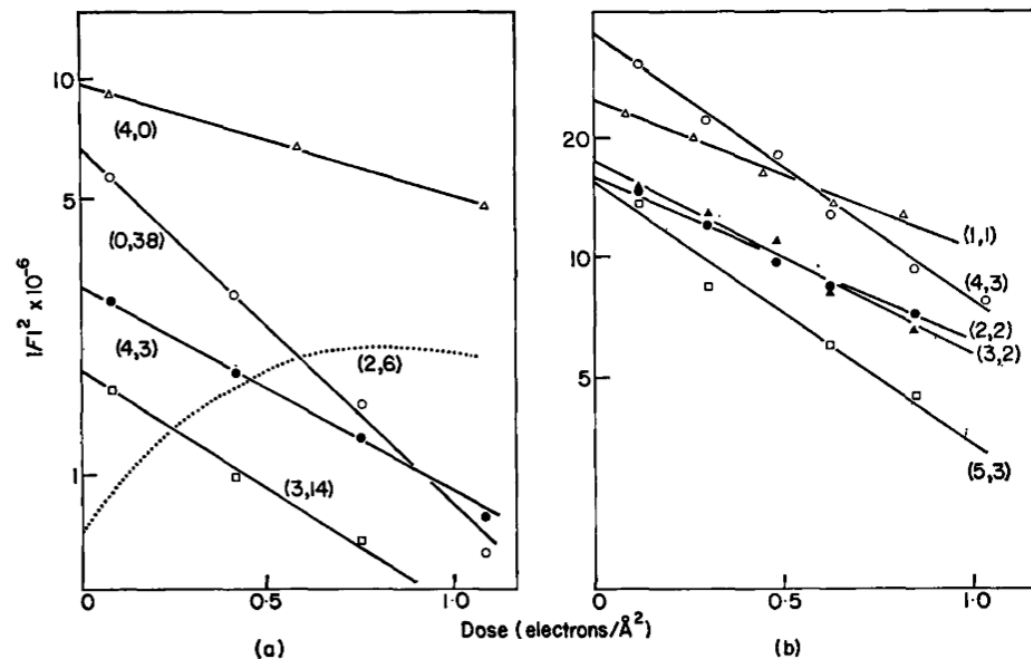
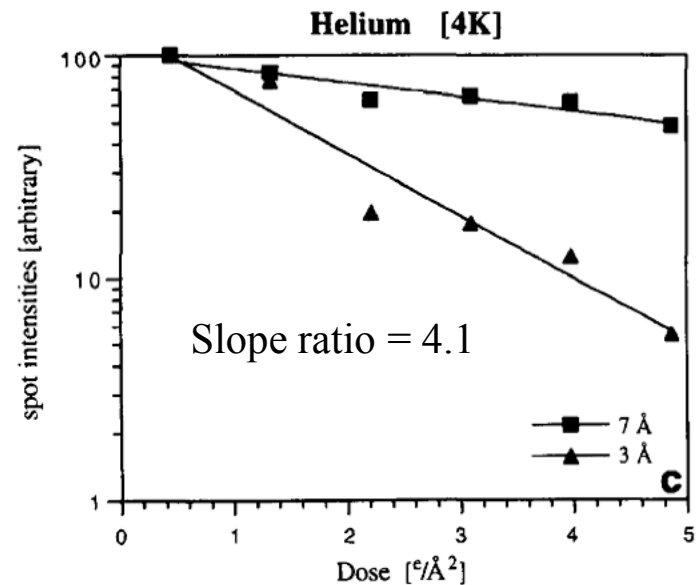
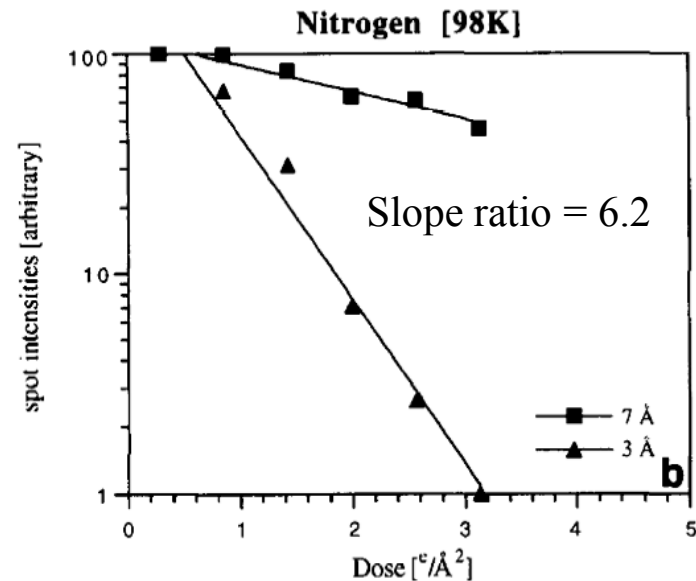


FIG. 1. The intensities (on a logarithmic scale) of some typical reflections in (a) the catalase and (b) the purple membrane electron diffraction pattern, plotted as a function of electron dose.

## Conclusions

- 3Å data is more radiation sensitive than 7Å data by a factor of 4.1x to 6.2x.
- This translates into a B-factor due to radiation damage of  $B = 90\text{Å}^2$  at 98K, or  $B = 70\text{Å}^2$  at 4K



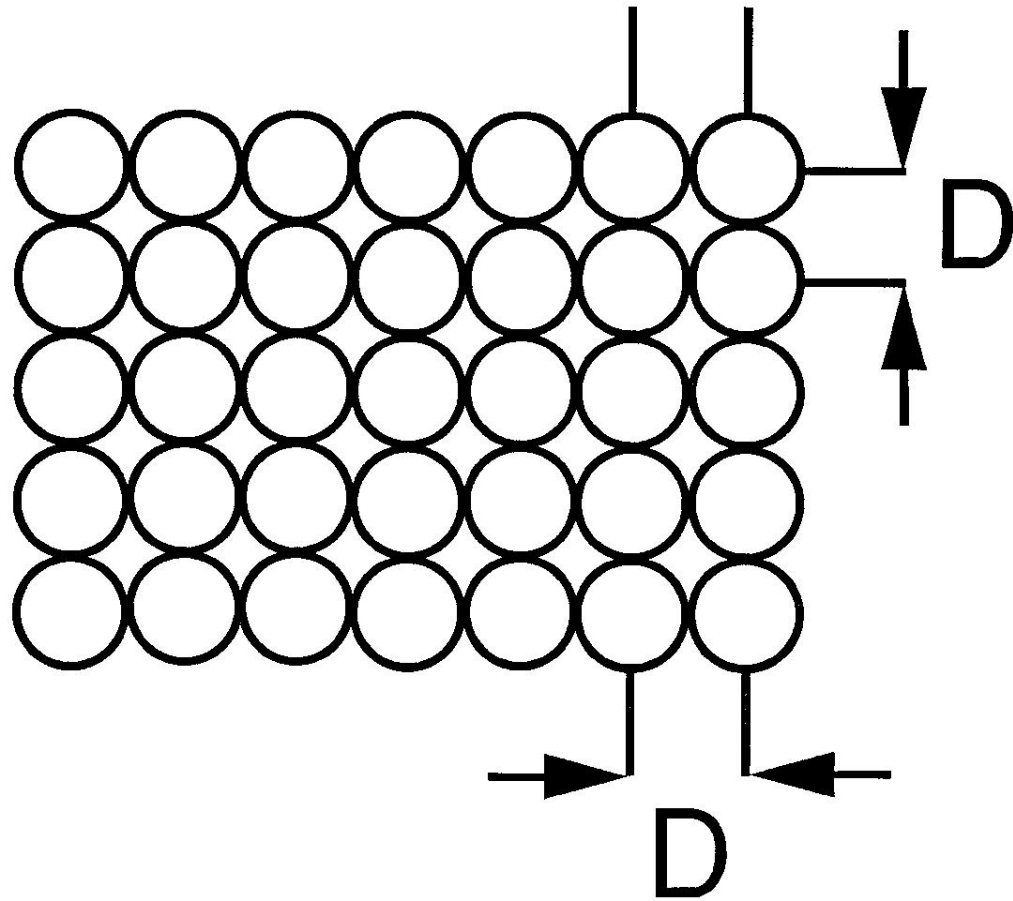
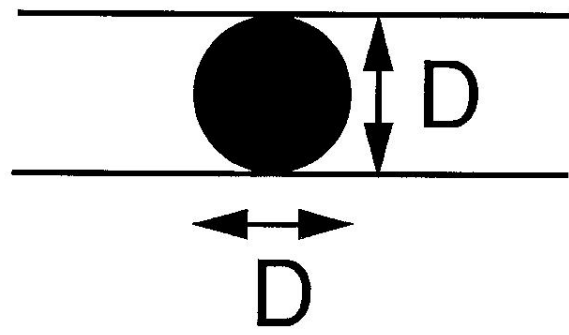


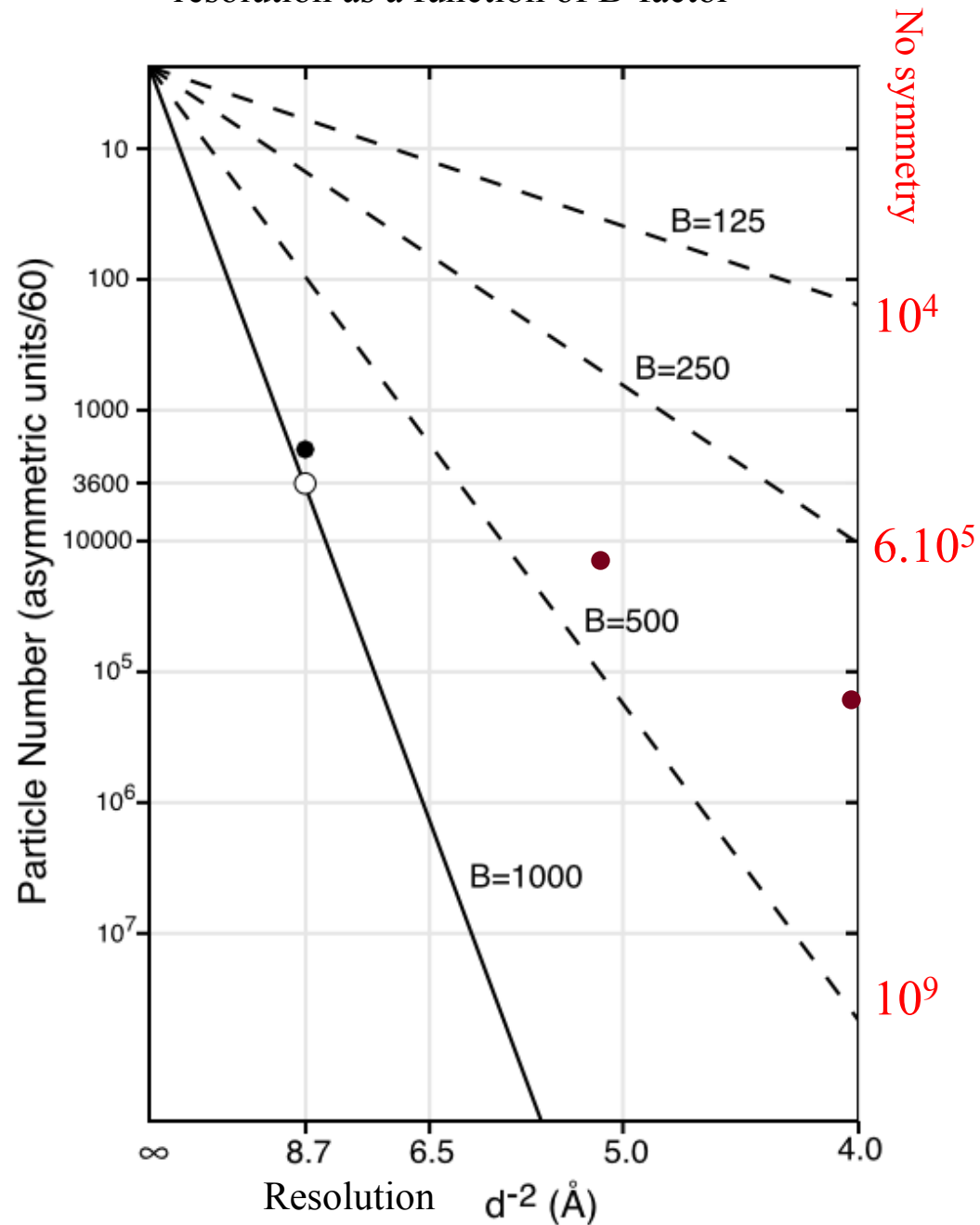
TABLE 2

Type of molecule	Approx. M.W. (Daltons)	D (Å)	$N_c$ , number of carbon atom equivalents	$N_s$ , number of unique diffraction spots to resolution of $d = 3\text{Å}$ in projection	f, fraction of electrons elastically scattered out to $3\text{Å}$ resolution	$\frac{\langle I_{OBS} \rangle}{I_0}$	$\frac{\langle F_{OBS} \rangle}{F_0}$	Phase contrast = total image fractional contrast = signal	Fractional noise level in pixel of dimension $\left(\frac{d}{2}\right)^2 = 1.5\text{Å} \times 1.5\text{Å}$	Can single molecule be detected? How many times > noise	Multiple of sigma expected within unit cell at random	Multiple of sigma expected within entire volume of 5 parameter space at random	Can single molecule alignment be carried out in practice?	Minimum number of images needed for structure with average Fourier component to be $>3\sigma$ in projection	Total number of images in 3D $\times \left[\frac{D}{\lambda}\right]^2$ De Rosier & Klug (1967)
large virus	300M	900	25,000,000	141,371	0.0520	$0.184 \times 10^{-6}$	$0.429 \times 10^{-3}$	0.322	0.30	644	5.2	8.5	yes	13	12600
small virus	11M	300	936,000	15,707	0.0173	$0.552 \times 10^{-6}$	$0.743 \times 10^{-3}$	0.186	0.30	124	4.8	7.7	yes	40	12600
ribosome	3.3M	200	277,000	6,981	0.0115	$0.827 \times 10^{-6}$	$0.910 \times 10^{-3}$	0.152	0.30	68	4.7	7.5	yes	60	12600
	1.4M	150	117,000	3,926	0.0087	$1.103 \times 10^{-6}$	$1.050 \times 10^{-3}$	0.132	0.30	44	4.6	7.3	yes	80	12600
multimeric enzyme	420K	100	35,000	1,745	0.0058	$1.654 \times 10^{-6}$	$1.286 \times 10^{-3}$	0.107	0.30	24	4.4	7.1	possibly	120	12600
	180K	75	14,600	981	0.0043	$2.206 \times 10^{-6}$	$1.485 \times 10^{-3}$	0.093	0.30	16	4.2	6.8	possibly	160	12600
	52K	50	4,330	436	0.0029	$3.309 \times 10^{-6}$	$1.819 \times 10^{-3}$	0.076	0.30	8.4	4.1	6.7	possibly	240	12600
small protein	18K	35	1,500	213	0.0020	$4.727 \times 10^{-6}$	$2.174 \times 10^{-3}$	0.064	0.30	4.9	3.9	6.3	no	345	12600
very small protein	7K	25	540	109	0.00144	$6.618 \times 10^{-6}$	$2.572 \times 10^{-3}$	0.054	0.30	3.0	3.5	5.9	no	480	12600
equation	(1)	-	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(13)	(16)	(17)	(10)	(11)
relation to D	$0.418 \times D^3$	D	$0.0346 \times D^3$	$0.01745 \times D^2$	$5.7 \times 10^{-5} \times D$	$1.654 \times 10^{-4} \times D^{-1}$	$0.0128 \times D^{-\frac{1}{2}}$	$0.0107 \times D^{\frac{1}{2}}$	-	$0.02388 \times D^{\frac{1}{2}}$				$12087 \times D^{-1}$	-
dependence on resolution d	-	-	-	$\alpha \frac{1}{d^2}$	-	-	-	$\alpha \frac{1}{d}$	$\alpha \frac{1}{d}$	$\alpha \frac{1}{d}$				-	$38,000/d$

