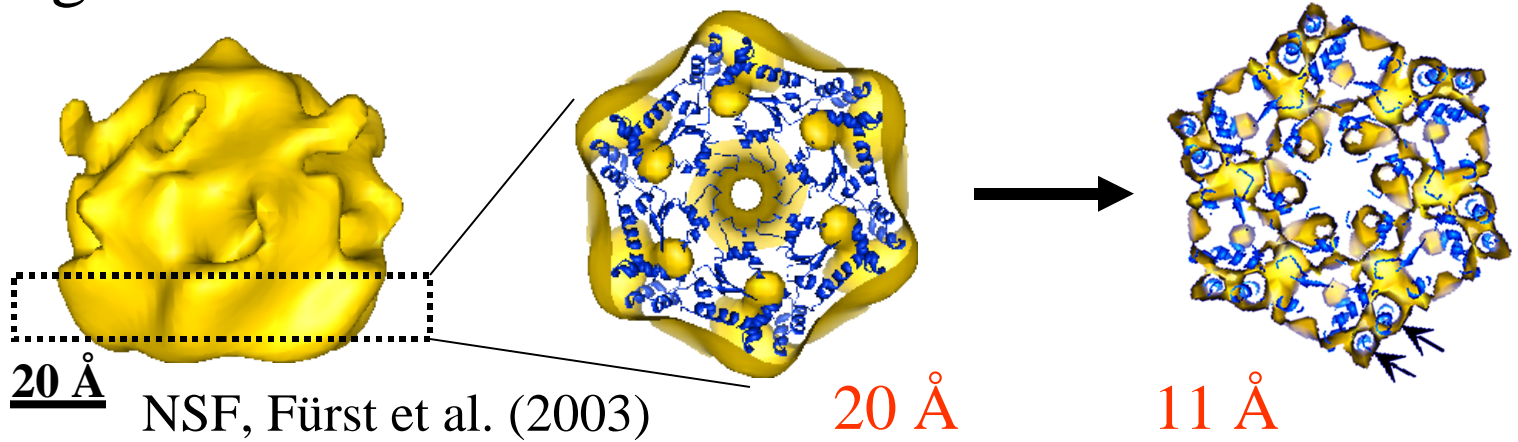


# Refinement Strategies for Single Particle Structure Determination

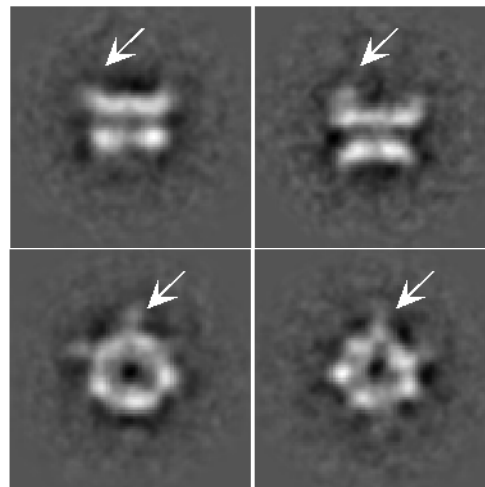
N. Grigorieff

# Goals

- Higher resolution



- Sorting of structural heterogeneity



# The Prophecy

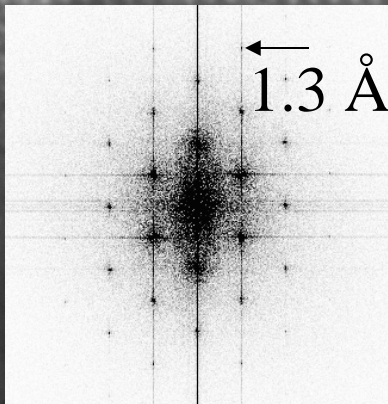
King Richard hath decreed... (QRB, 1995)

- Use 5 e<sup>-</sup> per Å<sup>2</sup>
  - Demand a signal-to-noise ratio of 9 or better
  - Aim for 3 Å resolution
- ② Thou shall need to image 13,000 molecules
- ② For 6 Å, thou shall need only 7,000 images

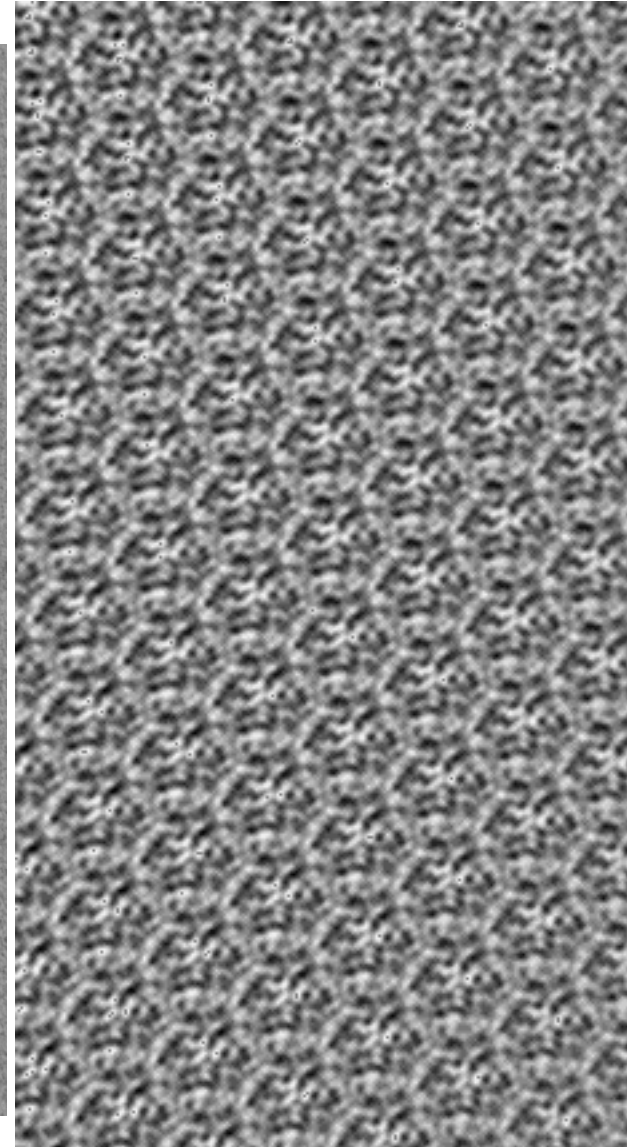
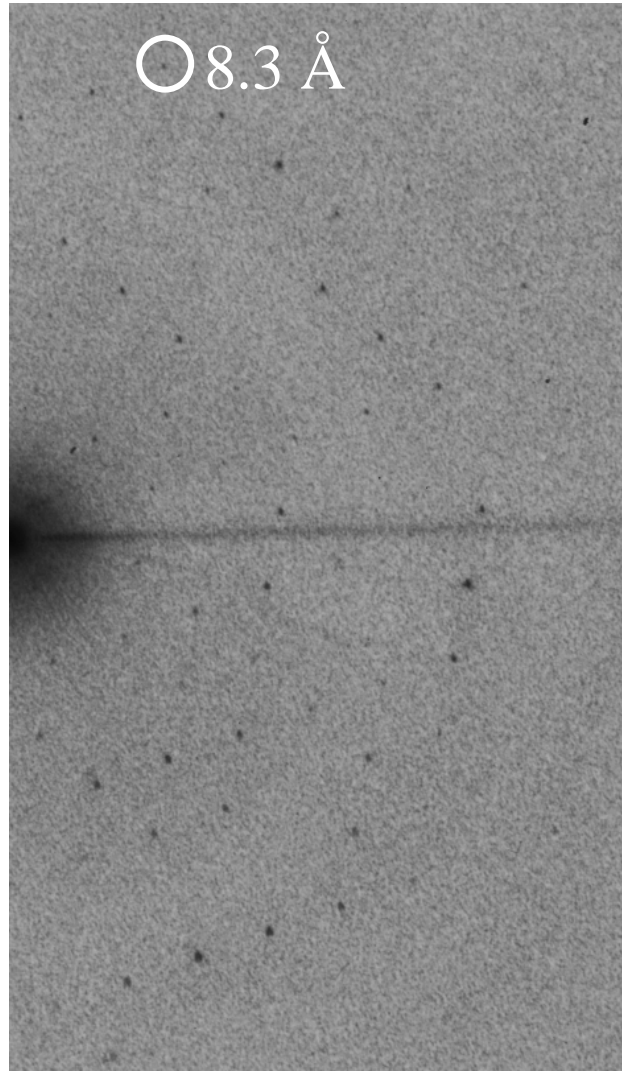
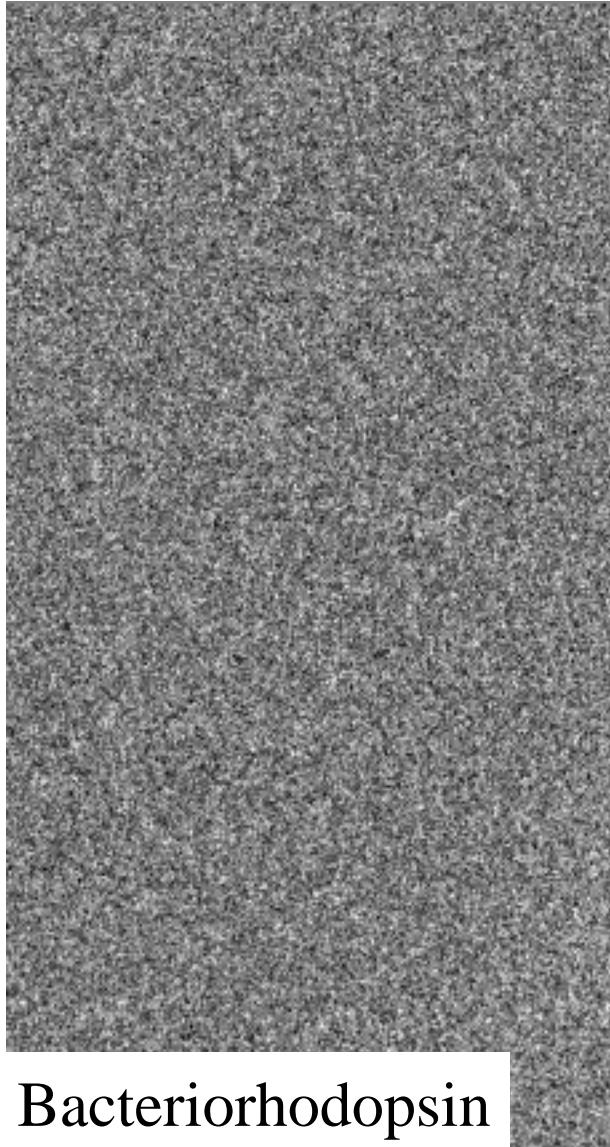
# Resolving Power

InGaAs

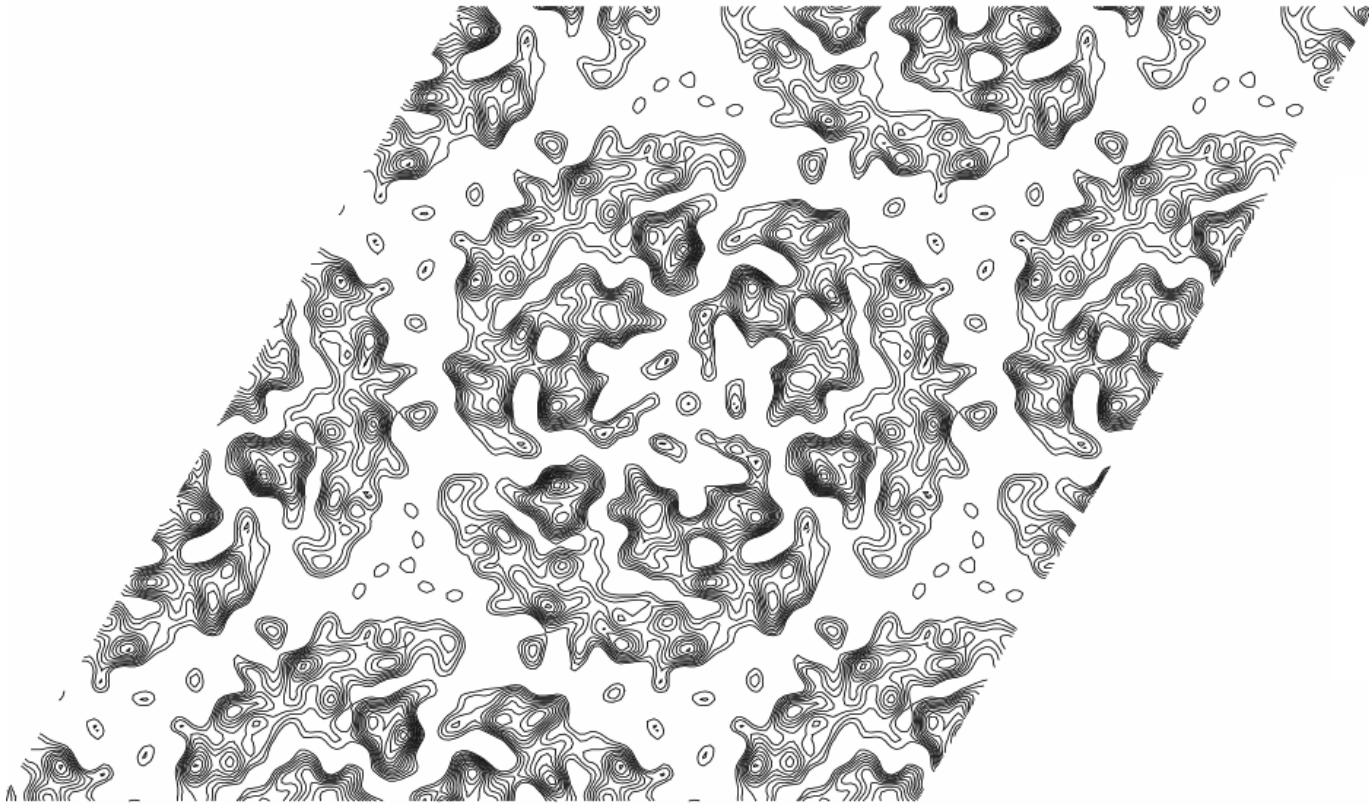
[101]



# Protein Crystals

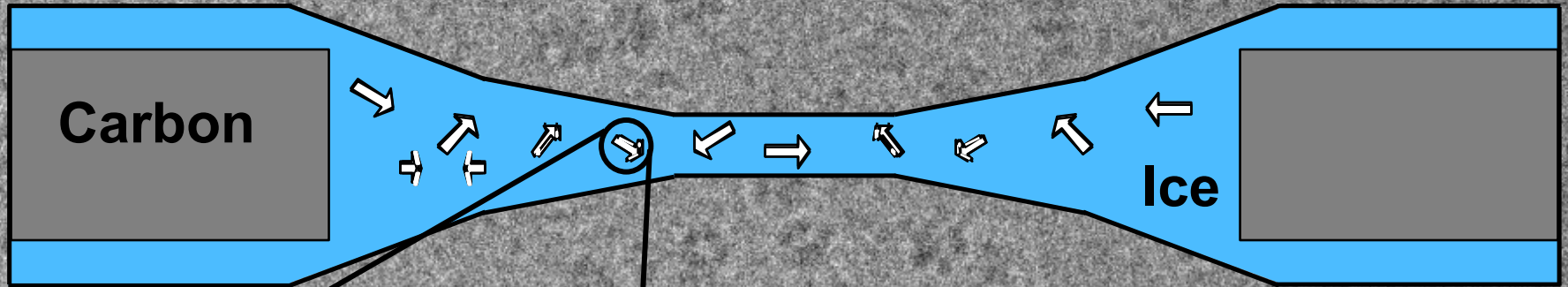


# Purple Membrane



2.6 Å resolution

# The Puzzle



**5 parameters  
to determine**

Additional parameters:  
CTF (3 parameters)  
Magnification  
Beam Tilt (2 parameters)

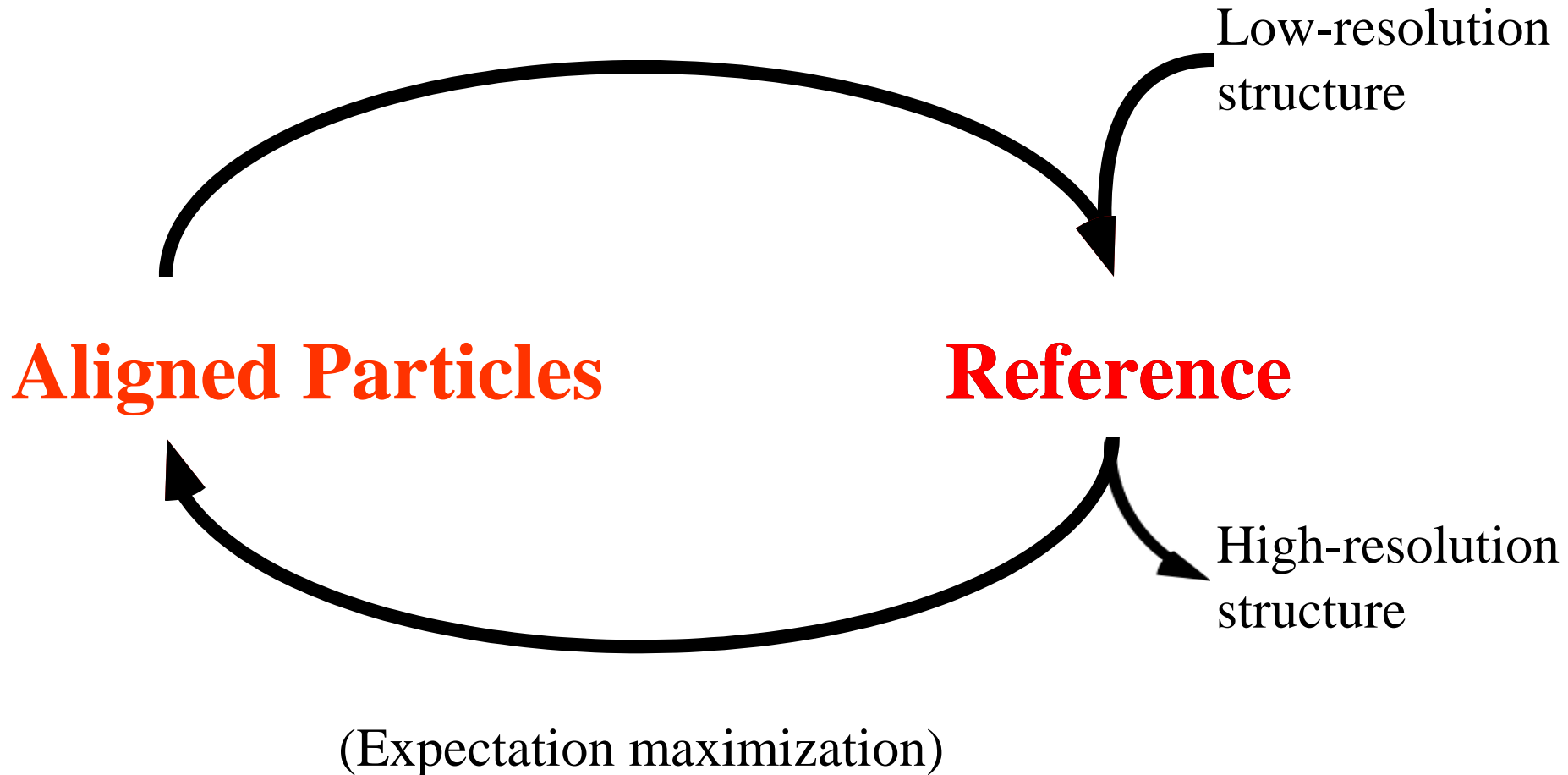
200Å

# A Crazy Idea

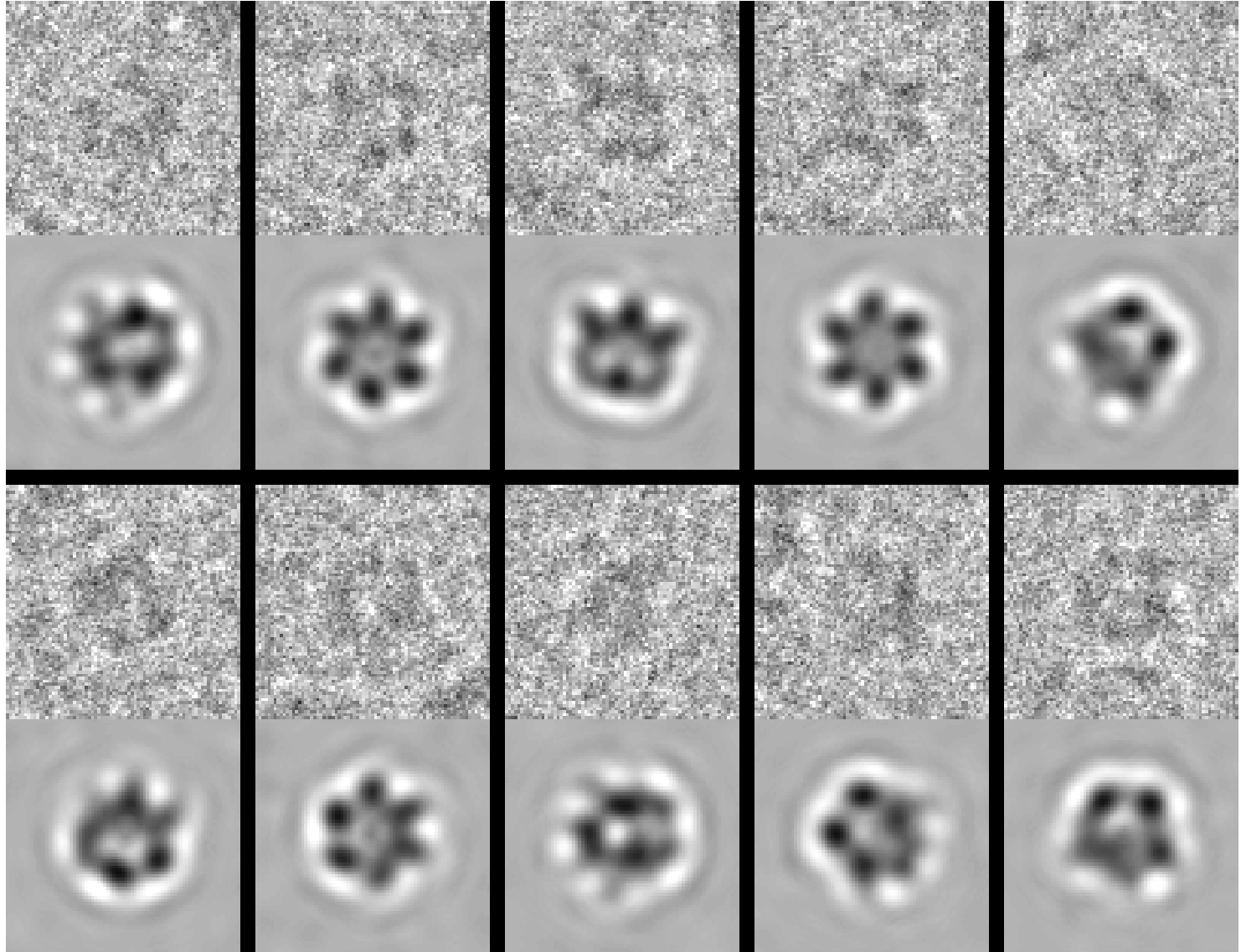
- Assume reliable resolution measure
- Search entire parameter space for highest resolution
- Given enough images, atomic resolution is reached
- Example:
  - 3 angles, 1 deg step; two coordinates, 1 pixel step:  
 $360 \times 360 \times 360 \times 100 \times 100 = 5 \times 10^{11}$
  - 13000 particles:  $(5 \times 10^{11})^{13000}$  structures to search
- This is a big number!