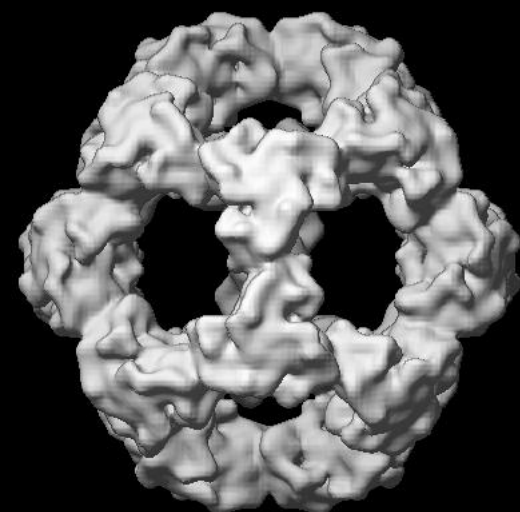
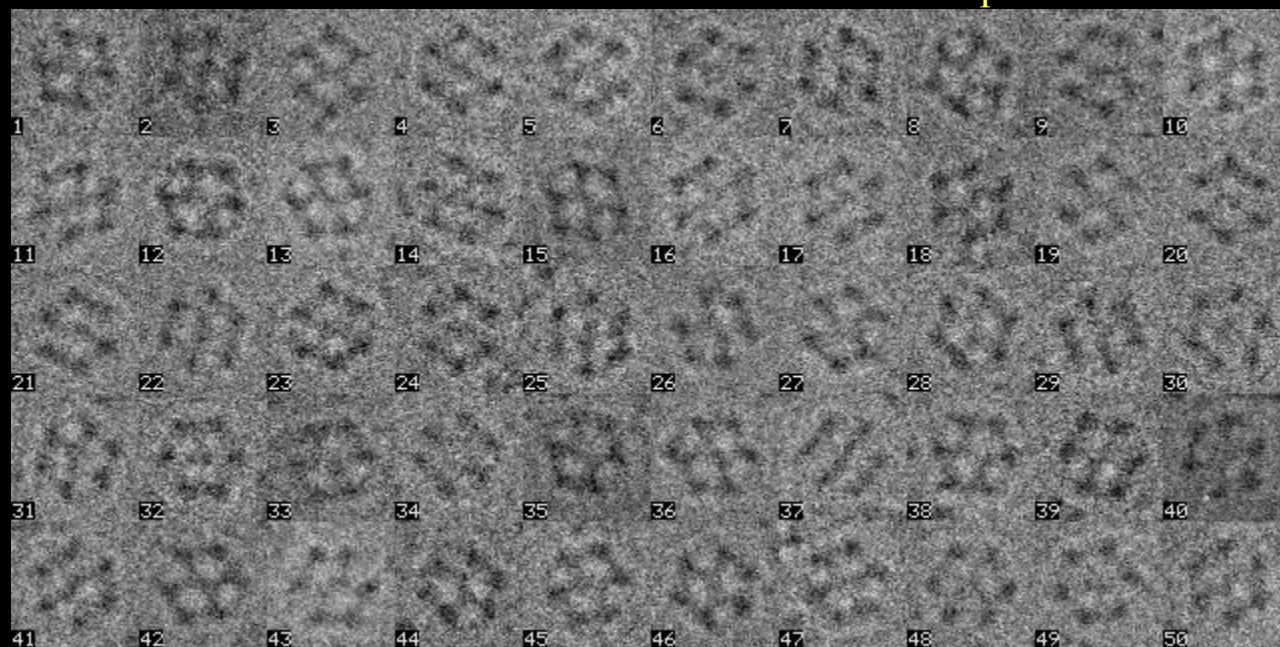
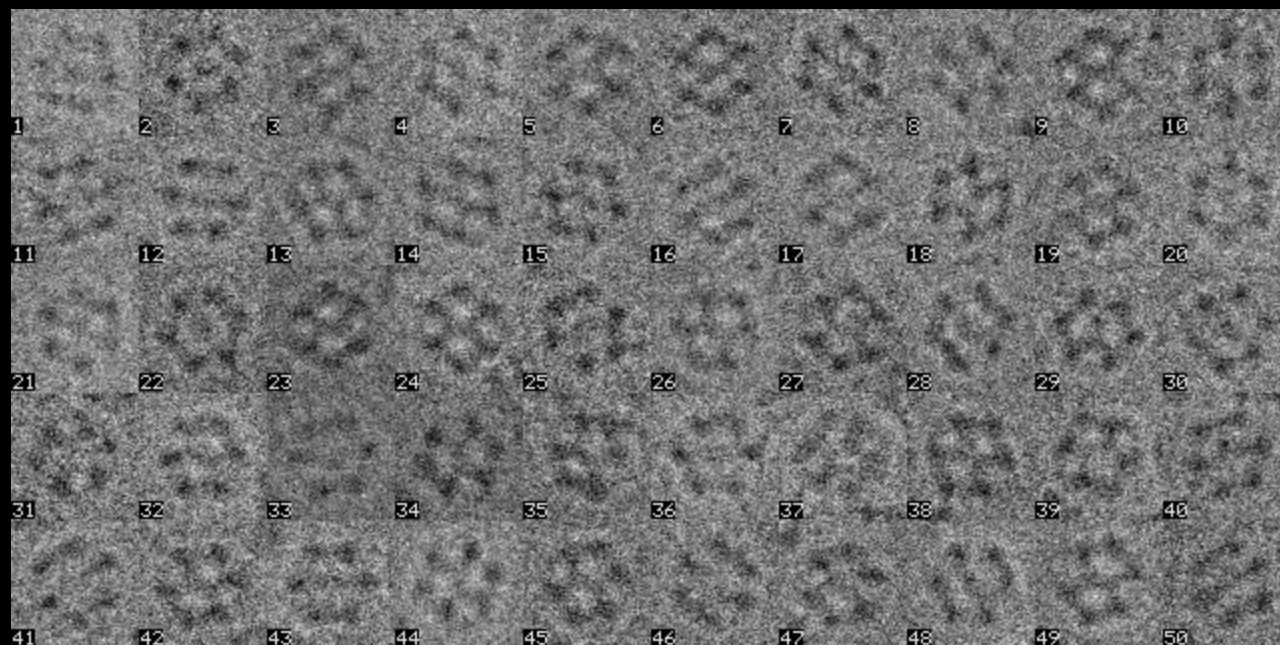
Parameters in electron microscopy of single protein molecules or molecular assemblies. To simplify the presentation, it is assumed that the molecules are arranged in a closely-packed 2-dimensional crystal with a square unit cell as shown in Fig. 3. The formulae used to derive Table 2 are given in the Appendix.