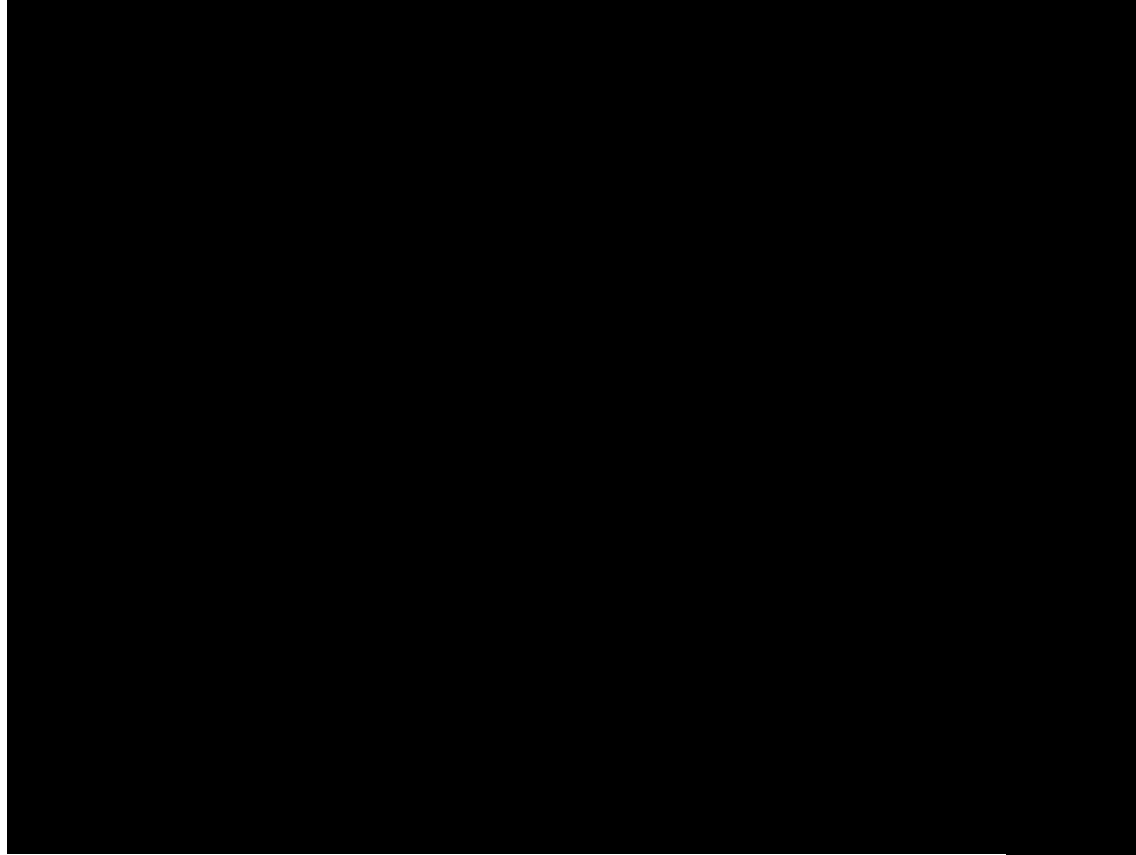
# Refinement



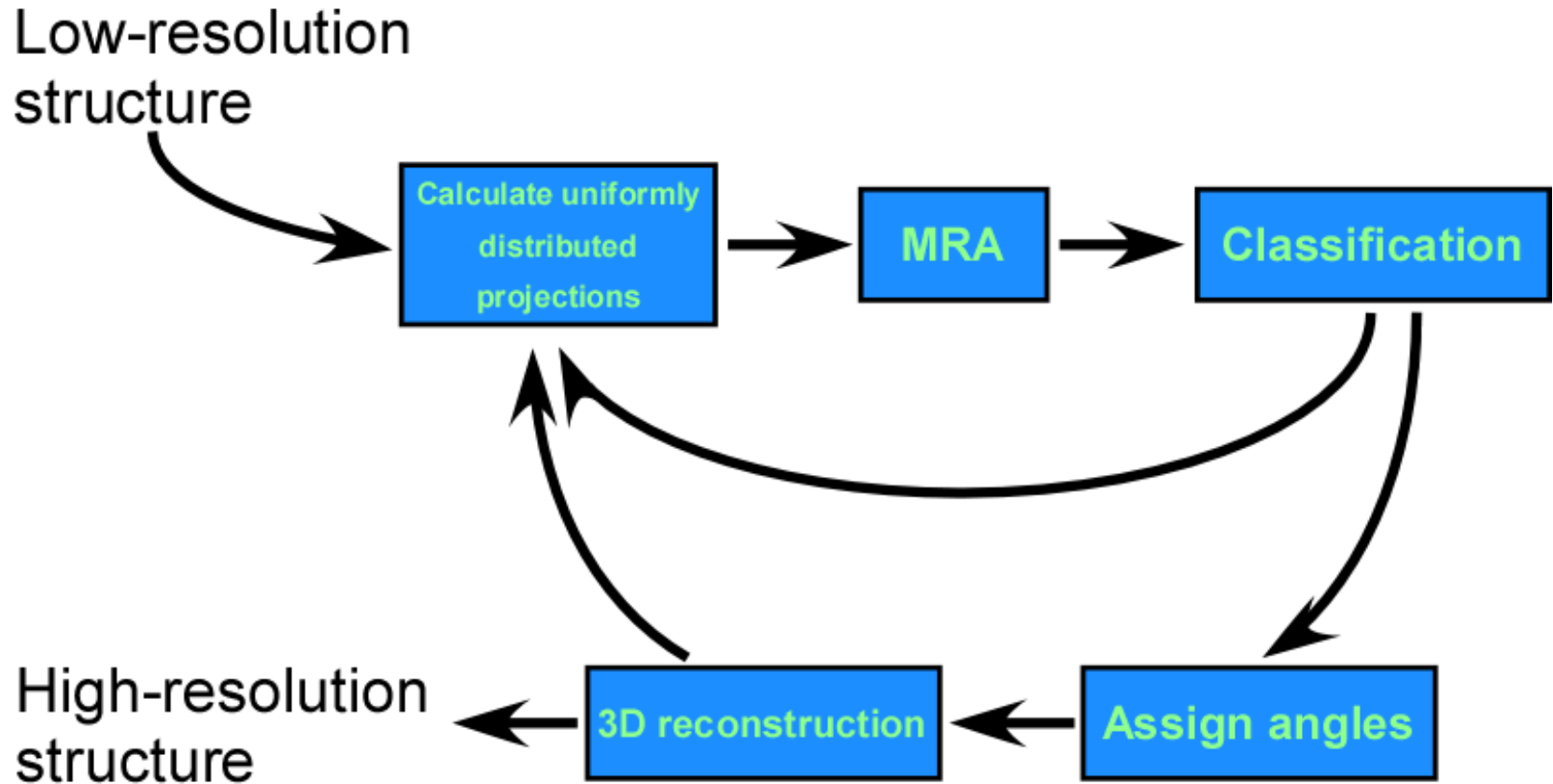
# Strategy 1: Projection Matching



# Strategy 2: Alignment in Reciprocal Space



# Strategy 3: MRA and Classification



# Strategy 4: Maximum Likelihood

**Structure for  
 $n+1$  iteration**

$$A^{(n+1)} = \frac{1}{N} \sum_{i=1}^N \frac{\int X_i(\phi) p_i(\phi, \Theta^{(n)}) d\phi}{\int p_i(\phi, \Theta^{(n)}) d\phi}$$

**Probability  
function**

$$p_i(\phi, \Theta) = \left( \frac{1}{\sqrt{2\pi}\sigma} \right)^M \exp \left[ -\frac{|X_i(\phi) - A|^2}{2\sigma^2} \right] f(\phi | \Theta)$$

$X_i$ :  $i$ th image

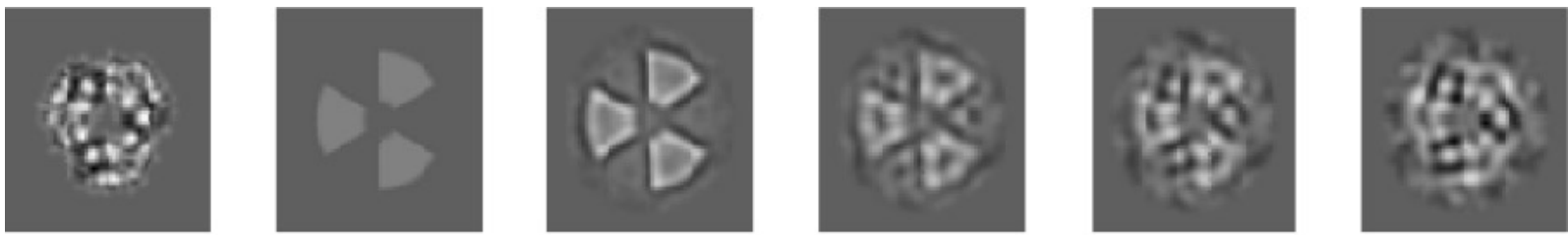
$N$ : # of images

$\phi$ : alignment parameters

$\Theta$ : model parameters

$\sigma$ : noise in images

$f$ : positional probab.



Structure

First Ref.

ML 10

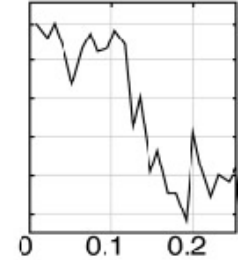
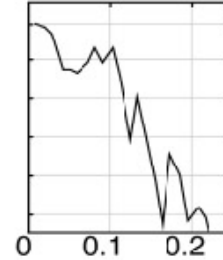
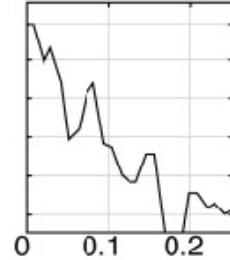
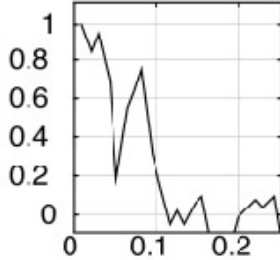
ML 60

ML 120

ML 274

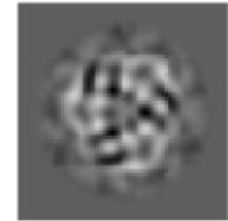
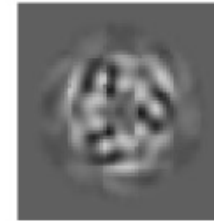
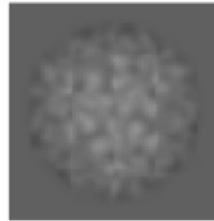
**A**

Correlation



Spatial frequency,  $\text{\AA}^{-1}$

**N = 4000**  
**SNR = 1/200**  
**Maximum likelihood alignment**



**B**

First Ref.

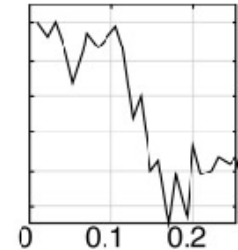
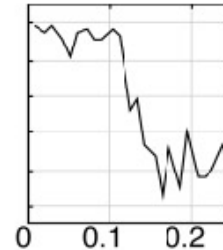
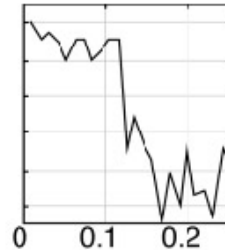
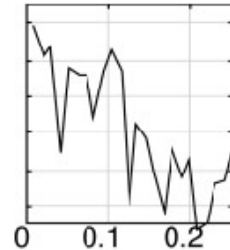
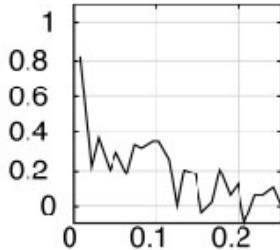
ML 10

ML 60

ML 120

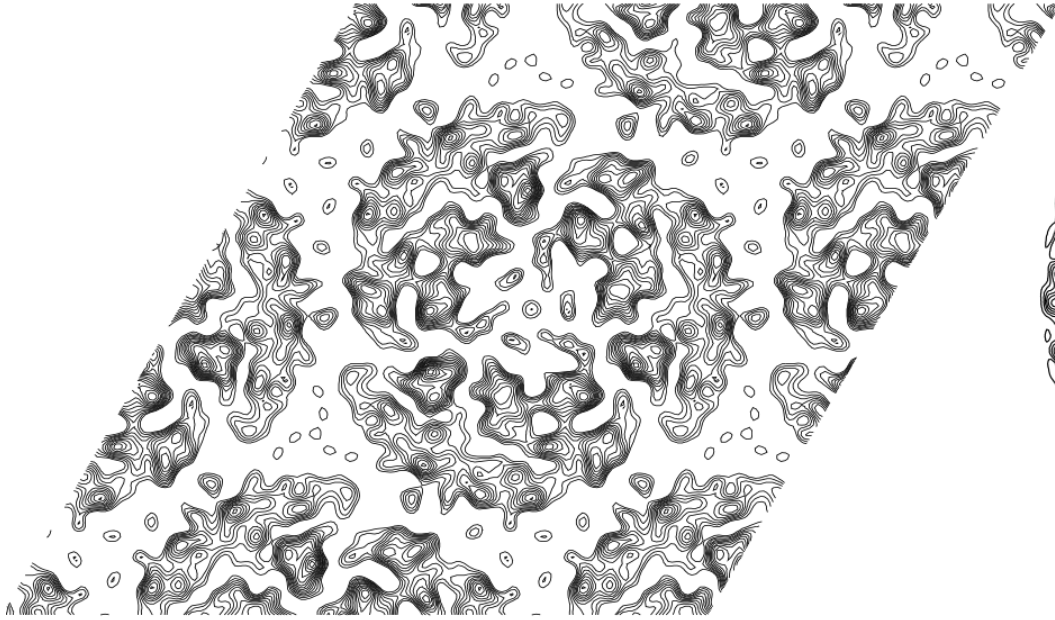
ML 300

Correlation

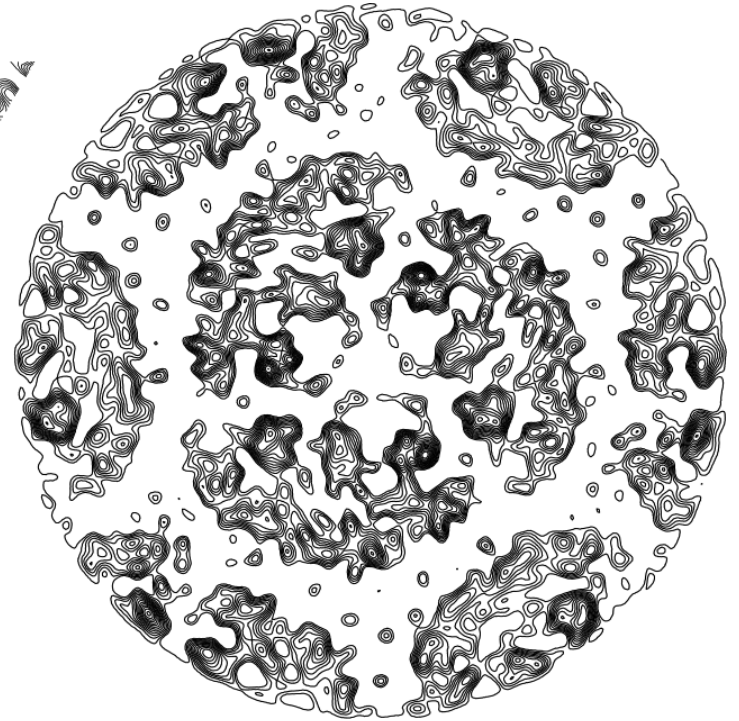


Spatial frequency,  $\text{\AA}^{-1}$

# ML processing of 2D crystals

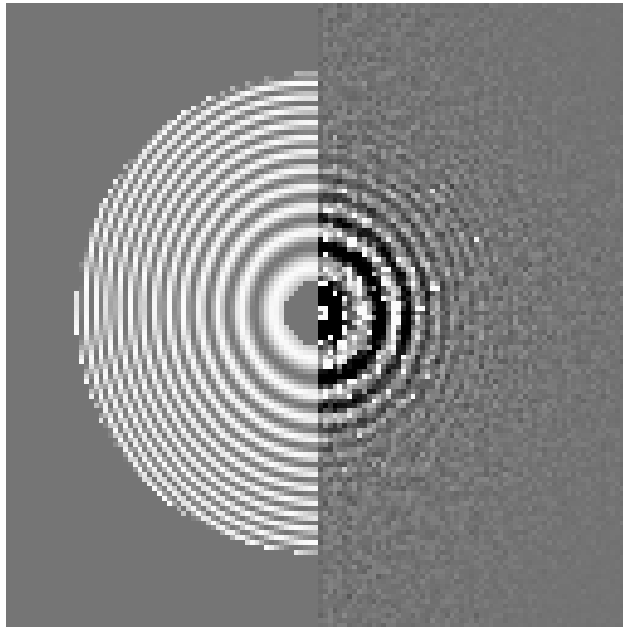


Crystallography

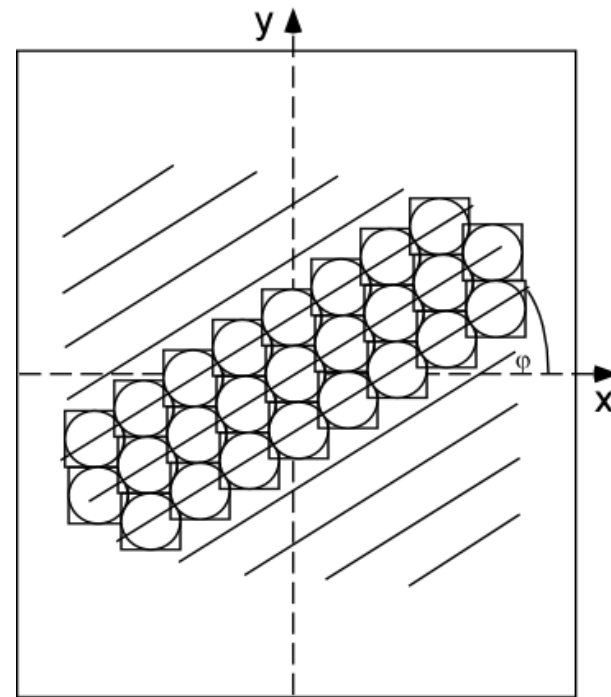


Alignment of  
individual unit cells  
using ML approach

# Defocus/Astigmatism and Magnification



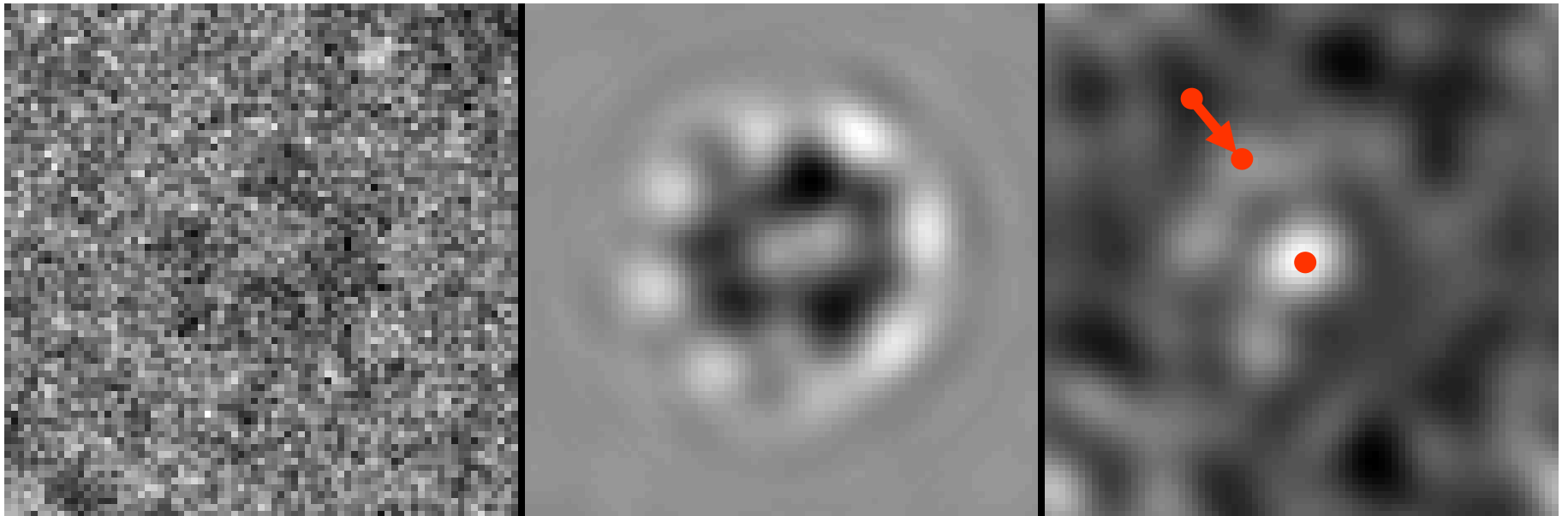
CTFFIND3



CTFTILT



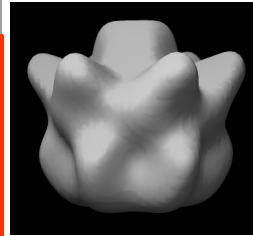
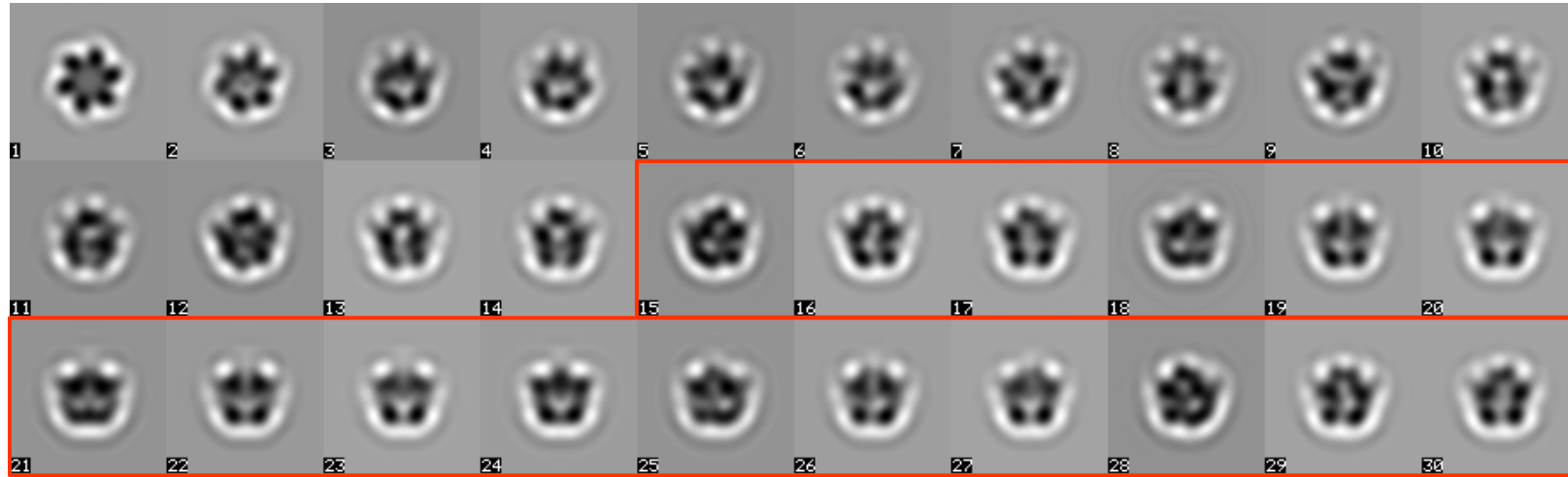
# Problem 1: Local Optima