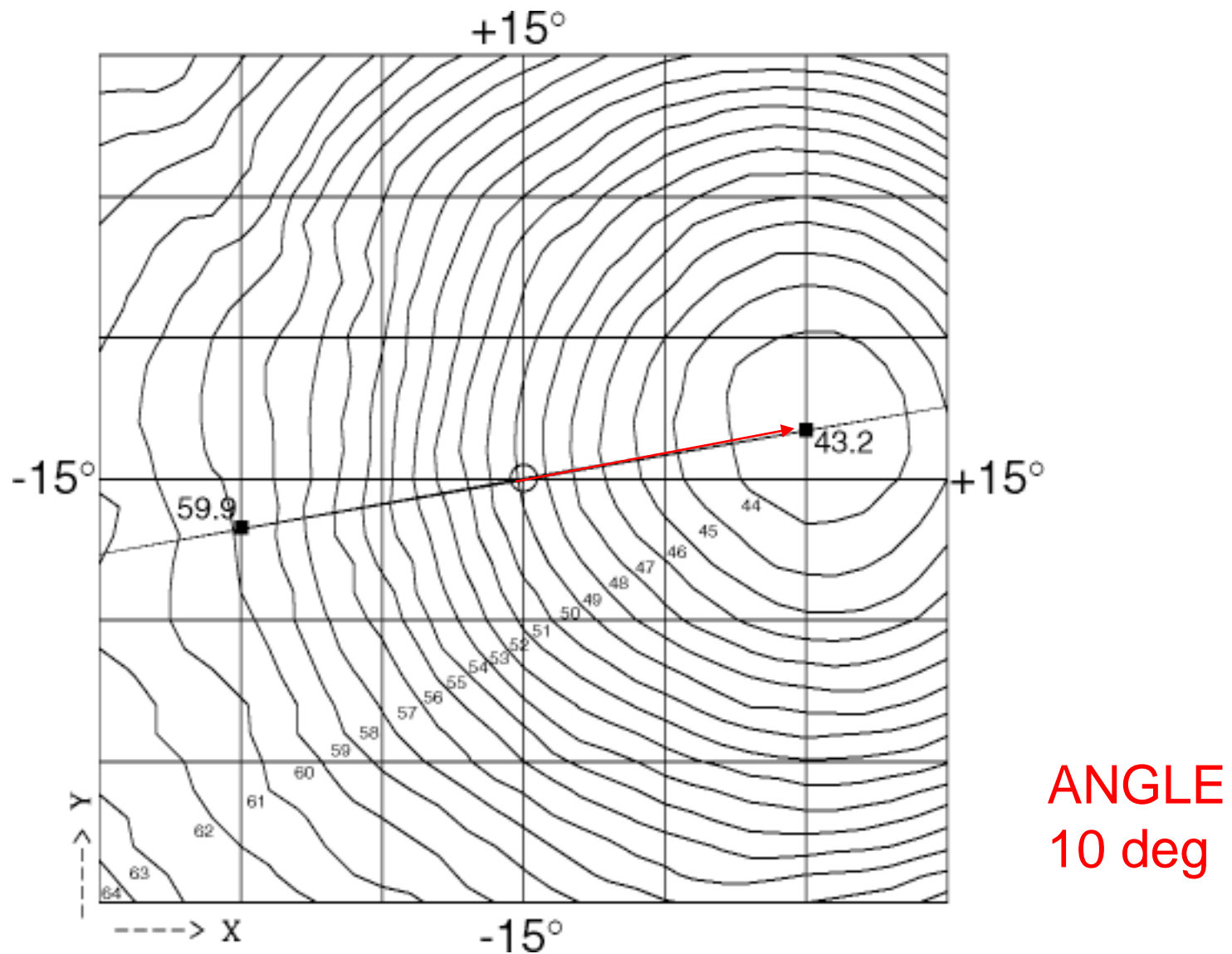


Number of particles needed to reach given resolution as a function of B-factor

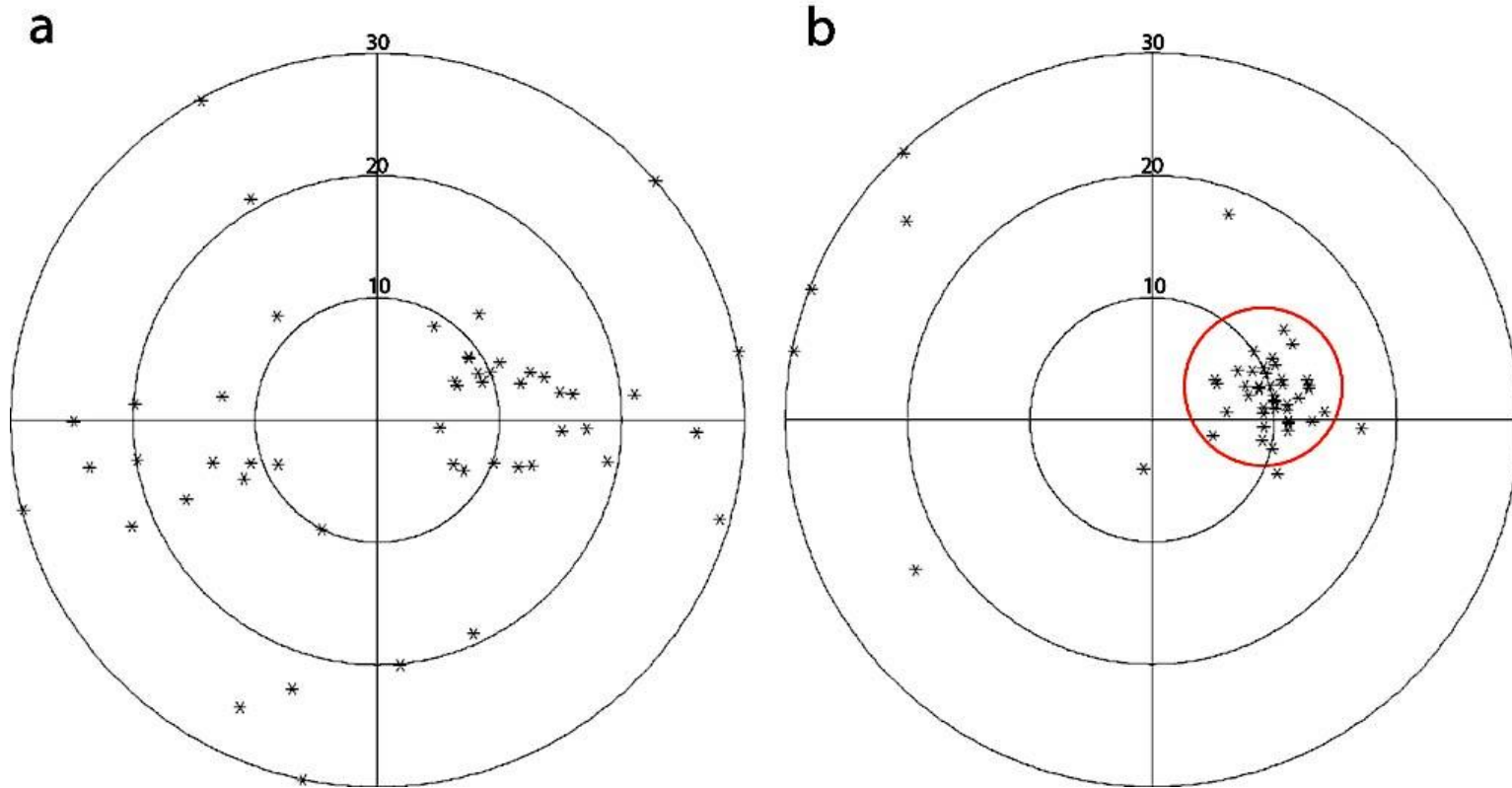


UNTILED

 $(\psi, \theta, \phi)_u$ TILTED  
10 degrees $(\psi, \theta, \phi)_t$ 



Mean phase residual for 50 particle image pairs – ANG PLOT + FREALIGN



Individual particle image pairs – TILTDIFF output



# Application of Rosenthal & Henderson tilt pair validation approach (9/90 citations)

- Pyruvate dehydrogenase : R & H (2003) JMB 333, 721-42
- *Neurospora* P-type ATPase : Rhee et al (2002) EMBO J. 21, 3582-89
- Bovine ATPase : Rubinstein et al (2003) EMBO J. 22, 6182-92
- Chicken anaemia virus : Crowther et al (2003) J.Virol. 77, 13036-41
- HepB surface antigen : Gilbert et al (2005) PNAS 102, 14783-88
- Hsp104, yeast AAA+ ATPase : Wendler et al (2007) Cell 31, 1366-77
- Yeast ATPase : Lau et al (2008) JMB 382, 1256-64
- V-type ATPase, *T.thermophilus* : Lau/Rubinstein (2009)
- DNA-depend PKase : Williams et al (2008) Structure 16, 468-77

# Conclusion

## Contributions of different factors to contrast loss

- Radiation damage degrades structure factors  $\Delta B = 80$
- Detectors (e.g. film) poor high resolution MTF (and DQE)  $\Delta B = 60$
- Charging and mechanical movement  $\Delta B = 60$  to 500
- Intrinsic molecular flexibility  $\Delta B = 30$  to 500

Technical challenge is to reduce contribution of everything except radiation damage to near zero

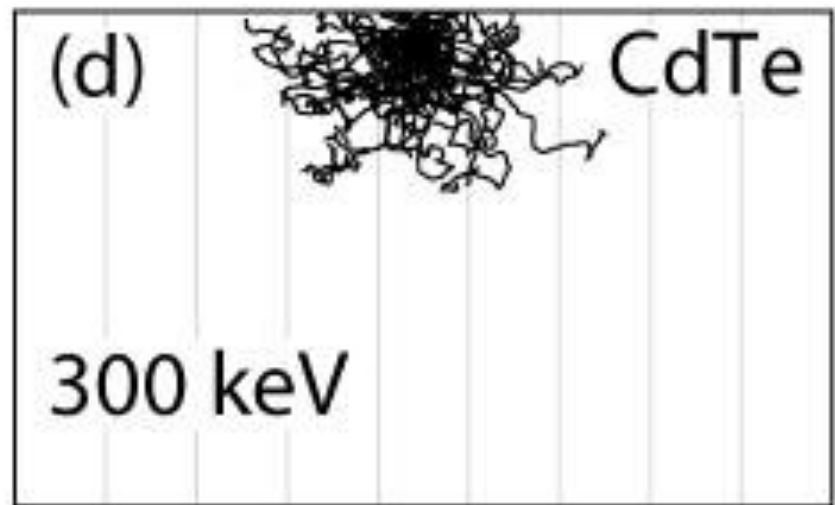
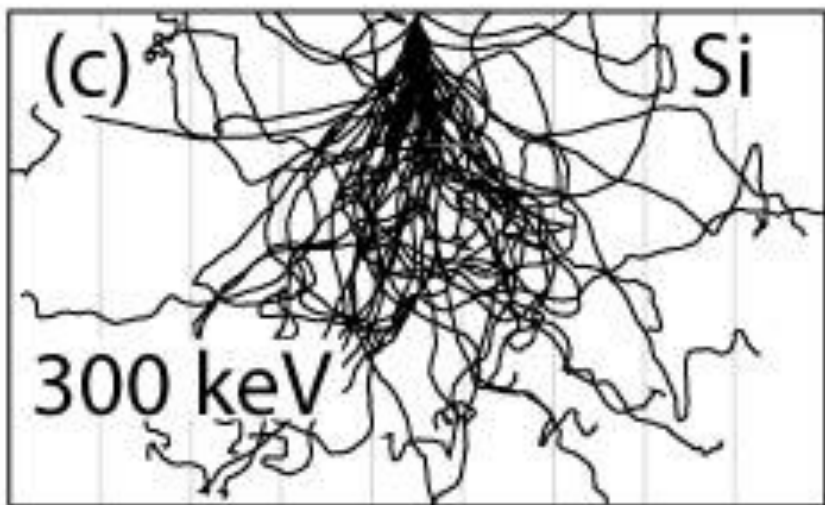
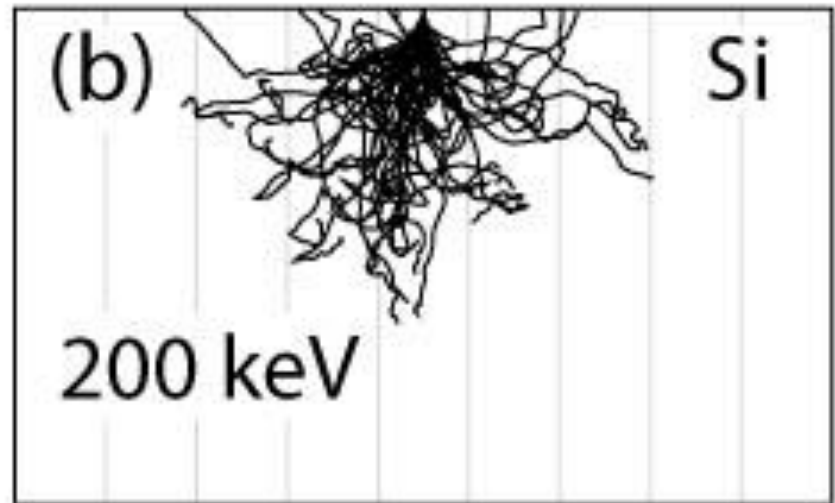
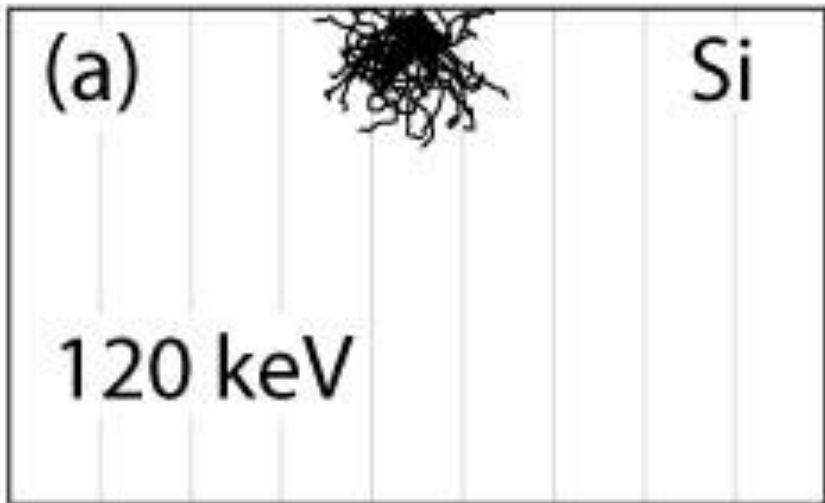
# Detectors at present

- Film (SO-163)
- Phosphor/Fibre Optics/cooled CCD
- Phosphor/Lens/cooled CCD

# Prototype detectors

- Hybrid Pixel Detectors (Medipix)
- Monolithic Active Pixel Sensors (MAPS/CMOS)

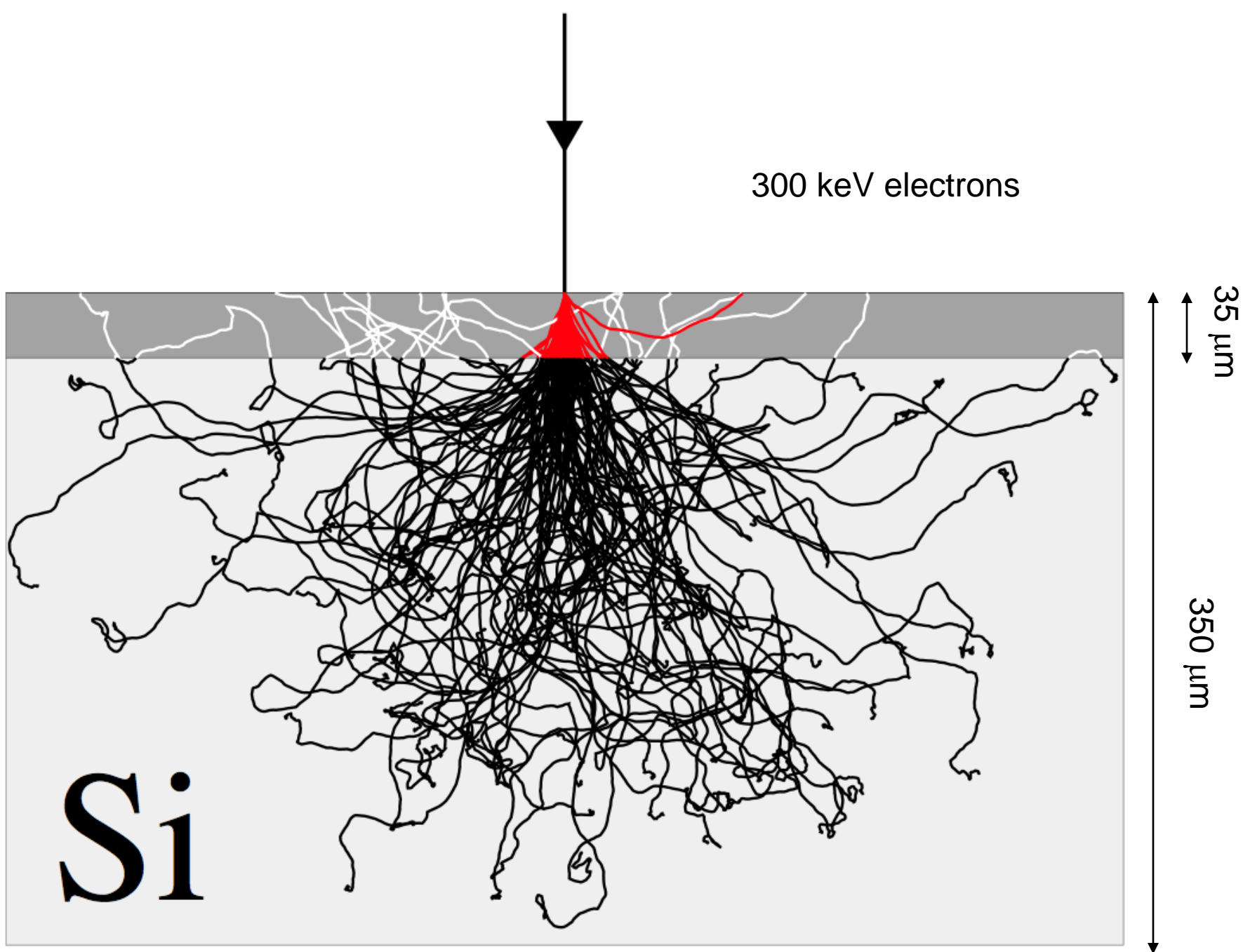
# Electron tracks - Monte Carlo simulation



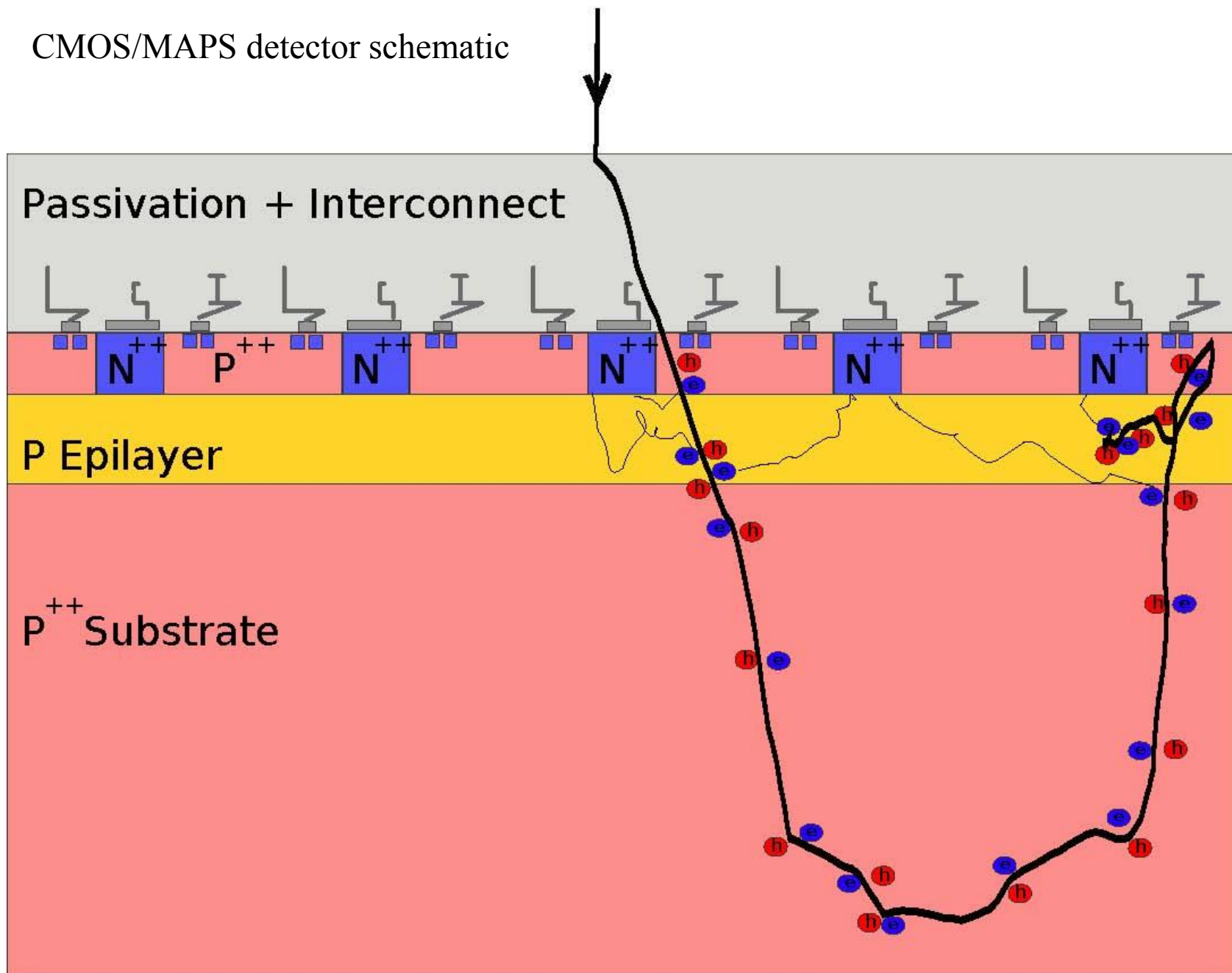
300  $\mu\text{m}$

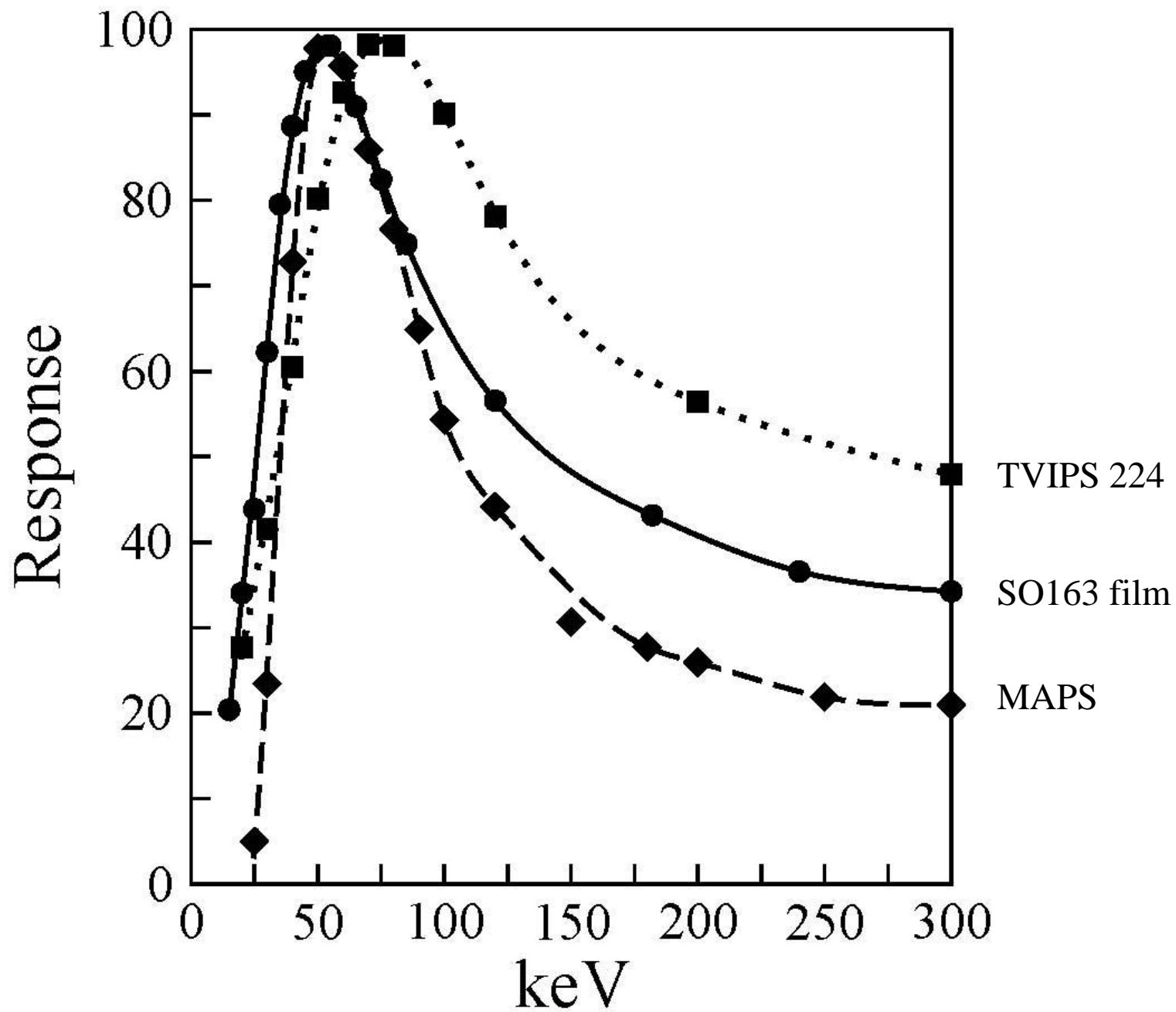
55  $\mu\text{m}$

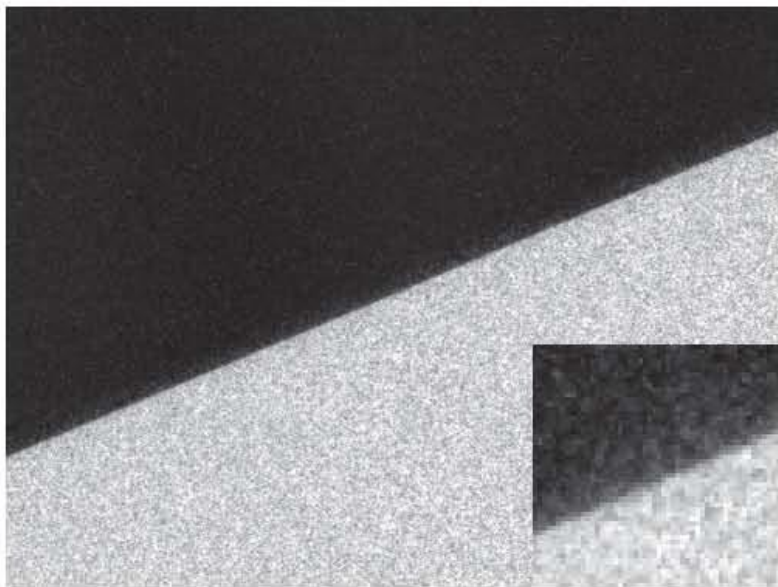




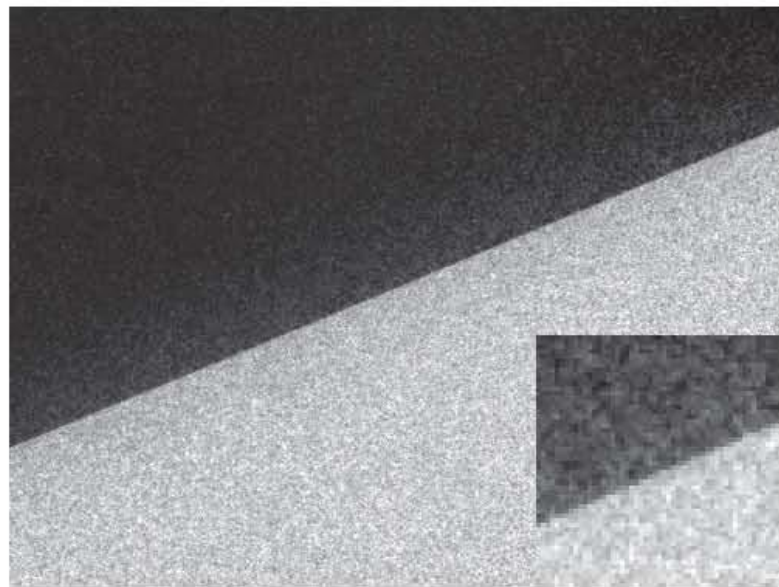
# CMOS/MAPS detector schematic



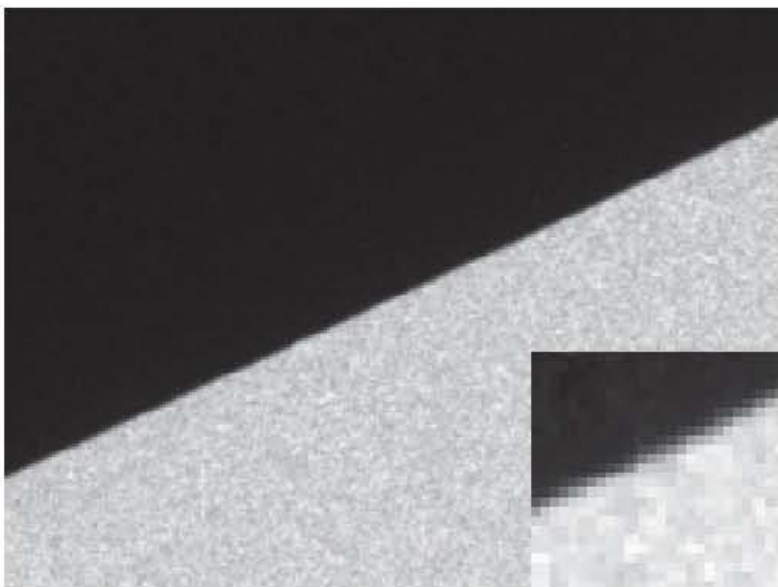




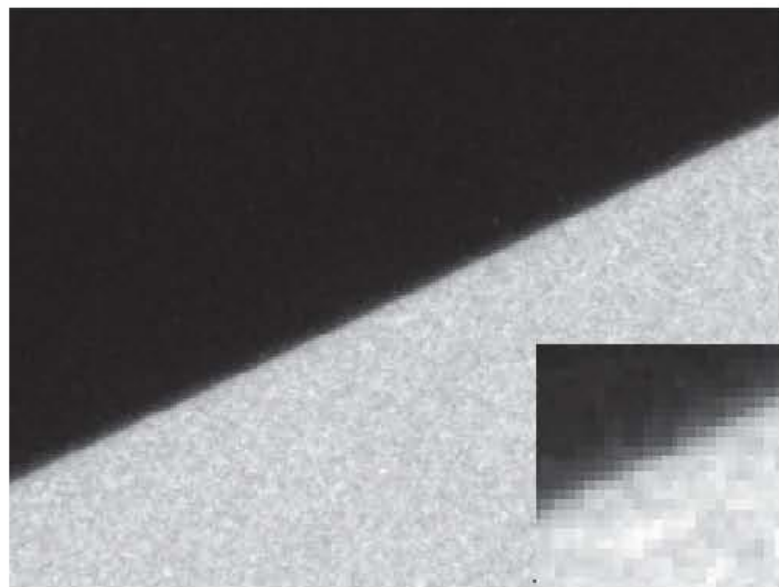
(a) 120kV SO-163 film



300kV (b)



(c) TVIPS 224

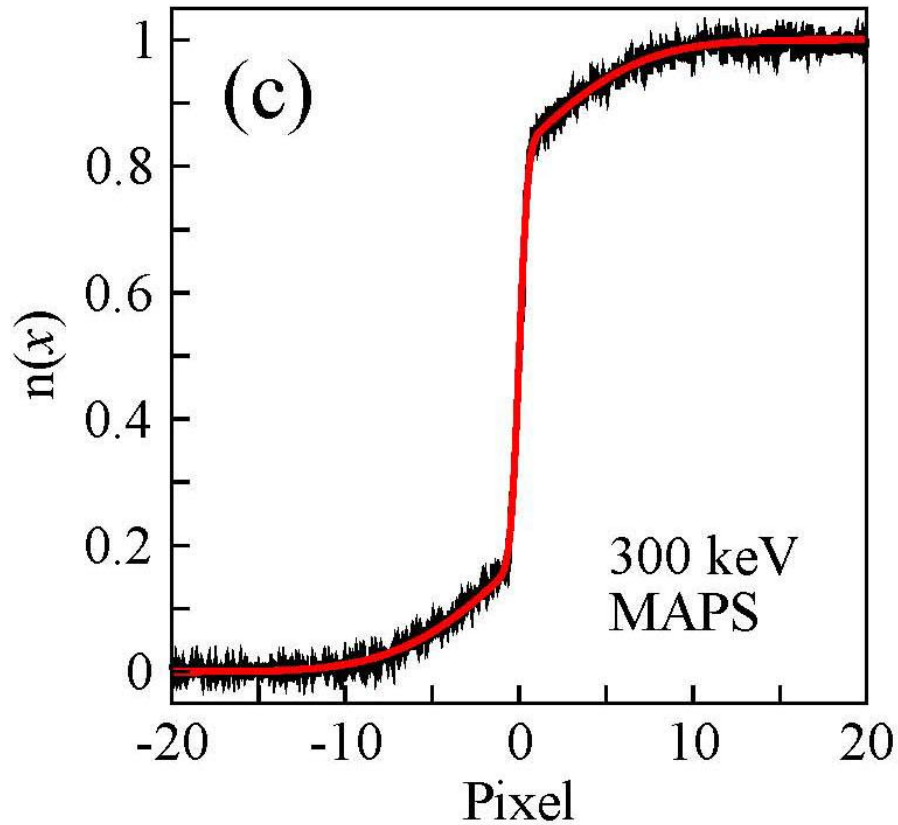


(d)