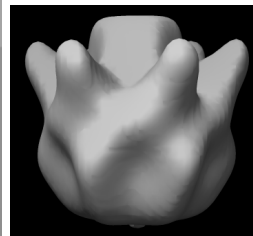
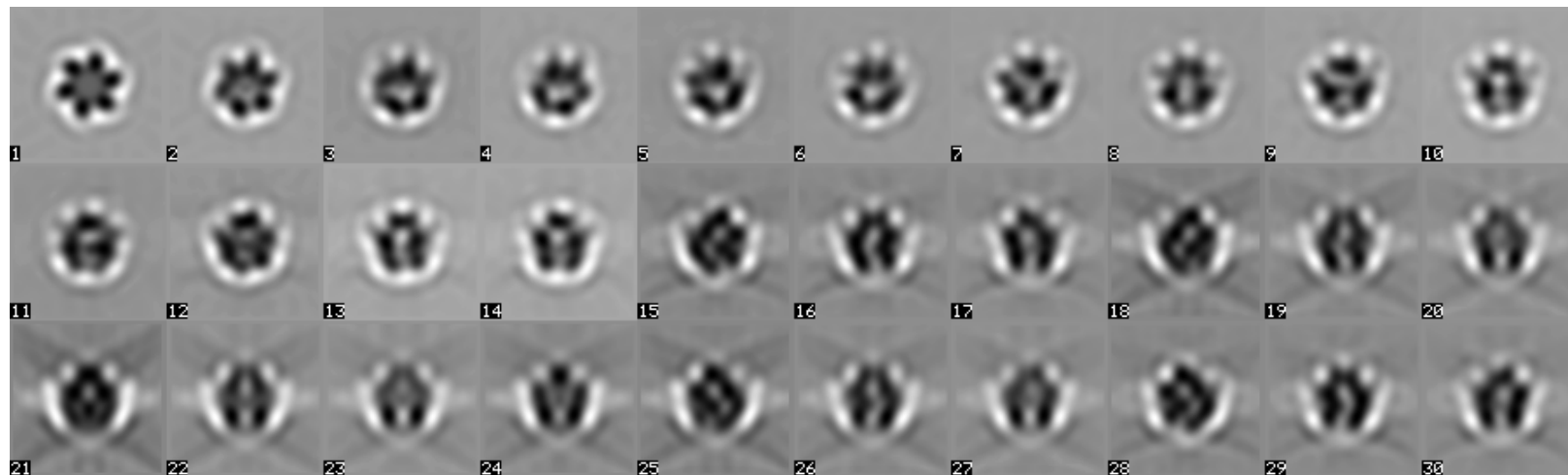
Particle

Reference

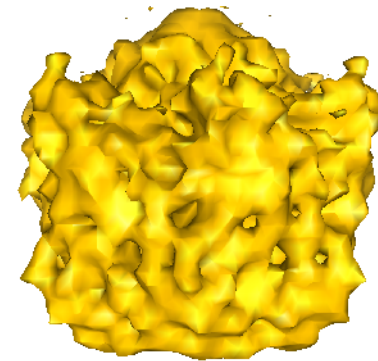
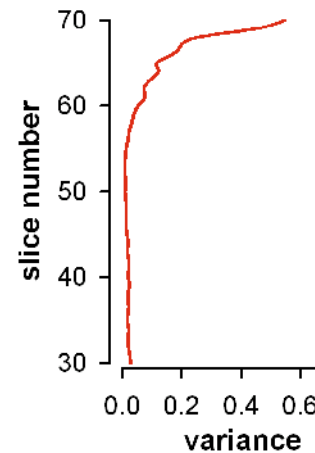
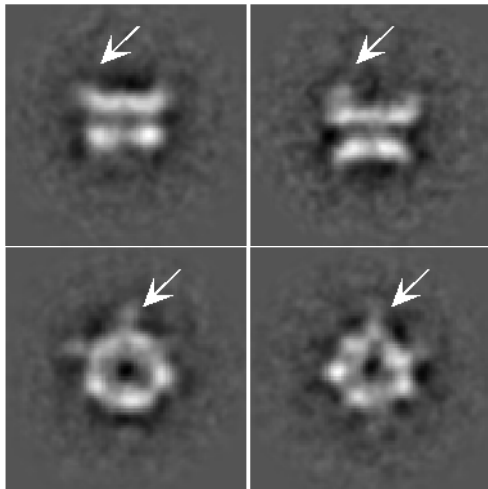
# Problem 2: Missing Views



> 60°



# Problem 3: Heterogeneity



- Misalignment of particles
- Lower resolution in disordered regions
- Loss of features

# Classification Using ML

**Structure for  
 $n+1$  iteration**

$$A_k^{(n+1)} = \frac{1}{\sum_i q_i^k(\Theta)} \sum_{i=1}^N \frac{\int X_i(\phi) p_i^k(\phi, \Theta^{(n)}) d\phi}{\sum_k \int p_i^k(\phi, \Theta^{(n)}) d\phi}$$

**Probability  
function**

$$p_i^k(\phi, \Theta) = \left( \frac{1}{\sqrt{2\pi\sigma}} \right)^M \exp \left[ -\frac{|X_i(\phi) - A_k|^2}{2\sigma^2} \right] f(\phi | \Theta)$$

**Probability  
for class  $k$**

$$q_i^k(\Theta) = \int p_i^k(\phi, \Theta) d\phi$$

$X_i$ :  $i$ th image

$N$ : # of images

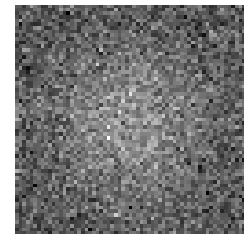
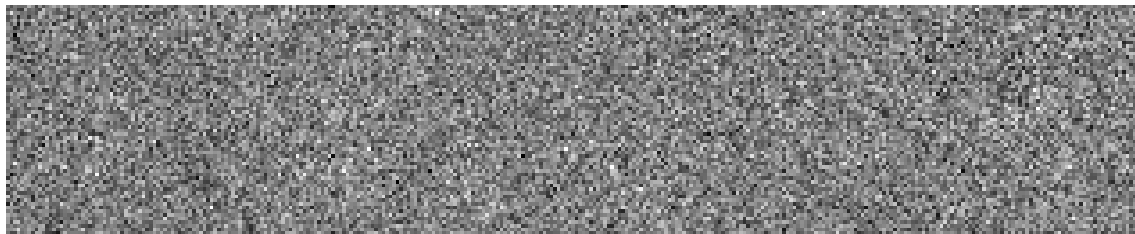
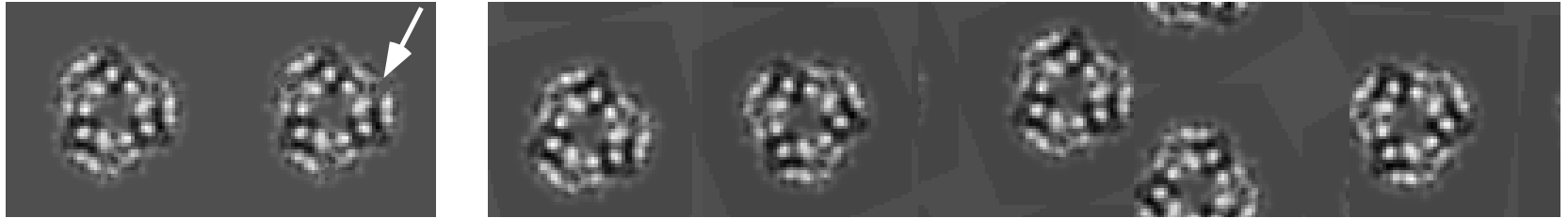
$\phi$ : alignment parameters

$\Theta$ : model parameters

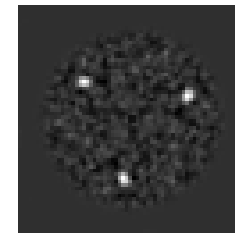
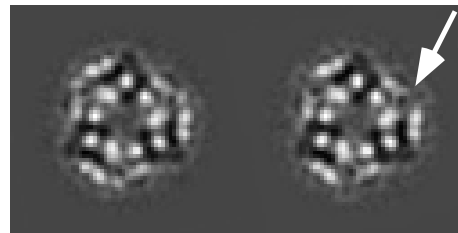
$\sigma$ : noise in images

$f$ : positional probab.

# Classification Using ML

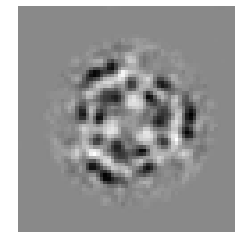
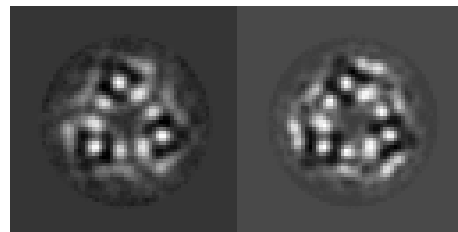


SNR = 1/50  
N = 2000

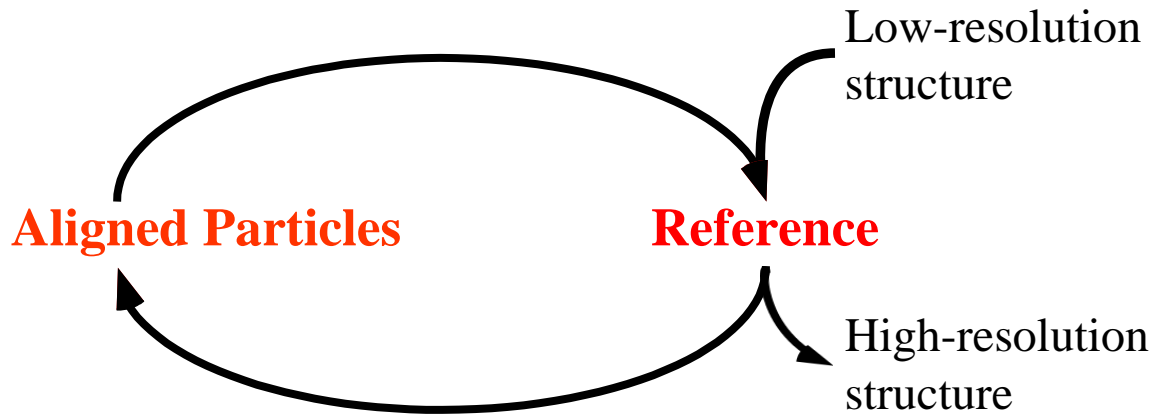


Difference  
map

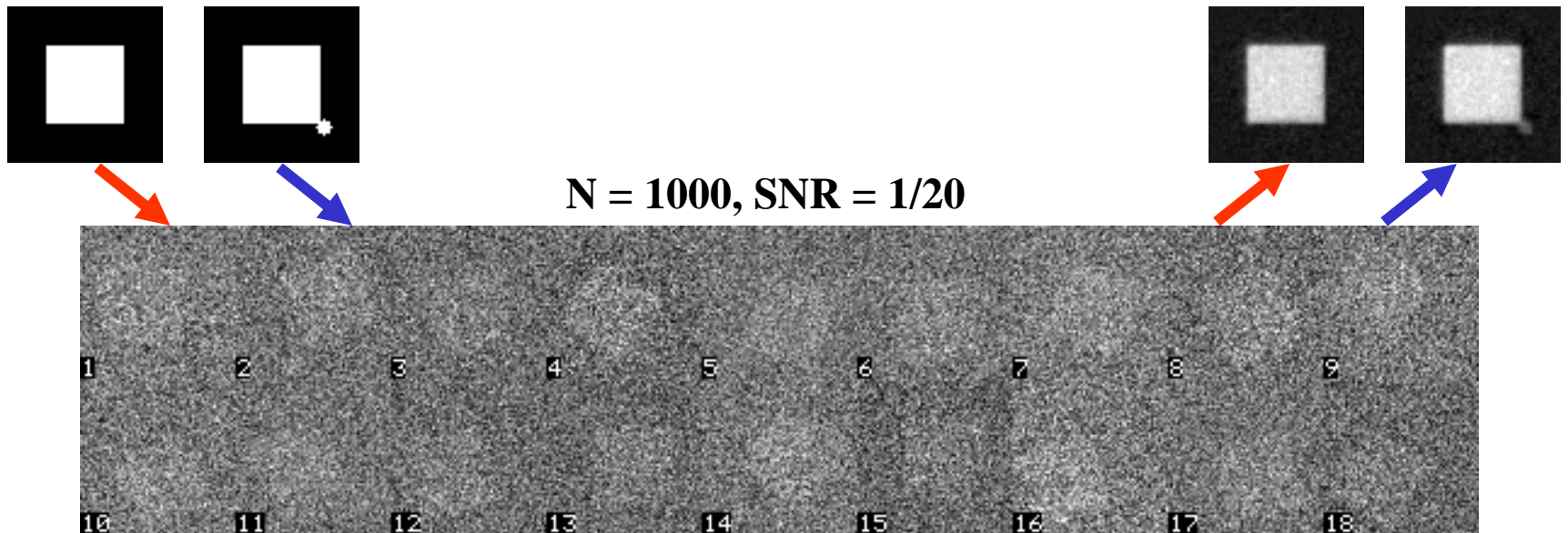
Correlation  
alignment



# Problem 4: Processing Artifacts



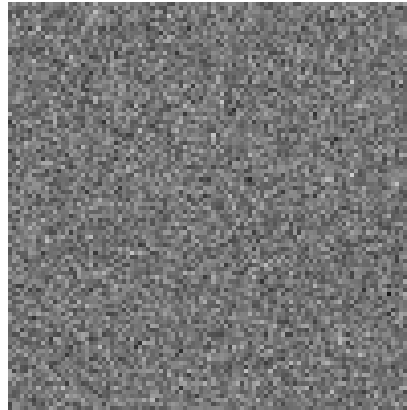
- Interpolation errors
- Masking
- Negative B-factor
- ...



# Problem 5: Noise Bias



⊗



= **0** on average



⊗



> **0**

for 64x64 image:  
average  
correlation = **0.064**

# Seeing is NOT Always Believing



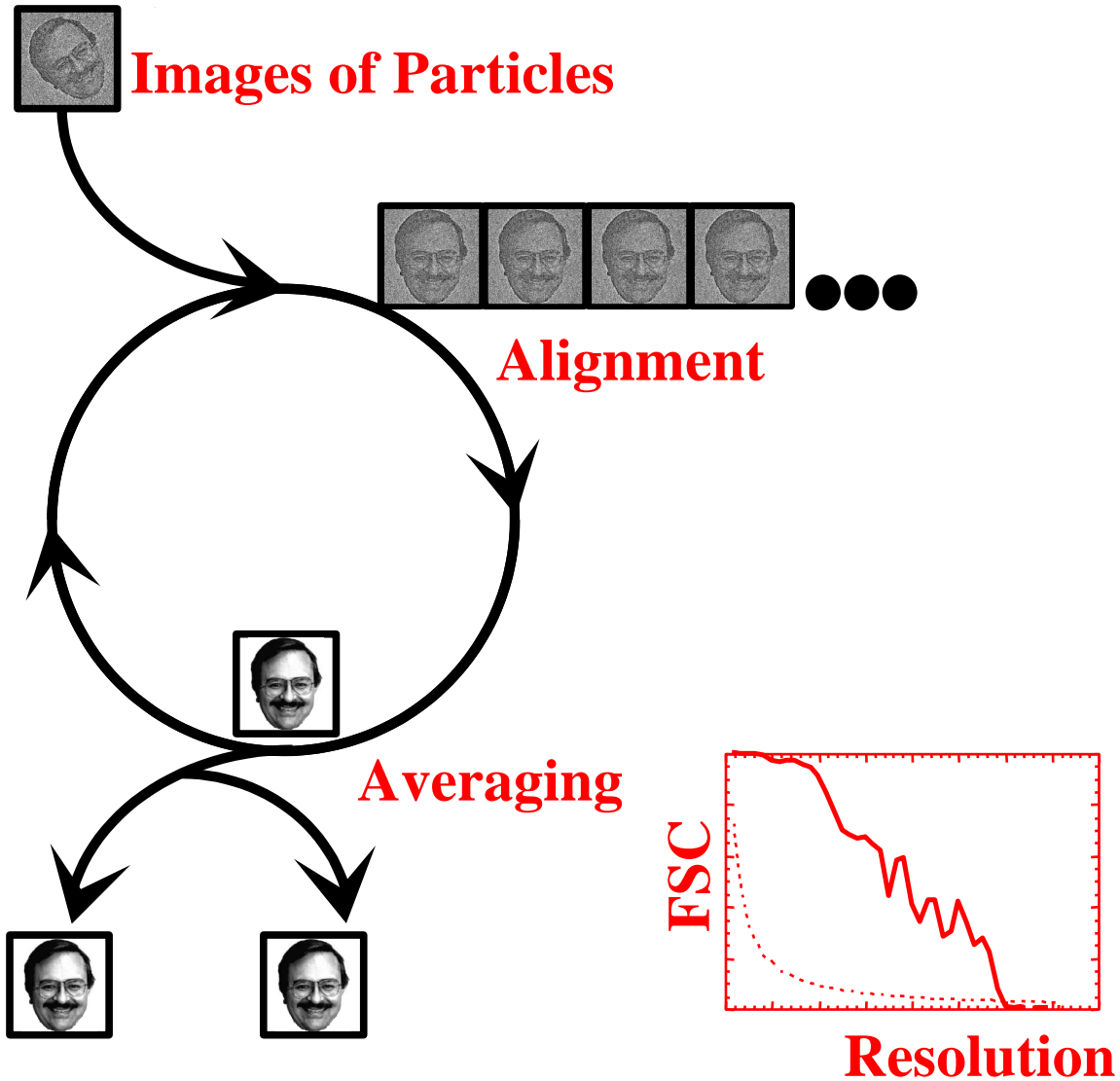
100 Images

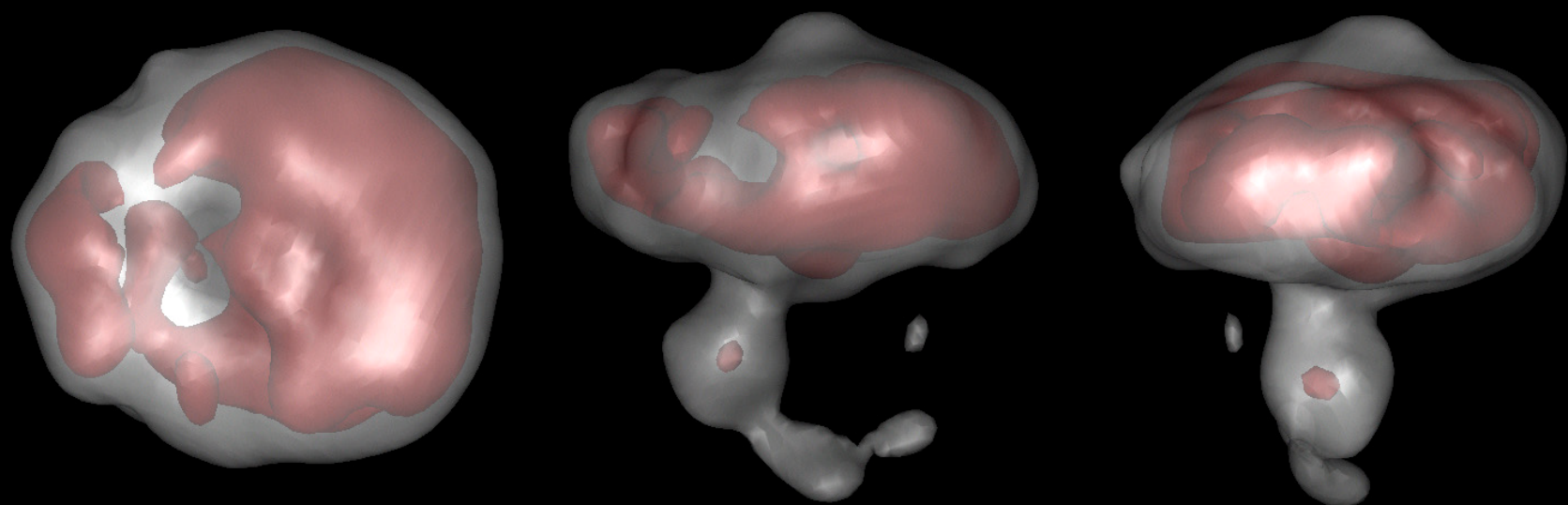
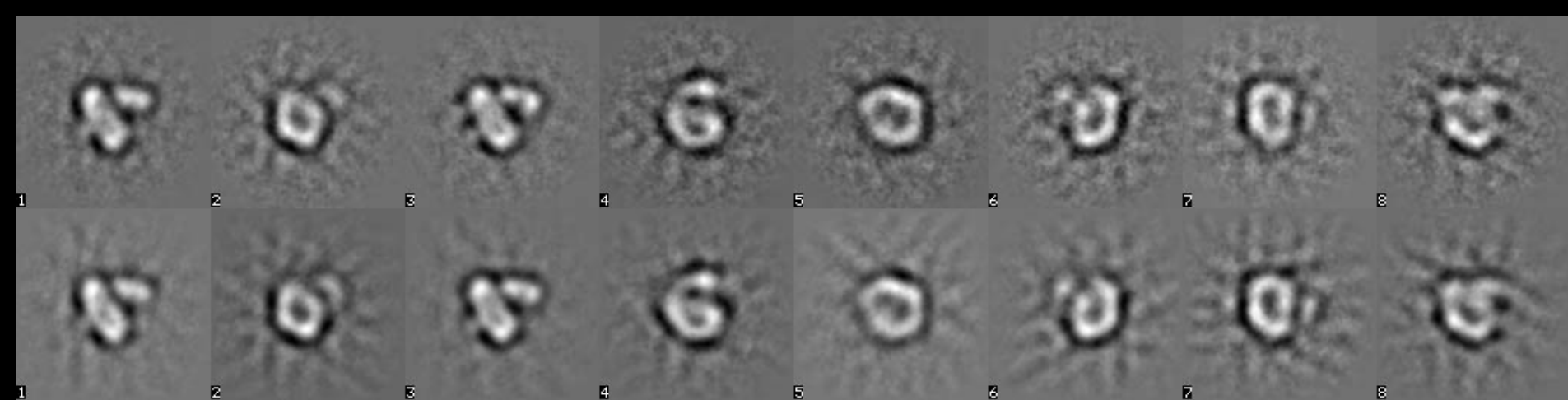
1000 Images