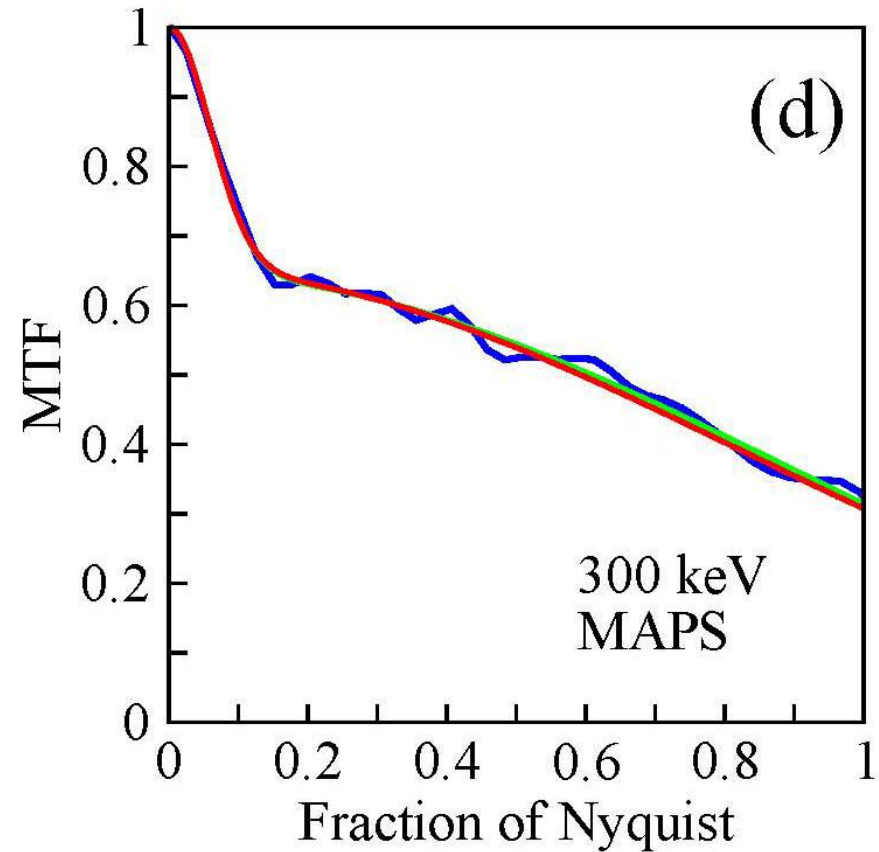


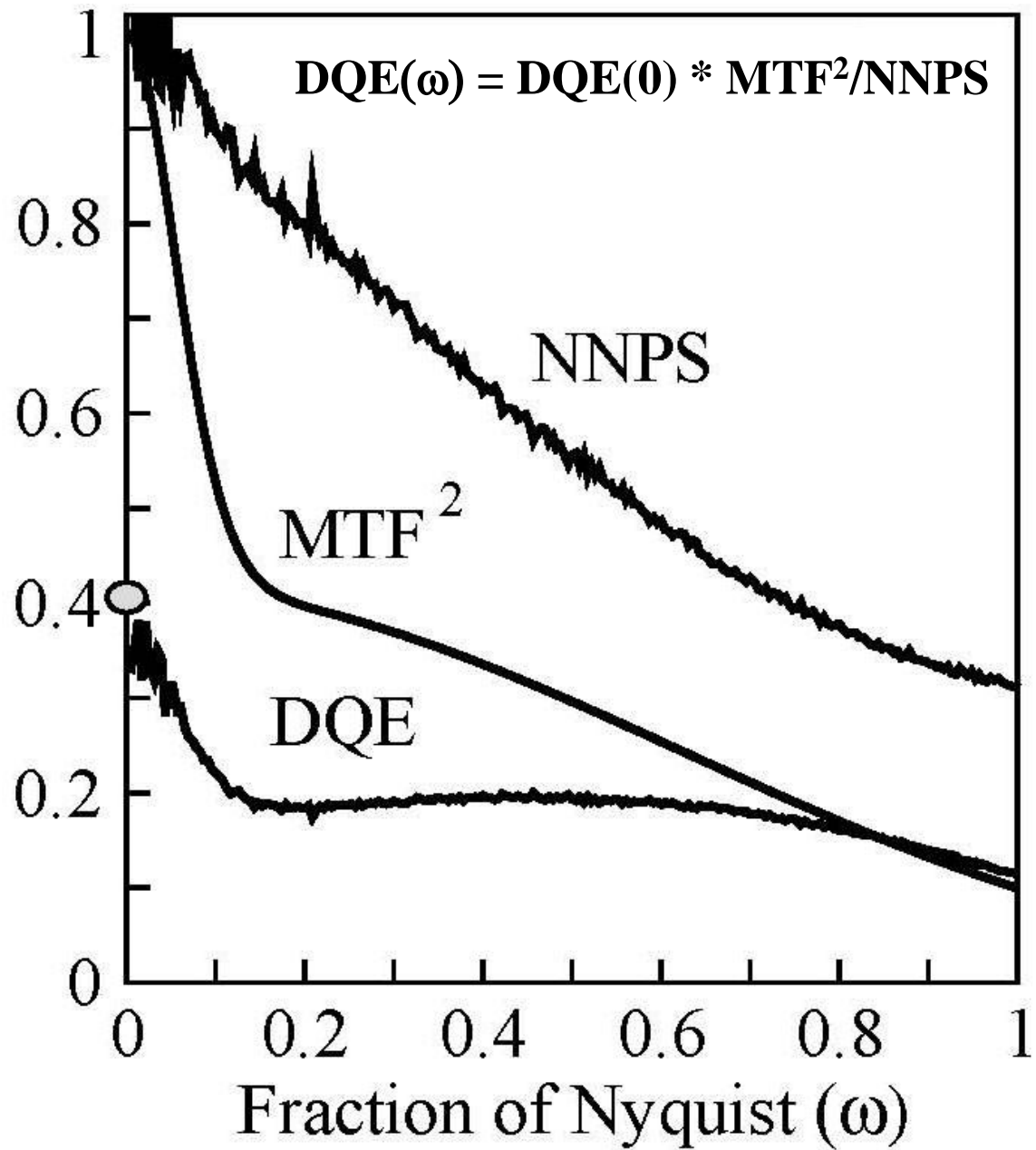
# MTF

Double Gaussian fit to raw data

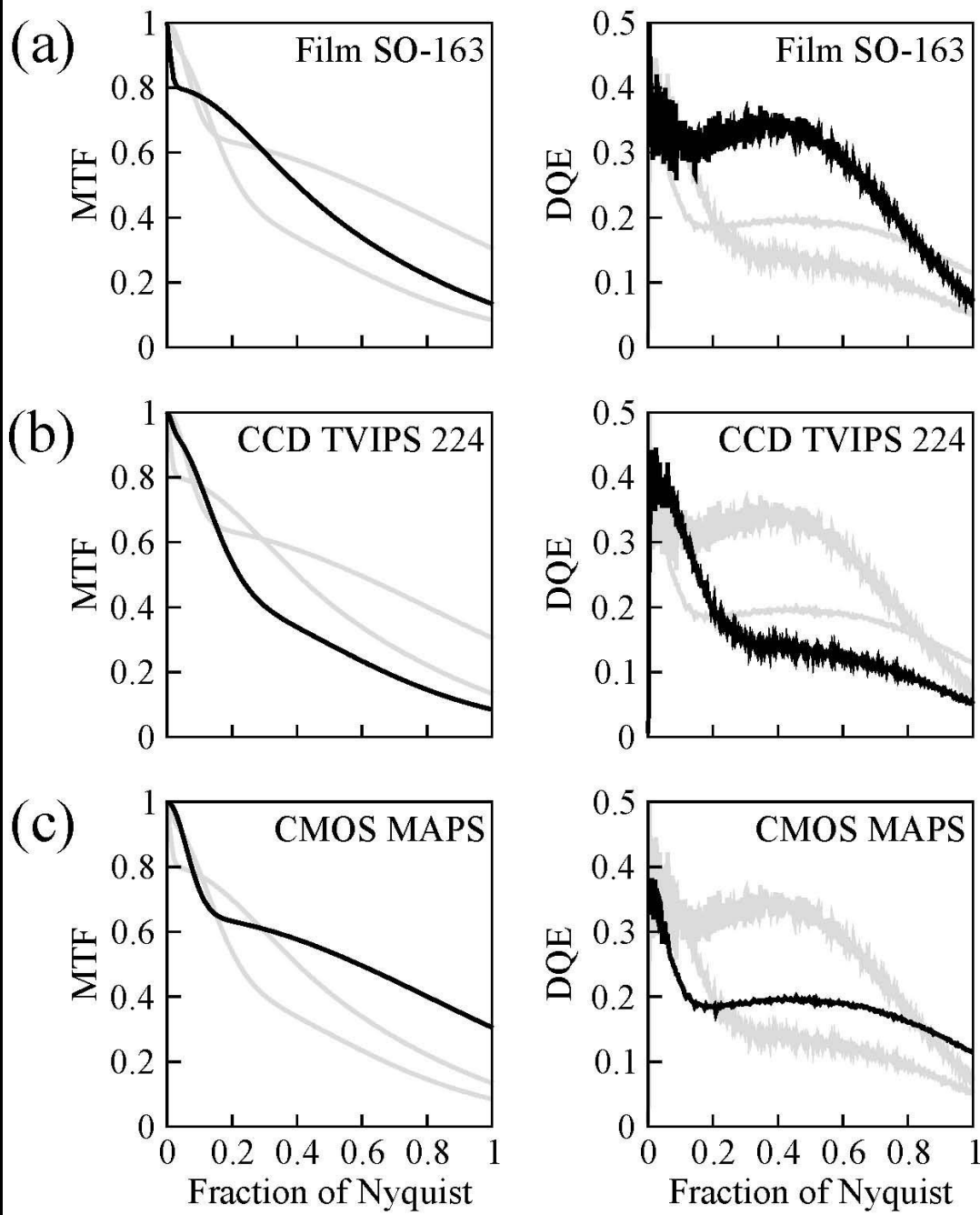


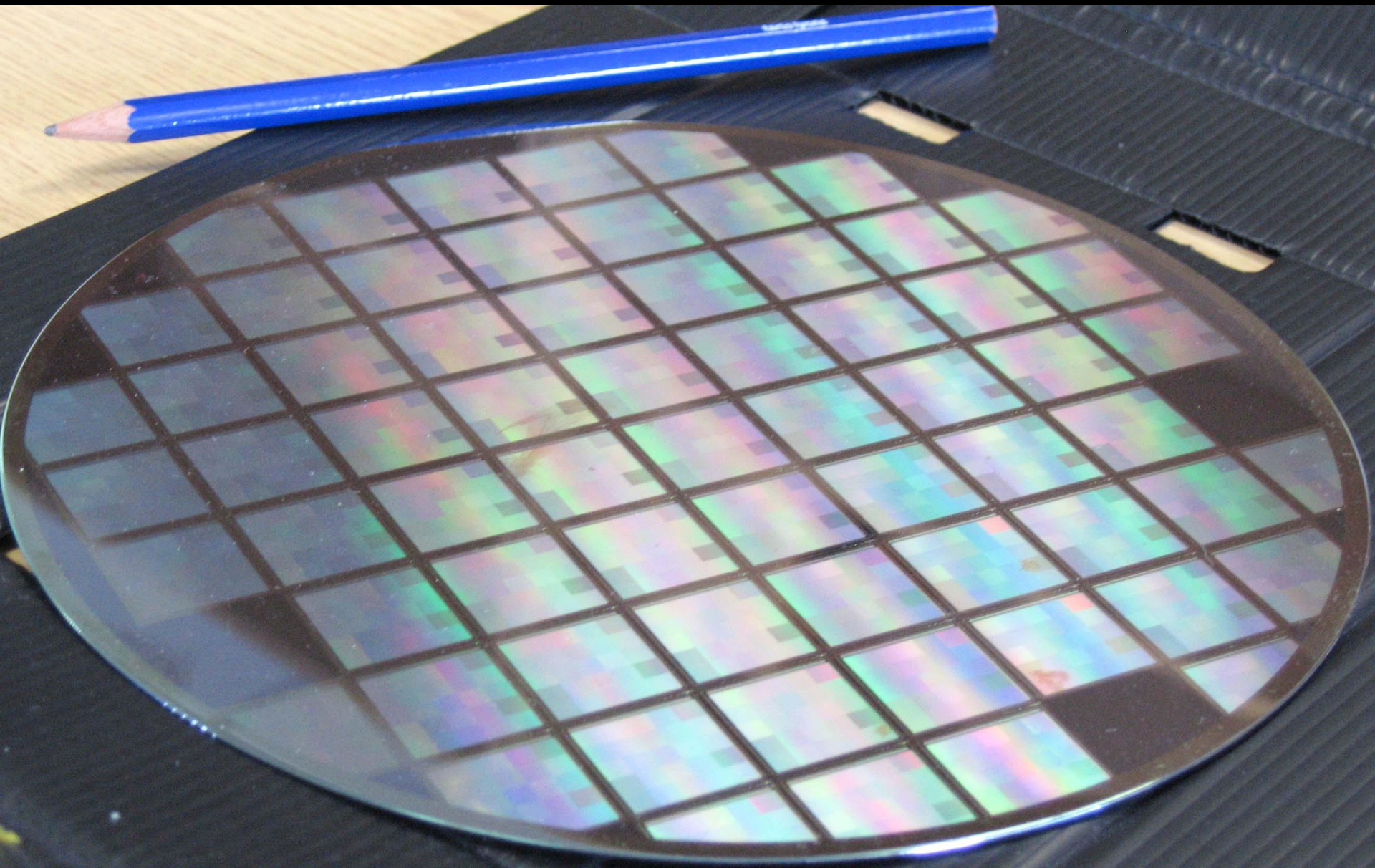
MTF from fit and by differentiation



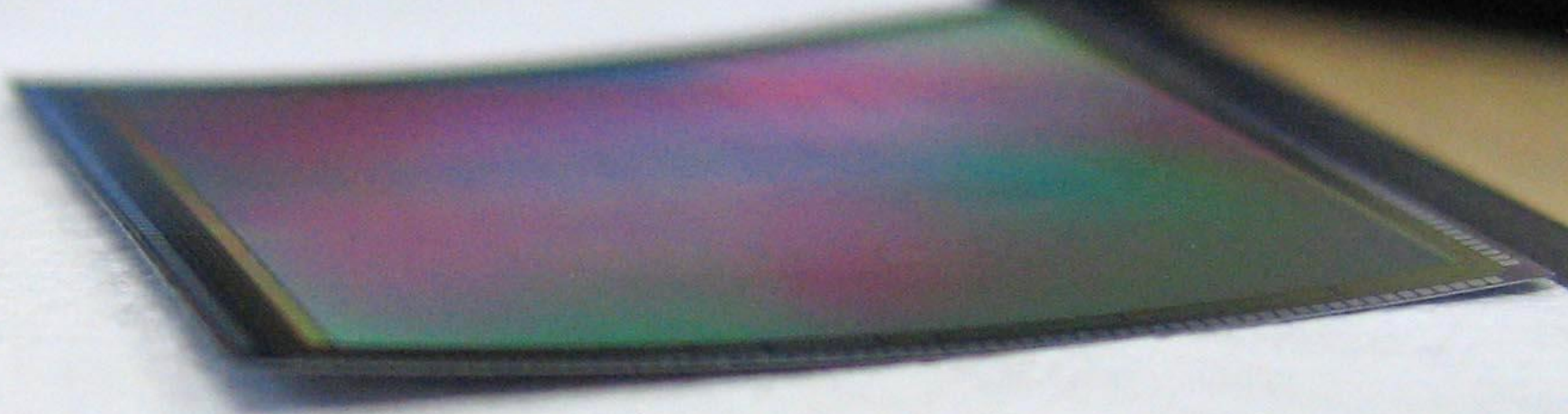


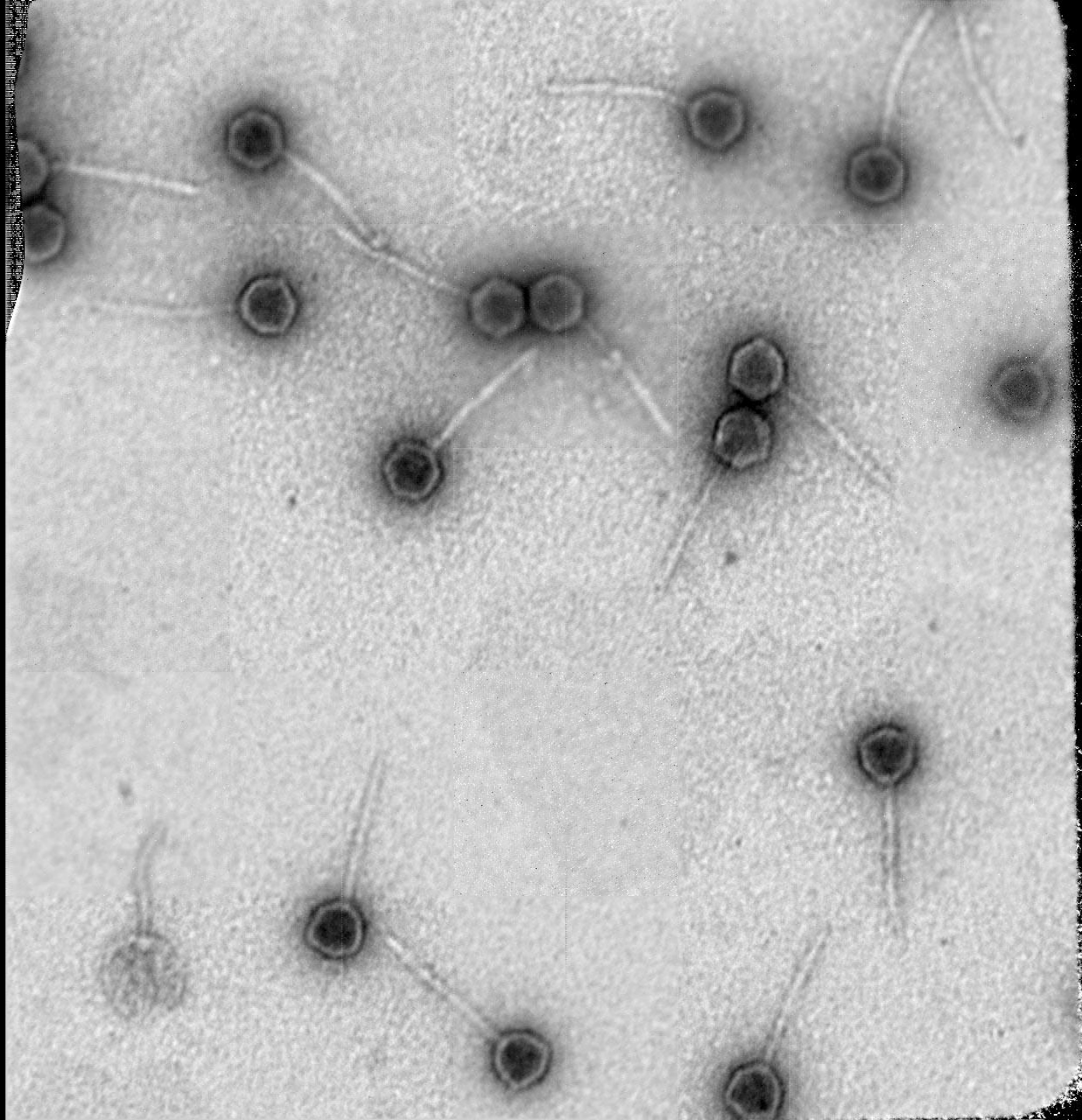
## 300 keV



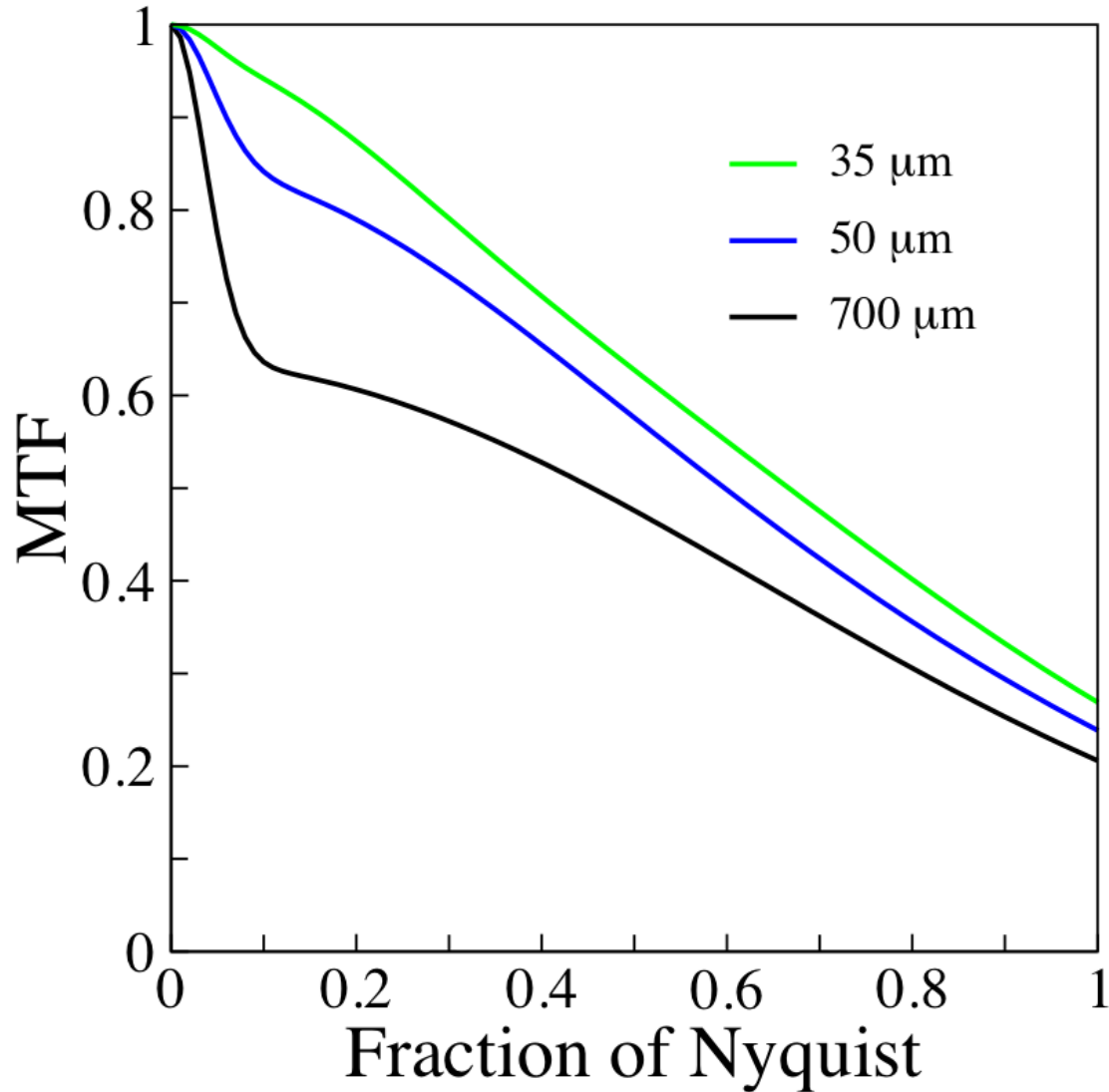


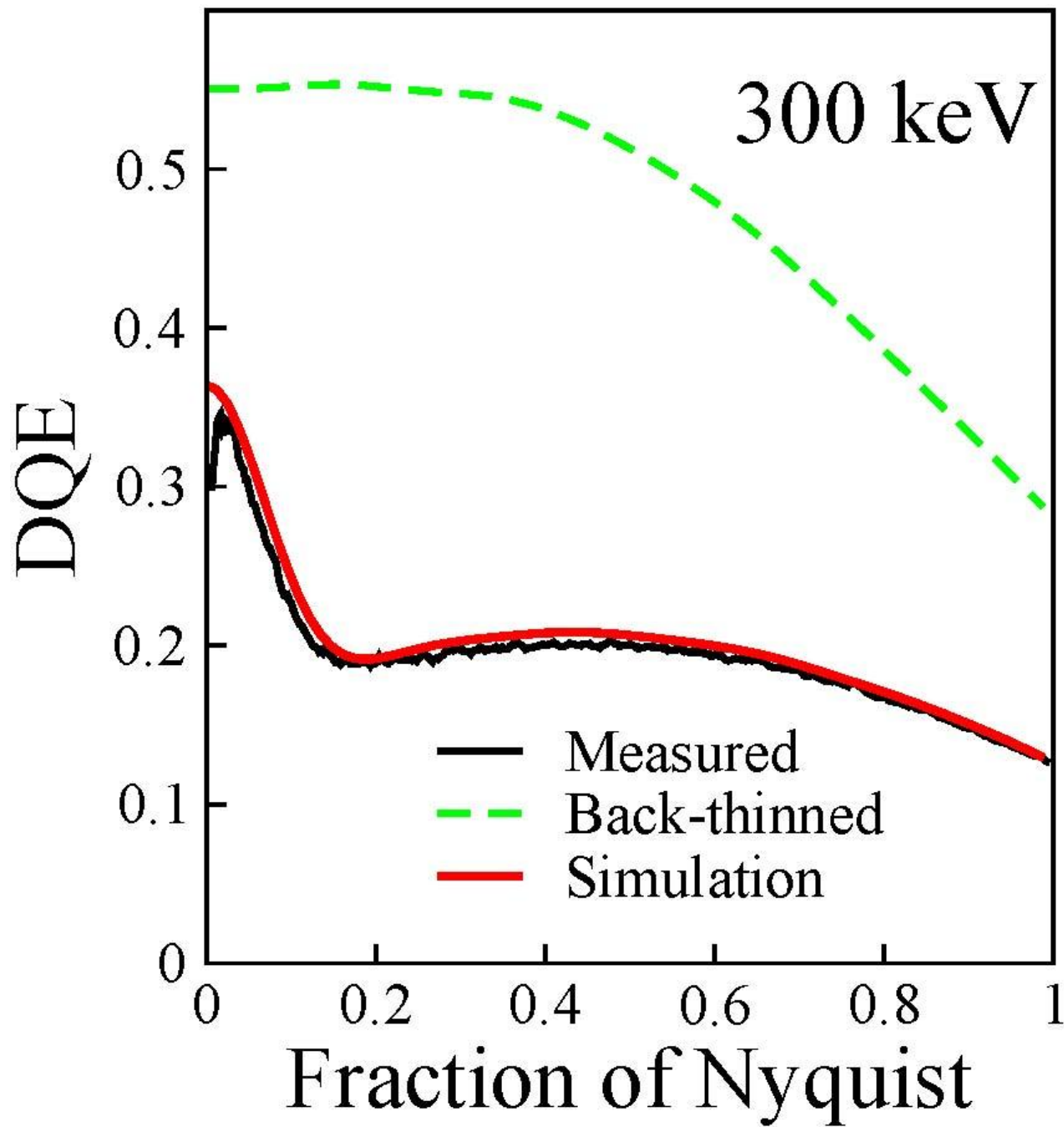






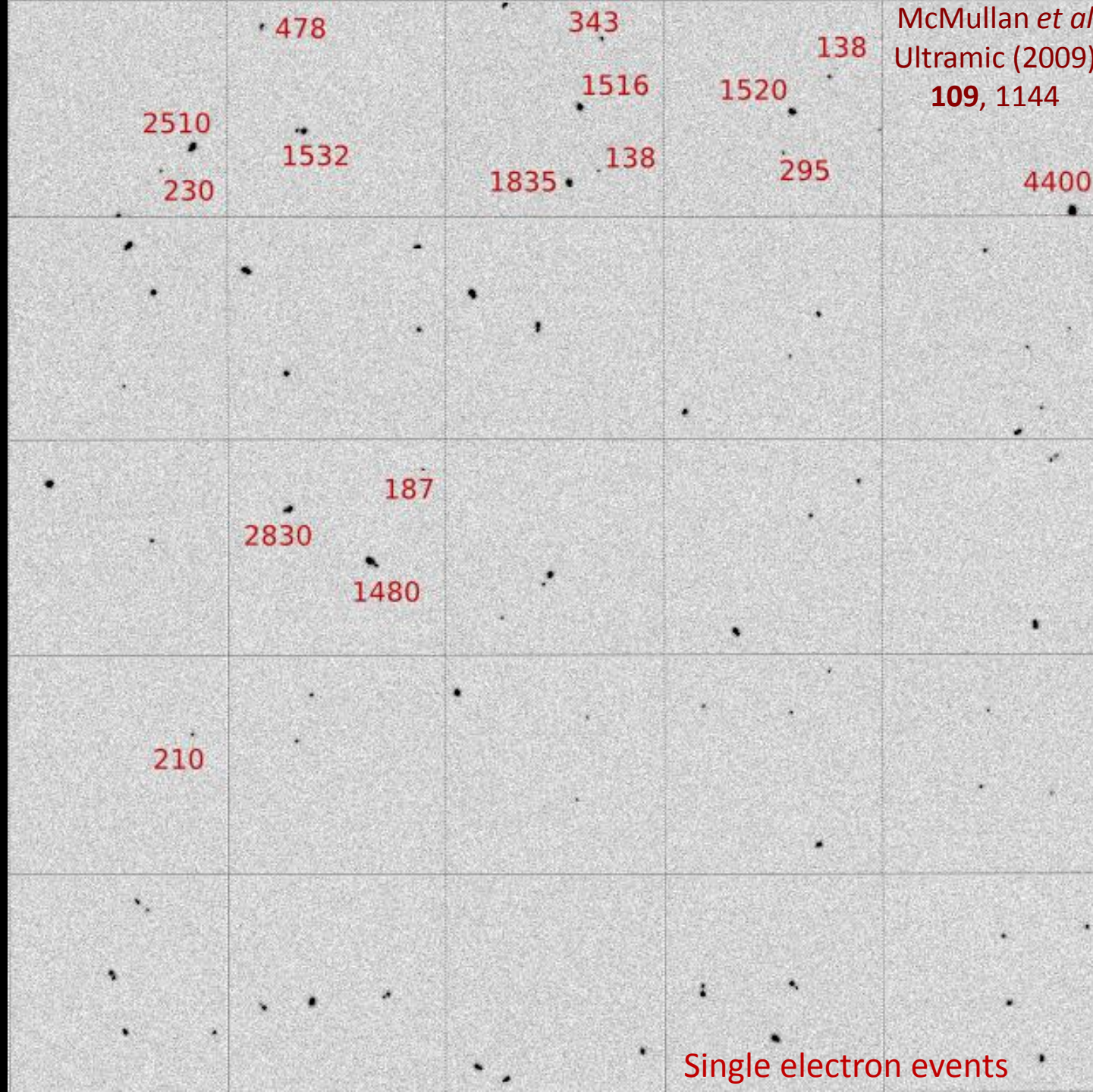
## Effect of backthinning





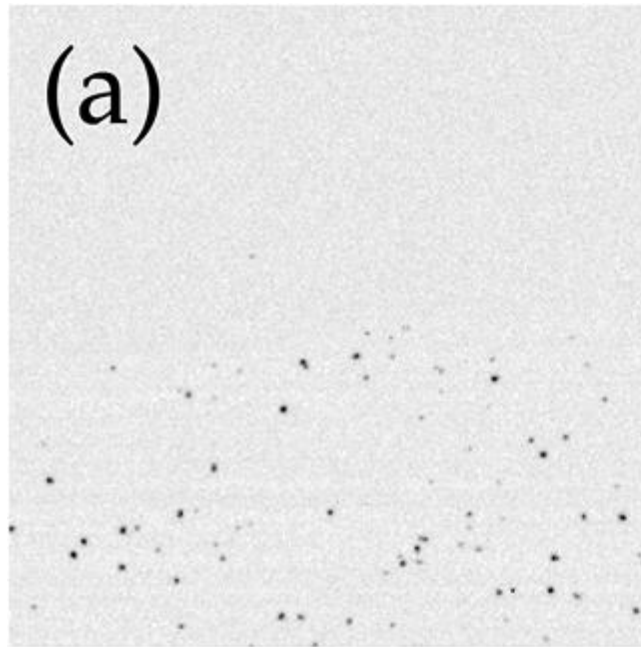
**MAPS  
backthinning  
simulation**



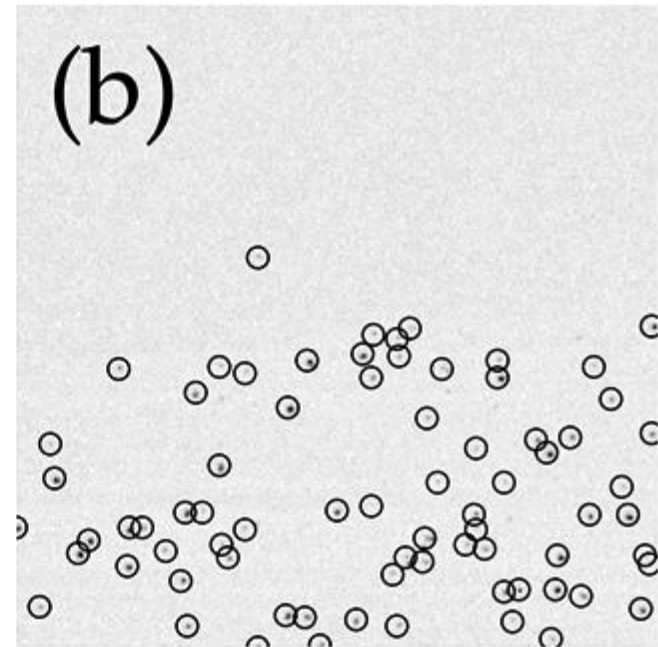


# Electron counting

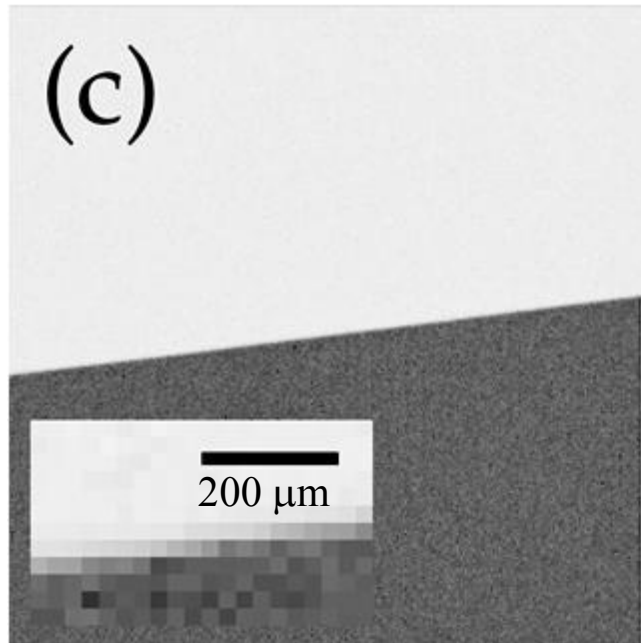