Reference



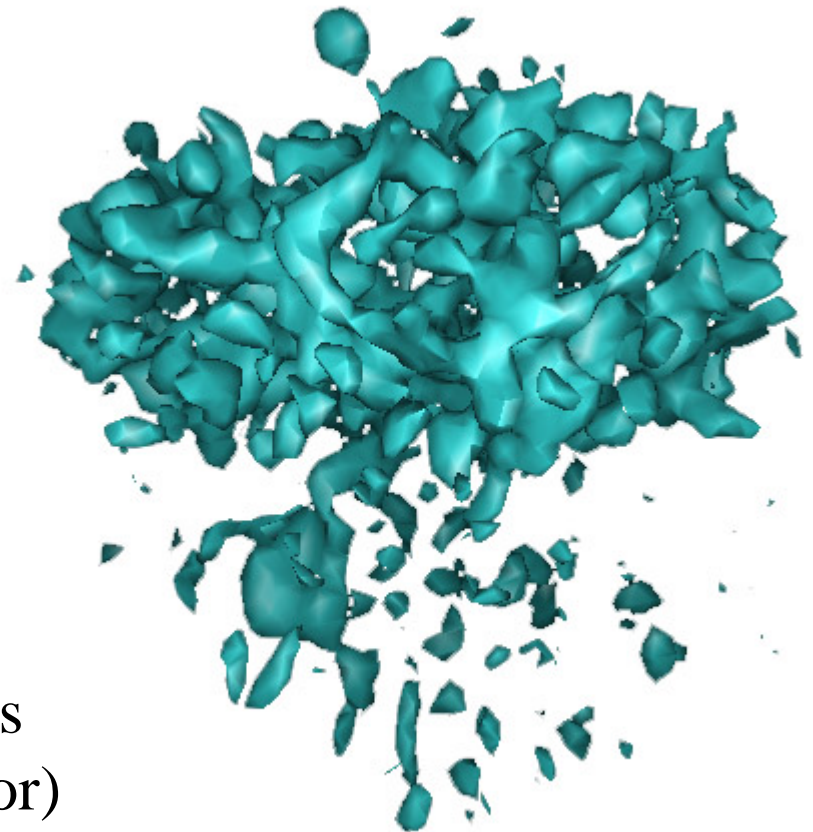
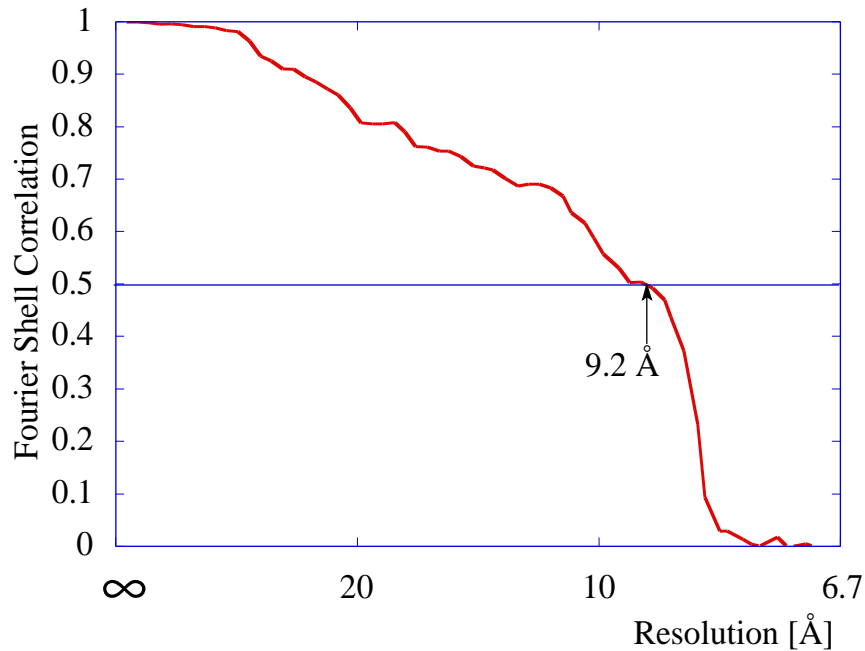
# Resolution Measurement





100 Å

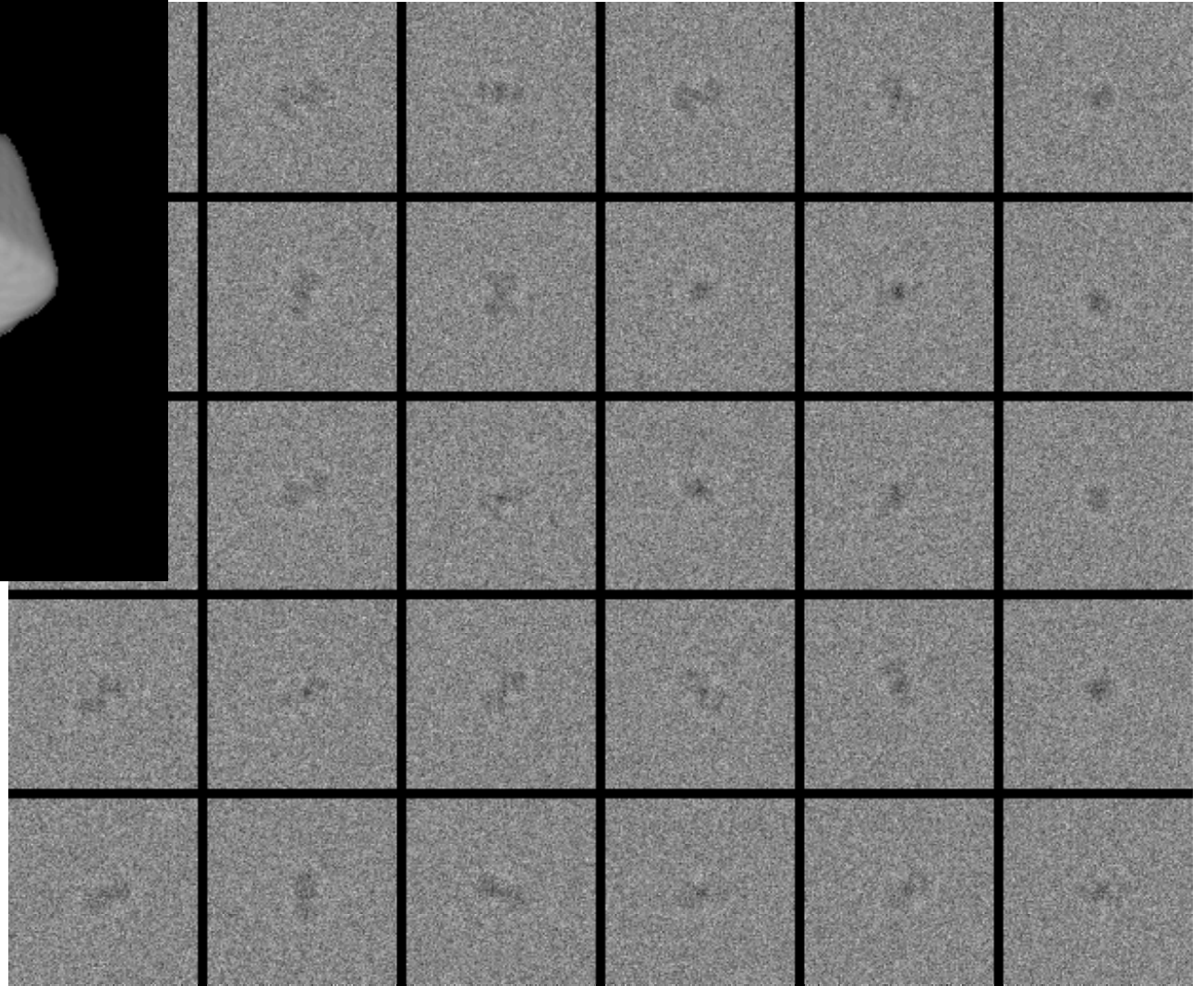
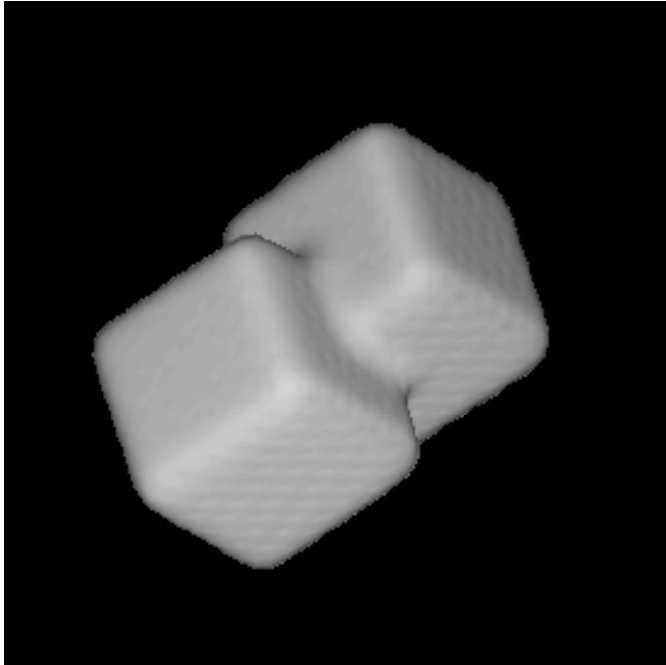
# Swiss Cheese



Dangerous:

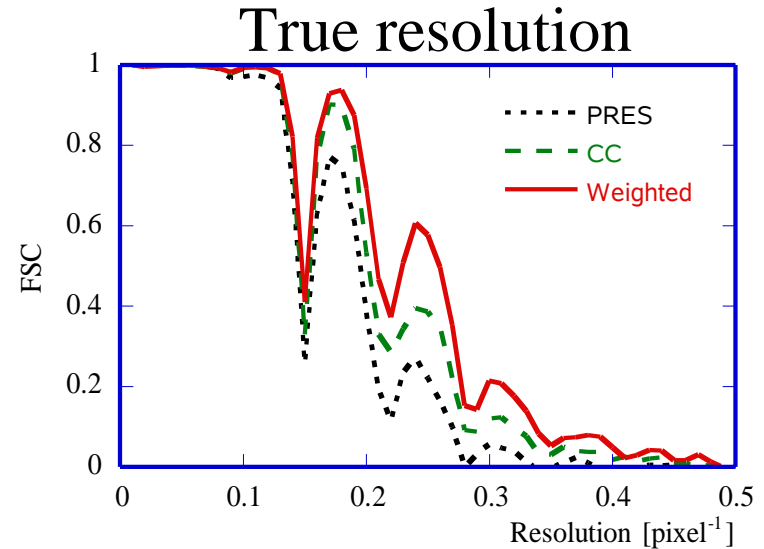
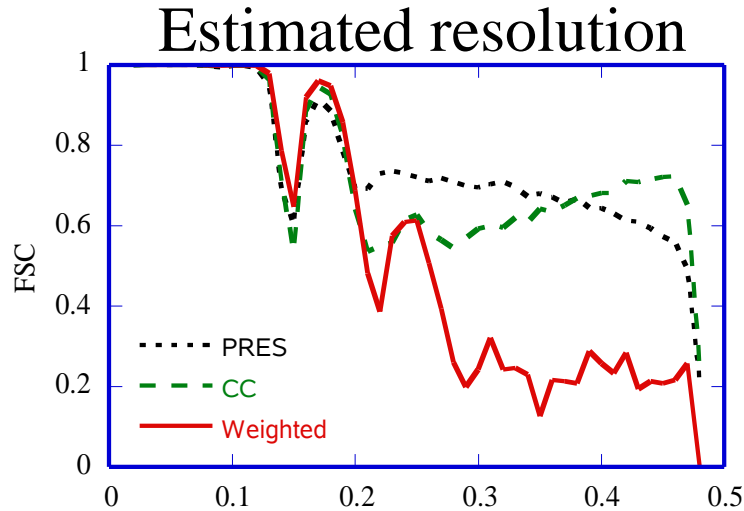
Boosting of high-resolution terms  
(application of a negative B-factor)

# Gedanken Experiments



$N = 30000$   
 $SNR = 1/50$

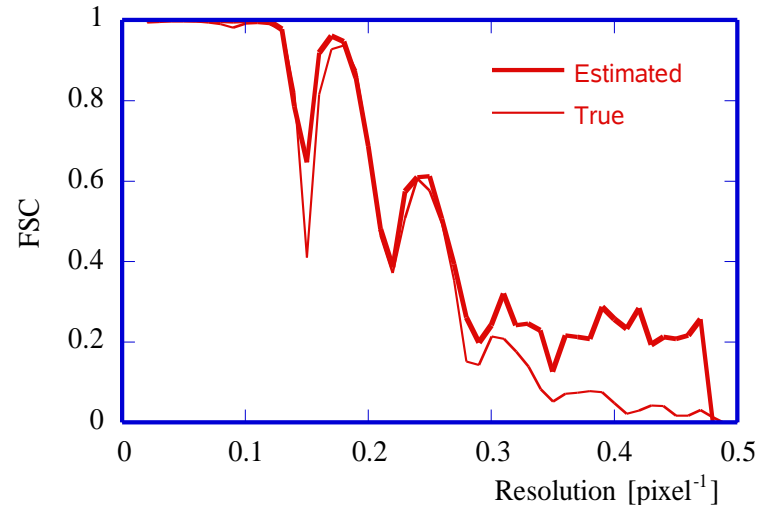
# Weighted Correlation



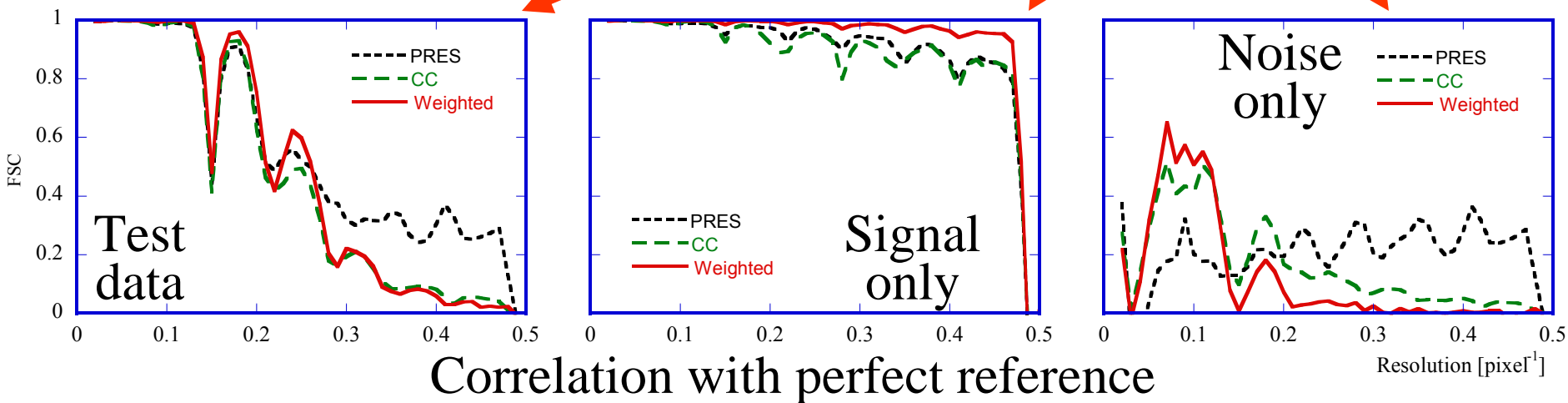
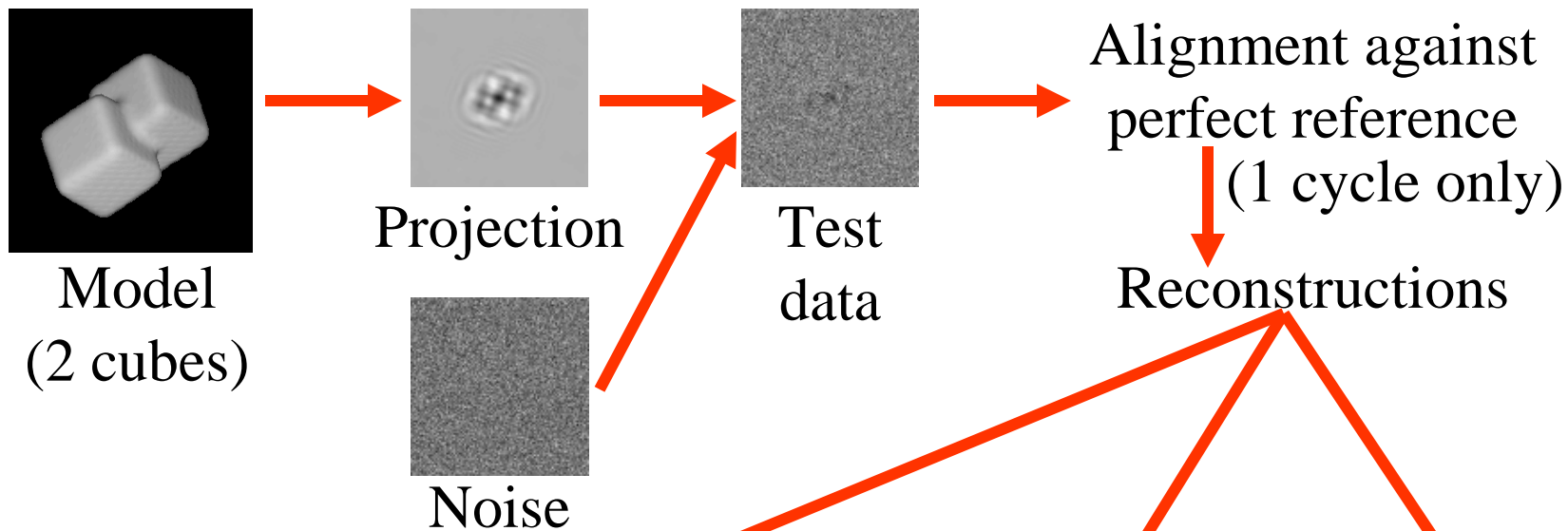
$$\text{PRES}(X, Y) = \frac{\sum_{k \in [0, 0.5]} \Delta \Phi_{X, Y}(\mathbf{k}) |F_X(\mathbf{k})|}{\sum_{k \in [0, 0.5]} |F_X(\mathbf{k})|}$$

$$\text{CC}(X, Y) = \frac{\sum_{k \in [0, 0.5]} F_X(\mathbf{k}) F_Y^\dagger(\mathbf{k})}{\sqrt{\sum_{k \in [0, 0.5]} |F_X(\mathbf{k})|^2 \sum_{k \in [0, 0.5]} |F_Y(\mathbf{k})|^2}}$$

$$\text{CC}_W(X, Y) = \frac{\sum_{k \in [0, 0.5]} W(\mathbf{k}) F_X(\mathbf{k}) F_Y^\dagger(\mathbf{k})}{\sum_{k \in [0, 0.5]} W(\mathbf{k}) |F_X(\mathbf{k})|^2 \sum_{k \in [0, 0.5]} W(\mathbf{k}) |F_Y(\mathbf{k})|^2}$$

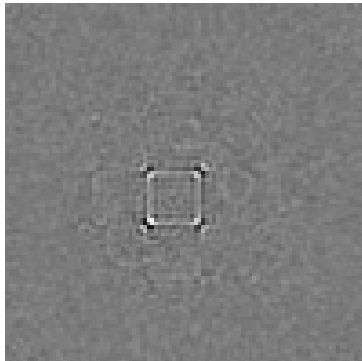


# Noise Bias

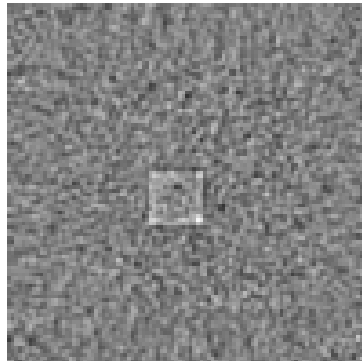


# Noise Reconstruction

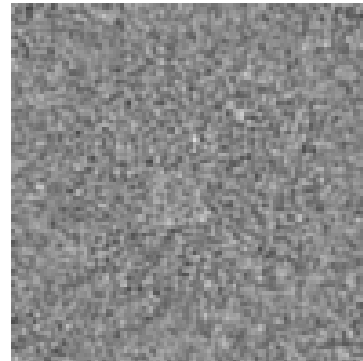
Phase  
residual



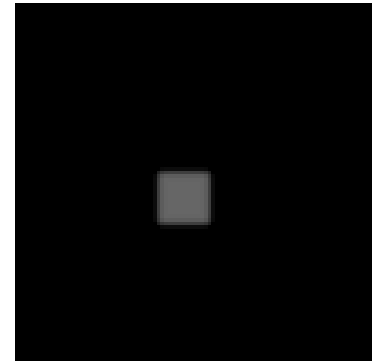
Linear  
correlation  
coefficient



Weighted  
correlation  
coefficient

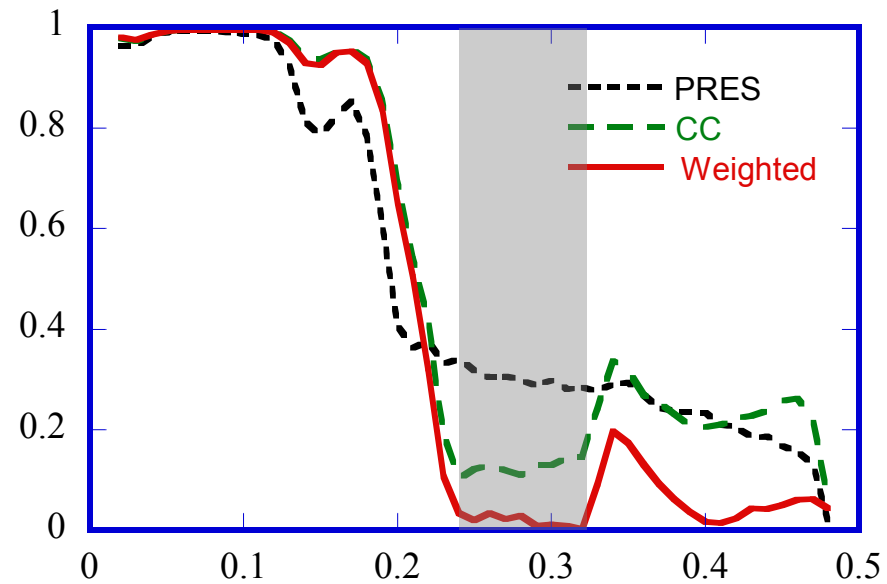
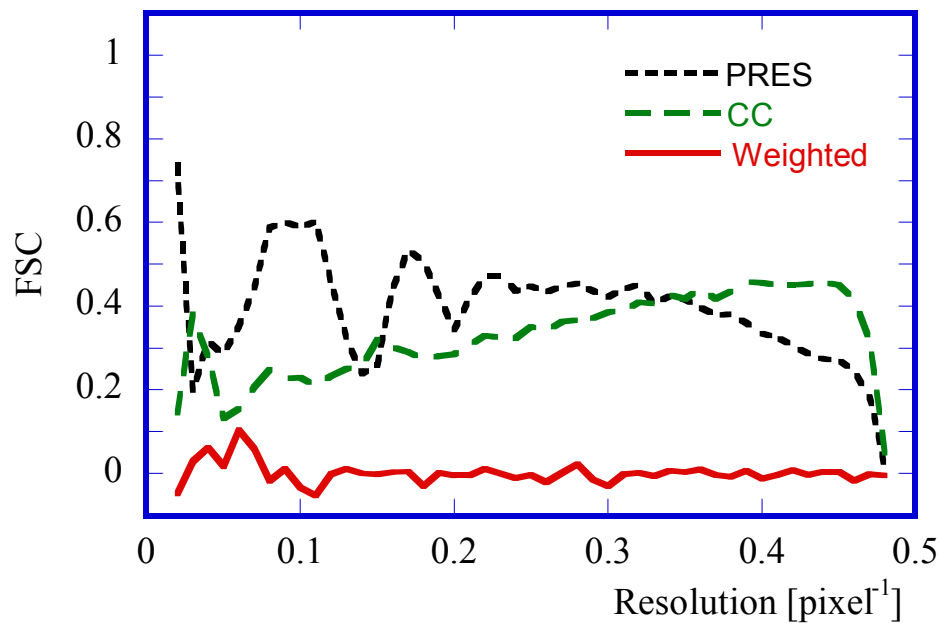


Reference



# Coherence Constraint

$$\text{CC}_W(X, Y) = \sum_i |\text{CC}|_i^3$$





# Acknowledgements

- Ca Channel
- NSF/20S
- Noisy Face

Matthias Wolf  
(Glossmann/Striessnig)  
Johannes Fürst  
(Axel Brünger)  
David DeRosier

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**HHMI, NIH, NSF**