(a) Raw frame



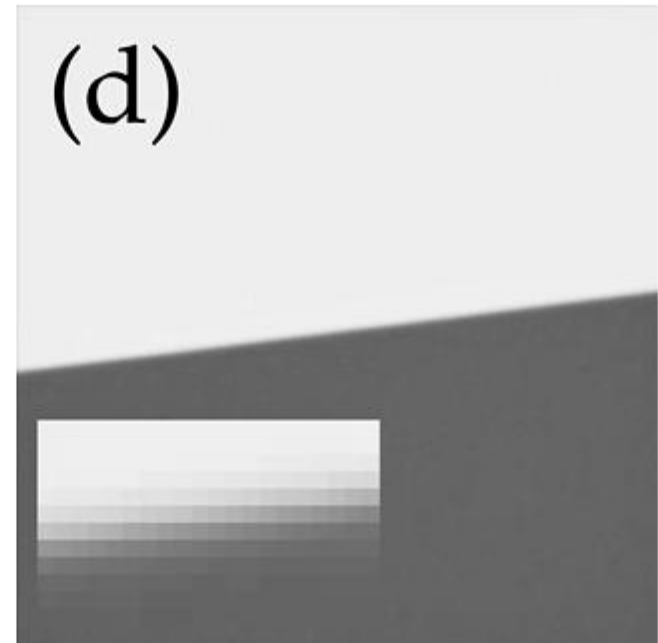
(b) Identified events



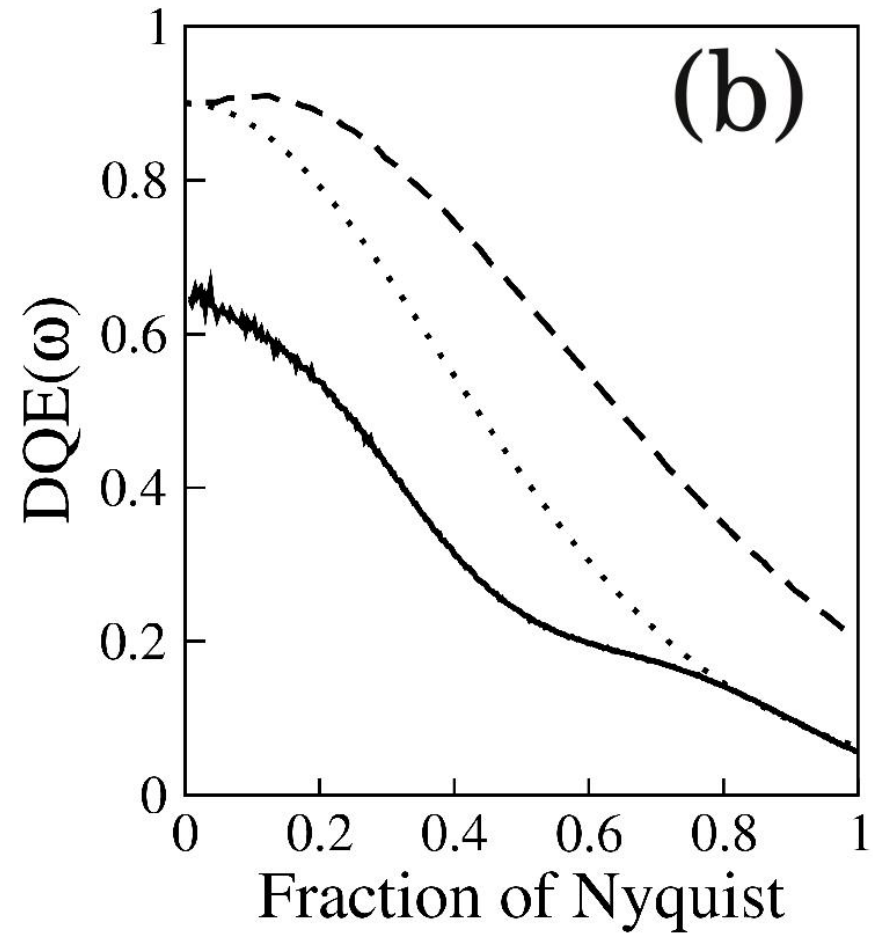
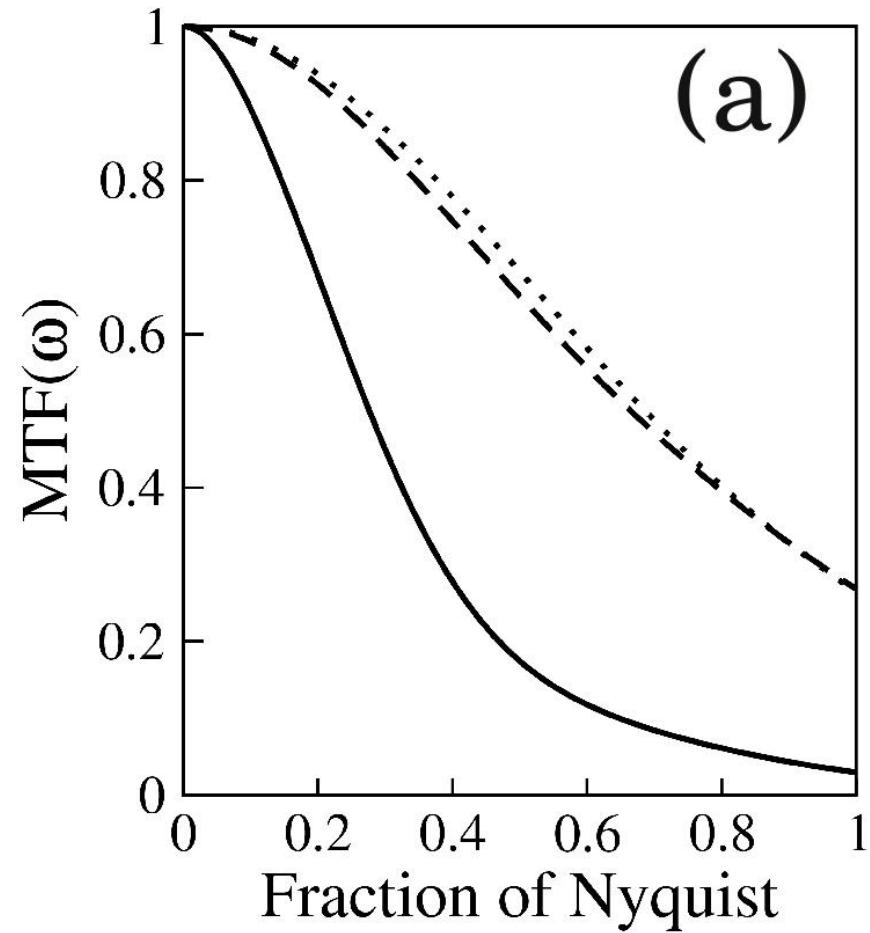
(c) Counting mode  
(70,000 frames)



(d) Integrating mode  
(same dose)

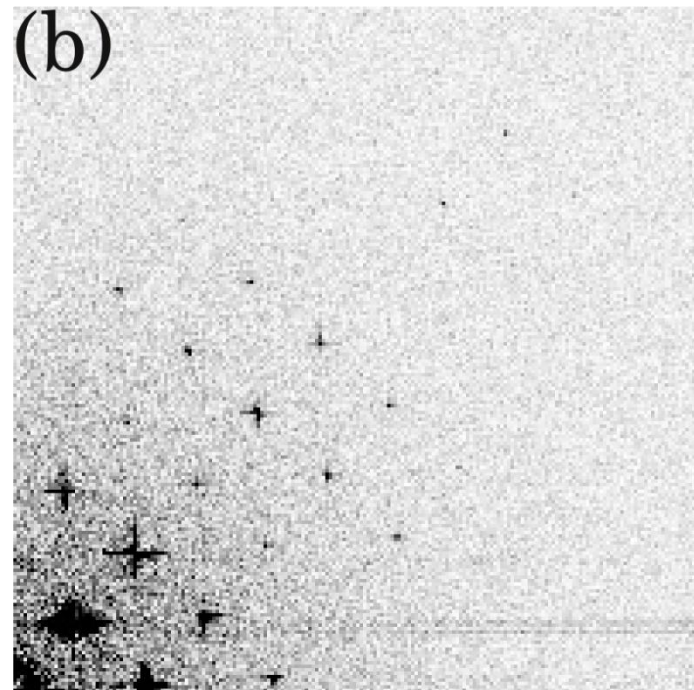
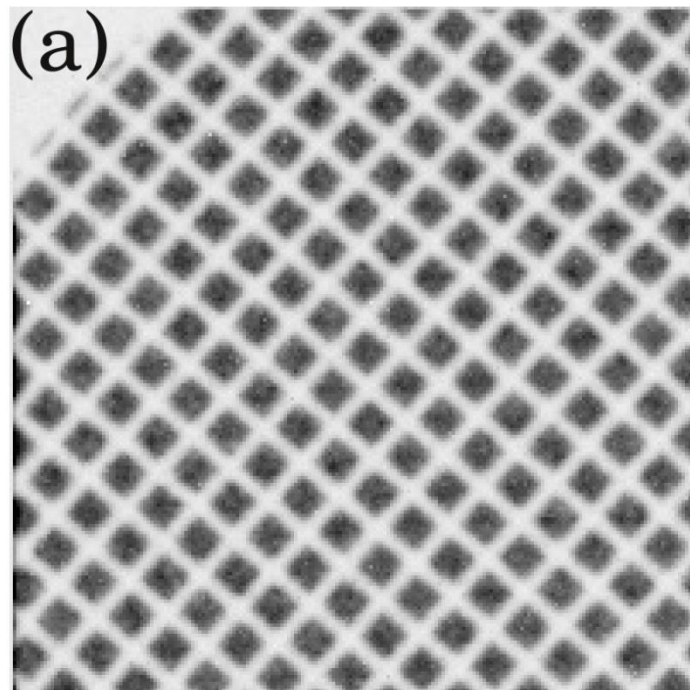


- Integrating mode
- - - Renormalising mode
- ⋯ Peak pixel mode

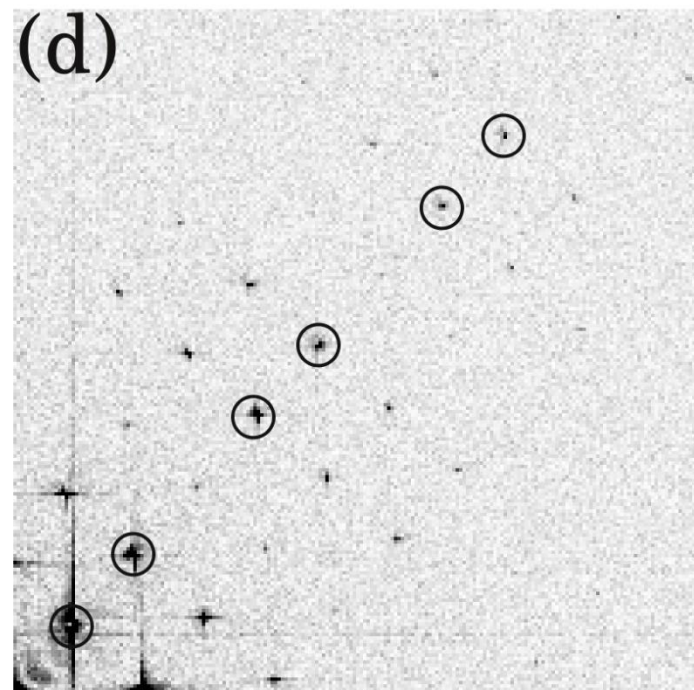
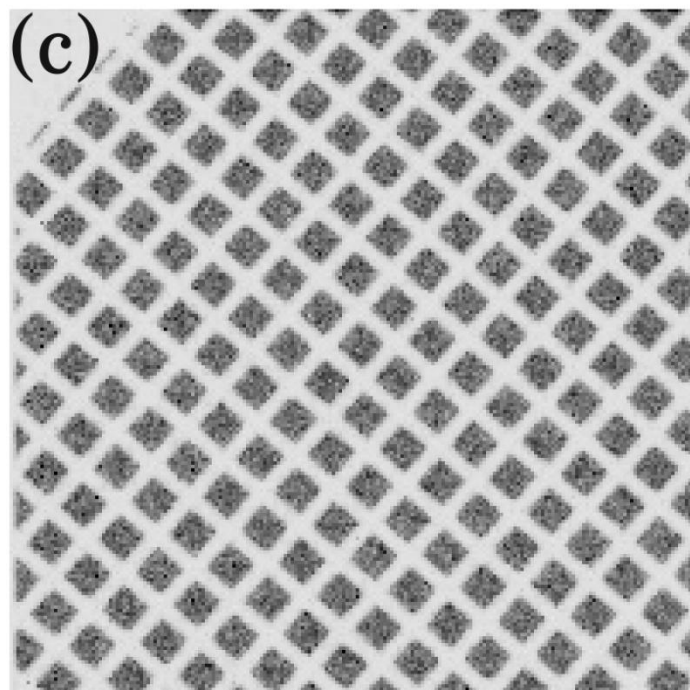




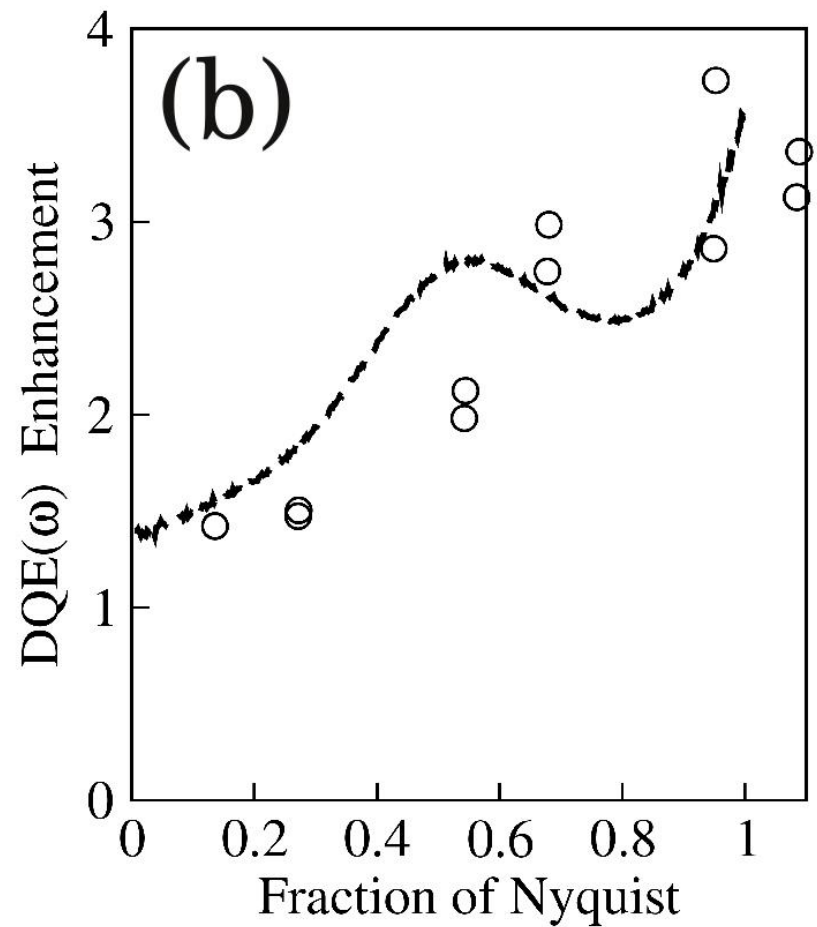
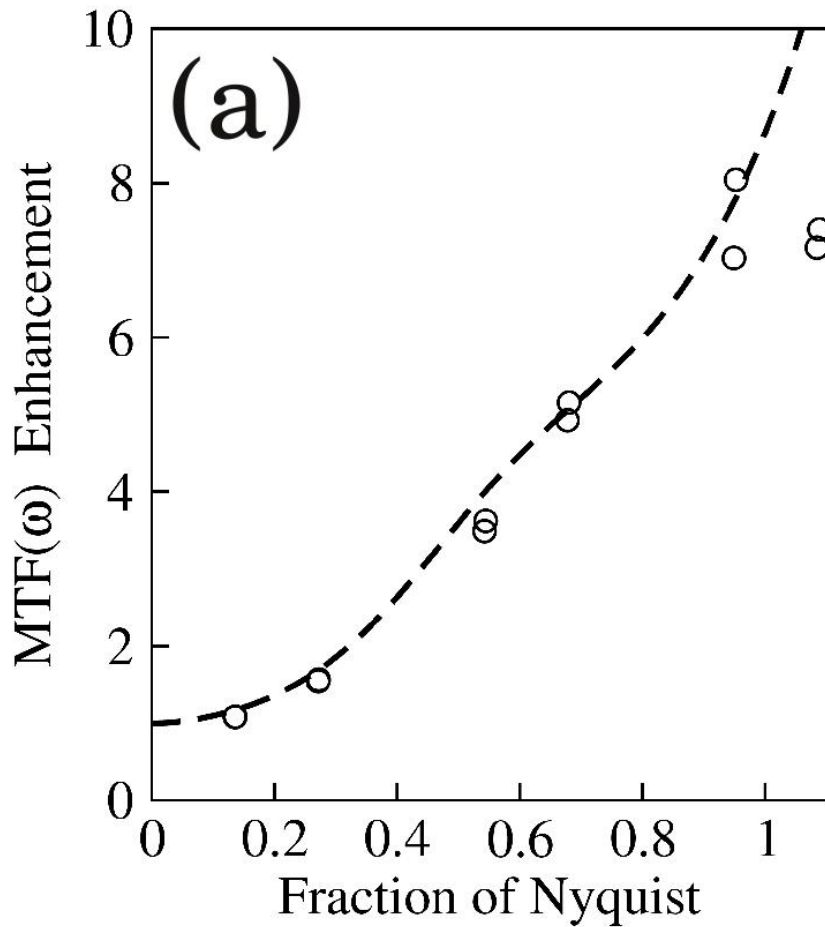
Integrating  
Mode  
5 frames  
in 0.1 sec



Single  
electron  
mode  
7500 frames  
in 50 sec

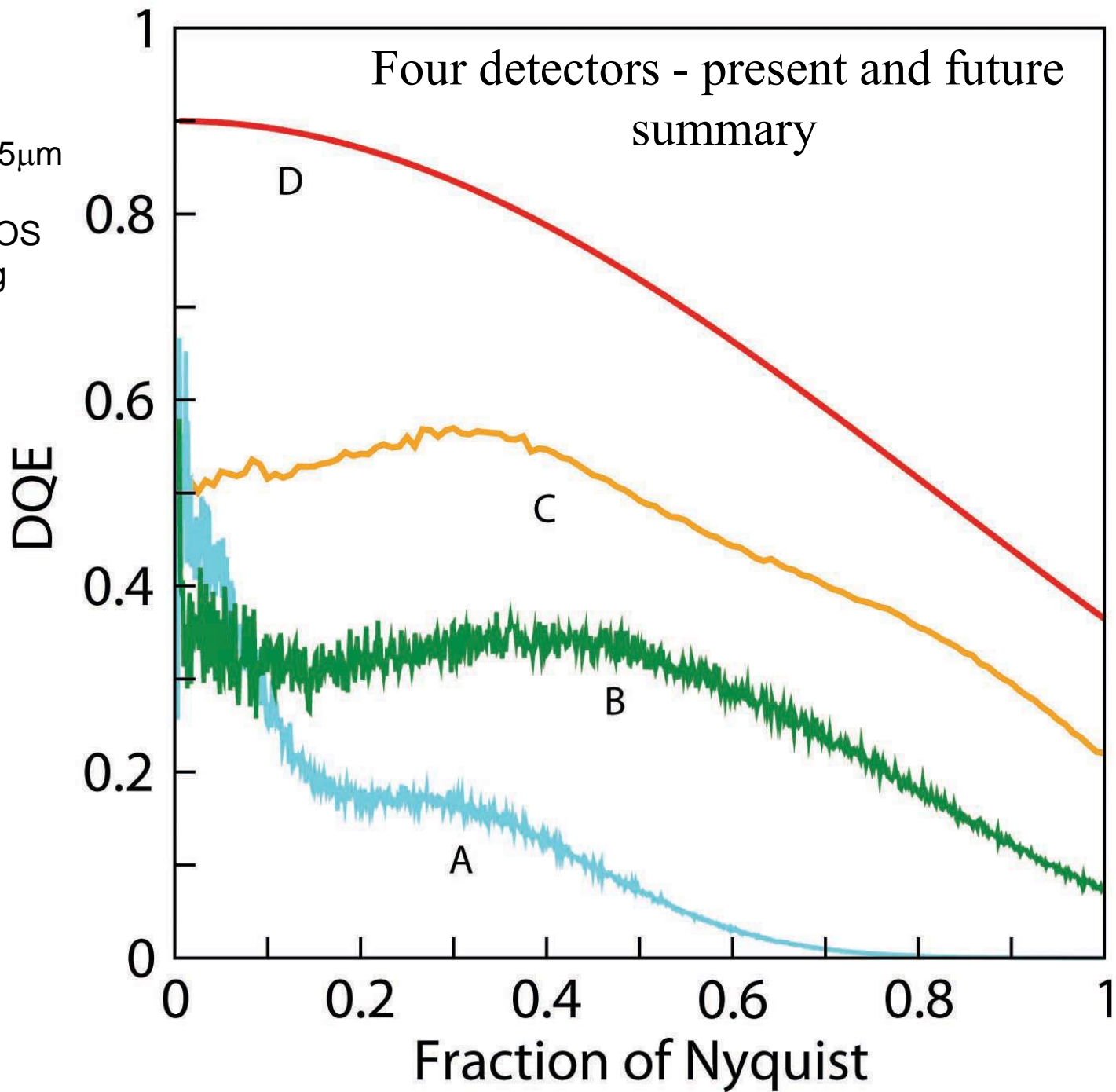


Enhancement of MTF and DQE by  
renormalisation of individual electron events  
circles from grid image, lines from edge image





- A Ultrascan 4000 15 $\mu\text{m}$
- B SO-163 film 7 $\mu\text{m}$
- C Backthinned CMOS
- D Electron counting



# Bridget/Clint/Ron's 12 Questions -- A

- Will we get to atomic resolution with particles other than viruses? Yes
- Is an atomic resolution 3D map by single particle analysis worth the effort? Yes
- Can single particle work compete with other approaches? Yes
- What resolution is useful? 40, 20, 8, 4 Ångstroms

# Questions -- B

- What can we NOT do by the single particle approach?  
Not small, not unstructured, not flexible with small domains
- Are there possibilities for improving the result by better freezing? Maybe but not yet clear how
- Are there new ways to reduce radiation damage?  
Good stable environment, deuteration, but effects are minor
- How do we identify bad images? Only one type of good image  
Hundreds of kinds of bad image

# Questions -- C

- What specimen preparation methods can we design to minimise heterogeneity before we get to the microscope? Investigate adding ligands, making complexes, selecting mutations to create homogeneous population
- Can we get clean well-characterized specimens? Good standard biochemistry, e.g. protein purified for X-ray xtlog tend to give very clean cryoEM grids
- Can we stabilise a complex with ligands or other additives? Yes
- Should we use glutaraldehyde or other bifunctional cross-linking reagents to prevent subunit loss or to stabilise conformations? Understand why Grafix works so well – must be stresses either during blotting or during freezing

# Acknowledgements

**Tilt pair validation, sharpening/weighting and resolution**

Peter Rosenthal, Tony Crowther

**Detector development and evaluation**

Greg McMullan, Wasi Faruqi, Shaoxia Chen

Renato Turchetta, Nicola Guerrini, Gerald van Hoften